

## The Global Forest Health Crisis: A Public Good Social Dilemma in Need of International Collective Action

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## **Abstract**

Society is confronted by interconnected threats to ecological sustainability. Among these is the devastation of forests by destructive non-native pathogens and insects introduced through global trade, leading to the loss of critical ecosystem services and a global forest health crisis. We argue that the forest health crisis is a public good social dilemma and propose a response framework that incorporates principles of collective action. This framework will enable scientists to better engage policymakers and empower the public to advocate for proactive biosecurity and forest health management. Collective action in forest health will feature broadly inclusive stakeholder engagement to build trust and set goals; accountability for destructive pest introductions; pooled support for weakest-link partners; and inclusion of intrinsic and non-market values of forest ecosystems in risk assessment. We provide short-term and longer-term measures that incorporate the above principles to shift the societal and ecological forest health paradigm to a more resilient state.

*Keywords:* biological invasions, natural resource policy, global change, climate change, conservation of biodiversity

## **Defining the Problem**

### **The under-recognized forest health crisis**

The Anthropocene has given rise to a chorus of wake up calls from increasingly alarmed scientists about the state of our environment and extreme threats to ecosystems that sustain human life. Along with other natural systems central to human wellbeing, such as the atmosphere, soils, and water systems, the world’s forests—which cover 30% of its land area and account for 45% of terrestrial carbon stocks (162)—are at an ecological tipping point (234). Globally, we are witnessing widespread loss of critical forest habitats and species.

Among the drivers of such losses, biological invasions by forest pests (insects and pathogens) that kill or otherwise severely reduce productivity of trees at landscape and regional scales have become all too common (55, 193). These biological invasions constitute a societal grand challenge that needs to be addressed simultaneously with a long list of synergistic issues and crises: climate warming; food and income insecurity; environmental destruction; loss of biodiversity; and emerging human and animal infectious diseases (132, 183). Importantly, the ongoing intercontinental exchange of forest pests threatens not only the forests themselves, but also the myriad ecosystem services that both natural and planted forests provide, regulate, and support: biodiversity, cultural heritage, agricultural sustainability, clean water, carbon sequestration, renewable energy, and raw materials (21, 129, 140, 214).

Biological invasions are primarily driven by human activity and amplified by advances in technology and trade. With the rise of modern global trade in the 20th century, humans began introducing highly destructive, novel insects and pathogens to evolutionarily unprepared hosts on new continents at an ever increasing rate—a pattern that is expected to continue well into the future (85, 89, 178, 193, 200, 201, 203). These encounters led to devastating, landscape-transforming epidemics affecting iconic tree species, including: pine wilt disease in Eurasia (*Bursaphelenchus xilophilus*, vector *Monochamus* spp.; 160); white pine blister rust in North America (*Cronartium ribicola*; 151); Dutch elm disease (*Ophiostoma novo-ulmi*, vector *Scolytus* spp.) and chestnut blight (*Cryphonectria parasitica*) in Eurasia and North America (143); and myrtle rust (*Austropuccinia psidii*; 47, 86) throughout Australia/Oceania and the Paleotropics. This crisis is not unique to native forests (235); for example, European wood-wasp (*Sirex noctilio*) and its pathogenic fungal symbiont threaten the sustainability of exotic pine plantations in South America (43), Africa and Australia (108).

The crisis of forest insect and pathogen invasions is pervasive. Functional extinctions of canopy tree species, lasting landscape-scale shifts in forest composition and structure, carbon release, and economic loss from forests are now commonplace (68, 144). In the US alone, the 15 most destructive non-native insects and pathogens cause as much tree mortality as fire, and currently threaten an estimated 41% of standing biomass and two thirds of forested land area (68, 179). Tens or hundreds of megatonnes of carbon are being released annually (e.g. 12.5 mt/y in the United States alone; 184) by the decimation of trees that are recognized as ecological and/or

cultural keystone species such as oaks (*Quercus* spp.; 42), ashes (*Fraxinus* spp.; 44, 78, 107, 124, 175), beeches (*Fagus* spp.; 29, 63, 64), multiple species of cedars and cypresses (family Cupressaceae; 93, 117, 165, 225), laurels (family Lauraceae; 91 and 165), and plane trees (*Platanus* spp.; 114), to name just a few. North America has also done its part by exporting highly destructive insects and pathogens abroad, such as *Ceratocystis platani*, which kills planetrees in Europe and the Middle East (137, 212, 219), and pine wilt disease (160), the red turpentine beetle (*Dendroctonus valens*) and fall webworm (*Hyphantria cunea*) in East Asia (280, 282). Even as the fallout from host species loss reverberates through ecosystems and economies, new destructive insects and pathogens continue to accumulate (8, 22, 144, 196). Meanwhile, concomitant losses of biodiversity and positive feedback with climate change amplify the vulnerability of forests to new biological invasions (12, 54, 94, 112, 130, 182, 186).

The societal, cultural, and economic impacts of insect and pathogen invasions are as far-reaching and profound as their ecological consequences. In the past, they have included loss of culturally iconic trees and the displacement of entire communities of people and industries. For example, Rapid ‘Ōhi‘a Death (caused by *Ceratocystis* spp.), laurel wilt disease (LWD, caused by *Raffaelea lauricola*), and the emerald ash borer (*Agrilus planipennis*) have had negative impacts on indigenous cultural practices and heritage (16, 44, 75, 76, 154). In Japan, habitat for the culturally important matsutake mushroom (*Tricholoma matsutake*) has been negatively affected by pine wilt disease because of the decline of its pine hosts (65, 218). Rural poverty of

Appalachia (US) is well-known, but the loss of 3.5 billion American chestnut trees on 3.6 million hectares of land is seldom recognized as a contributor to that poverty (e.g. 149).

The forest health crisis is also one of human equity because it has the greatest socioeconomic impacts on emerging economies in low, lower-middle, and upper-middle income countries (LIC, LMIC and UMIC, respectively), which often harbor biodiversity hotspots (199). Economic growth and improved standards of living in emerging LMIC and UMIC economies rely on global trade and foreign investment (4, 83, 188), but these same forces put them at increased risk of pest invasions (55), particularly as trade opens and partnerships realign (reshoring) in the southern hemisphere (5, 199). At the same time, many of these same countries lag in detection capacity (46). Meanwhile, rural communities in poorer countries rely directly on forests and agroforestry for significant portions of their income, water, and food, putting them at direct risk from insect and pathogen introductions that arise from global trade (38, 166, 187, 232). Even in the more affluent countries, many rural and regional economies are heavily dependent on small to large-scale forest industries, and forest health issues that lead to loss of livelihood therefore put them at a significant risk of economic and social instability (e.g. 23, 121).

Unfortunately, and despite the scale and scope of these devastating consequences, these issues seldom penetrate public discourse on trade and the environment (e.g. 6). For example, the word “forest” has not been used in the US President’s State of the Union Address since 1990 and “invasive” has never been used (20); nor have invasive forest insects and pathogens been included in the agendas of the 2021 COP15 to the Convention on Biological Diversity

(<https://www.cbd.int/meetings/COP-15>) or COP26 UN Climate Change Conference

(<https://ukcop26.org>), despite an explicit focus on forest restoration. Indeed, it is hard to imagine an effective forest restoration policy that does not explicitly account for biological invasions, which are a neglected but substantial driver of biodiversity loss (192).

The unrecognized crisis of forest insect and pathogen invasions is epic in its proportions, and demands a proportional global response. Due to the interconnectedness of the modern world, unchecked insect and pathogen invasions in one country can lead to more introductions through international spillover and bridgehead invasions (12, 87, 172, 235). Without significant, coordinated action on a global scale, the perpetual onslaught caused by destructive invasive organisms will continue to transform forest ecosystems and all that depend on them worldwide. Insect and pathogen invasions also threaten planted forests (235), reforestation, and afforestation efforts, and assisted migration campaigns currently being undertaken to combat climate change (26, 177), particularly as nursery stock is a prime vector for destructive pathogens of woody plants (22, 36, 136).

Clearly, the crisis of forest insect and pathogen invasions demands urgent action. There is a critical need to understand the complex societal drivers of insect and pathogen invasions and for solutions that explicitly consider diverse societal contexts (193). With adequate resources, research capacity, time, and willingness to take bold action, many forest health problems appear solvable. Yet, society continues to struggle with novel invaders in familiar and unfortunate ways that increasingly point to the inherently social dimensions of the challenge. Using a collaborative

interdisciplinary reasoning approach (164, 134), we developed consensus on the major challenges preventing policy success in the realm of forest health and invasive species, summarized the state of the science in the context of the consensus position, propose an integrated framework for addressing forest health threats, and provide an action plan for addressing the major challenges. This approach, typically used in the context of interdisciplinary and transdisciplinary team science, relies on iteration of ideas and convergence towards shared understanding of scientific language, knowledge, and perspectives. We employed this approach over the course of two large meetings – the Idea Café at Plant Health 2020 (American Phytopathological Association) and a symposium at the 2021 North American Forest Insect Work Conference.

Below, we present a case for viewing the forest health and invasive species problem as a public good social dilemma that will require a socially and ecologically holistic, well-integrated, equitable and adaptive approach to stem the flow of novel introductions and help the world to manage established insects and pathogens more effectively in threatened ecosystems. Without such change, the crisis will continue to have devastating consequences for society and its ability to achieve environmental sustainability, fight poverty, and safeguard human health. To address this need for reconceptualizing the global forest health crisis, we highlight important opportunities for, and barriers to, practical solutions within social and political spaces.

**Declining forest health is a public good social dilemma in need of international collective action**



Forests are an undeniable part of the world's collective heritage, and must be recognized as such if we are to properly protect them. Insofar as they regulate carbon cycling and contribute to global biodiversity, forests are known to constitute a common-pool resource on a global scale (*sensu* 170). Although protecting forests from invasive pests is mutually beneficial to all (63), the world has failed to agree on an effective strategy to achieve this goal. We argue that in order to adopt a more effective strategy, the problem must first be recognized as a public good social dilemma, which creates a basis for adoption of collective action.

We argue that the failure of the world's current institutions and policies to effectively safeguard forest health stems from a poor alignment with the public good nature of the problem and intrinsic value of forests and forest health. The majority of invasive forest insects and pathogens arrive in North America, the European Union and other free-trade hubs in solid wood packaging materials and live plants imported for the nursery trade (146). To address the pest threat, member countries of the World Trade Organization (WTO) have negotiated rules that attempt to balance measures aimed at reducing the risk to local forest tree species against economic gain (50, 169, 278).

Unfortunately, the result of these negotiations has been international agreements aimed at restricting rather than empowering member countries to impose effective embargos, quarantines, and phytosanitary protocols to protect biodiversity and natural resources (22, 193). These agreements include the 1995 Sanitary and Phytosanitary Standards (SPS) Agreement (278), which delegates power to the International Plant Protection Convention (IPPC), first entered into

in 1952 (58, 193) to develop standards. Even assuming general compliance with the standards that have been set under these agreements, the number of non-native insects and pathogens that have become established and the damage they cause continues to accumulate worldwide (25). Furthermore, as explained below, there is little to no accountability for violators or those responsible for pest introductions. The current wording, lack of urgency in adopting stronger rules, and insufficient enforcement illustrate how economic interests are weighed heavily while the high non-market value of forests is largely overlooked in international negotiations.

A current and key challenge to achieving an adequate level of deterrence for exporters and importers of destructive insects and pathogens lies in insufficient accountability (22, 193). The major concern of the WTO agreements is to “ensure that strict health and safety regulations are not being used as an excuse for protecting domestic producers” (279), ostensibly balancing trade with health; clearly, the main interest of the organization is trade and commerce. At the level of the exporter, under current agreements, such as they are, behavior can adapt to regulatory actions by exploiting weakly-enforced ports or intermediates in the supply-chain, thereby undermining phytosanitary action (57). Furthermore, the ability of national plant protection organizations (NPPOs) to provide adaptive responses is challenged by the fact that a rule applied to mitigate a risk in one country cannot be any more strict than another applied for a comparable risk in another country (D. Bednar, personal communication).

The state of global forest biosecurity in world trade is akin to a prisoner’s dilemma (220), where an accountability deficit, combined with domination of the decision-making process by

commercial interests, stifles cooperative resolve to protect forest ecosystems among trade partners. The current, dominant strategy in the negotiation of world trade relations permits an ever-increasing volume of high-risk trade items, including packing materials, wood products, and live plants, without adequate and proportional penalties to more effectively deter introductions of new insects and pathogens. These same principles are likely to generally apply to emerging multilateral and bilateral agreements, because the primary interests considered in renegotiation of trade agreements are the potential impacts on domestic and export markets. Continued prioritization of access to overseas markets over the sustainability of domestic natural resources ensure that the failures of the international phytosanitary status quo—namely, its insufficient accommodation of phytosanitary actions, sanctions, and enforcement—go unremarked and uncorrected. This is despite the fact that the most widely adopted phytosanitary standard (ISPM 15), which is only partly effective, has clearly demonstrable net value, and shows that stronger precautionary policy would greatly add value (138).

The IPPC rules themselves, and their implementation, are driven by the mandate to prevent protectionism. As a direct result, the rules do not decrease direct risks to an acceptable level. Mutual agreements to adopt or permit stronger enforcement rules would have a smaller net global cost when factoring in avoided impacts on forests, particularly when accounting for non-market losses, i.e. most ecosystem services (138, 193). However, this strategy is perceived as less desirable due to the current failure of commercial interests to recognize that a reduction in the rate of new insect and pathogen introductions is sufficiently beneficial to warrant the short-

term monetary sacrifices it will entail. As a result, the world faces a phytosanitary public good problem that feeds the global forest health crisis.

To address this public good problem, we emphasize the importance of developing solutions that facilitate collective actions among various actors at local, national, and international levels. Lessons learned from successful efforts to address similar problems in the management of common-pool resources and public goods suggest that the sustainability of healthy forests cannot be ensured solely through innovations of the free market or the powers of state control (170). We argue that an integrated approach to combat the forest health crisis should embrace a collective action framework (12, 90, 171) that incorporates the following principles of stakeholder engagement and empowerment (12, 45, 63, 277):

- Agreement on a shared goal among stakeholders
- Trust for coordinated action among stakeholders
- Pooling resources to support weakest-link stakeholders
- Locally-adapted rules and solutions formulated by stakeholders
- Sanctions and other concrete accountability measures to deter violators and tools for conflict resolution among stakeholders
- Monitoring to track progress of ongoing efforts, supported by stakeholder engagement

Situating these principles at the core of forest health policy interventions is critical due to the complexity, scale, and conflicts of interest at the center of this crisis. Many common-pool resources and public goods, such as fisheries and weedy plants, have been managed successfully

by applying the above principles (12, 135, 170). As with these other public goods, non-native insects and pathogens do not respect political borders, and effective management of invasion risk requires contributions from all the diverse parties with interests in forest ecosystems. However, the investment cost of solutions to the forest health crisis are borne differently across various international, state, and local government actors, private interests, industries, and individual landowners, while the benefits (i.e., the public goods) are inherently nonrivalrous and realized on a global scale. Together, these attributes make the forest health crisis a social dilemma (90). Success at tackling such a public good social dilemma—and ultimately realizing a reduction in invasive insect and pathogen introductions and more effective control of active outbreaks—will require a baseline, threshold amount of investment and sustained collective action from all stakeholder groups across scales (12, 90).

There are numerous tactical solutions that can help address the forest health crisis in small but important ways in the short term. But to solve the public good social dilemma in the long term, sustained collective action that incorporates the aforementioned principles of stakeholder engagement and empowerment will require coordination among a multitude of stakeholders whose worldviews, perspectives, and interests are often largely at odds (i.e., it is a “wicked problem” sensu 277). It will also require a dynamic political process for effective and equitable negotiations and compromises among diverse stakeholders. We argue below for the importance of establishing an agenda for forest policy reform that recognizes how conflicting economic,

political, social, and cultural interests form the landscape in which short- and long-term solutions could be developed (57, 192, 196, 197, 201).

## **An Agenda for Reform and the Constraints it Faces**

Efforts at each stage of the policy development process—(1) agenda setting, (2) policy formulation, and (3) implementation—are critical for shaping the trajectory of policy (191) to combat the forest health crisis. As discussed below, this crisis presents unique challenges at each stage of the process that include: (a) institutional constraints; (b) the difficulty of generating political will to protect forest health through a traditionally economic paradigm; and (c) the current lack of empowerment of stakeholders outside of predominant power structures. Strategic political solutions are needed to navigate those challenges.

### **Agenda setting**

In the agenda setting stage (104), framing the debate about forest pest invasions as part of the global forest health crisis will have significant influence on policy outcomes. To foster mutual trust and agreement on a shared goal from an early stage, a viable forest health effort would engage stakeholders beyond historically dominant forces of agricultural lobbies to include actors such as indigenous nations, the forestry industry, and forest and biodiversity conservation organizations worldwide. Effective, persuasive (i.e. emotive), and evidence-driven messaging that underscores the high non-market value of the global forest biome and its connection to

environmental sustainability, and even agricultural productivity, is also critical to motivate receptive participants in the policy arena.

Paradigm-shifting societal and environmental disturbance events, such as the COVID-19 pandemic, provide an opportunity for the public and their leaders to reassess their value system and implement reforms (63), perhaps shifting the window of viable policy solutions toward collective action approaches. The pandemic also provided insights into how government at different levels of organization responded and the human response to a common threat globally. Interest in popular high-profile initiatives (e.g. the Trillion Tree Initiative, the Paris Agreement on Climate Change, and the Convention on Biological Diversity) can also be leveraged to call attention to the impending forest health risks that lax biosecurity might present to the wrong trees planted on poor sites or in disease-prone ecological arrangements. Such biosecurity risks add to other ecological (26) and social (71) concerns raised by so-called nature-based climate solutions, such as a focus on trees over people, highlighting the need for collective action approaches to a complex social and ecological problem. For these reasons, both social and ecological dimensions of forest health concerns must be elevated to the level of internationally mainstream ecological discourse. To be effective, we believe the new forest health agenda for reform must incorporate the following four principles in collaboration with a broad international coalition:

- Strengthening international biosecurity to prevent introductions
- Integrated pest management that strategically applies the most effective, evidence-based and data-driven tools for each specific insect, pathogen, ecosystem, nation, and

- cultural and management context to contain and suppress future, introduced and established pests
- Significant, sustained, and comprehensive research funding to bolster and improve the ability to survey, detect, and manage insects and pathogens and to increase forest resistance and resilience
  - A change in policy stance from the current fundamentally reactive paradigm of managing current or legacy crises to a proactive approach designed to prevent and minimize them

## **Policy formulation**

In the policy formulation stage, the policy goals listed above must adapt to constraints, which include the cultural and institutional contexts of advocacy efforts and political and governmental processes that will narrow the range of feasible solutions. Currently, much public perception of invasive species could be characterized as invasion fatigue (e.g. 237), reminiscent of COVID-19 pandemic fatigue. There has even been a rise in biotic invasion denialism stemming in part from suboptimal agreement and communication about the lexicon of invasion biology that justifies fatigue and normalizes invasion in popular media, and even among some ecologists (194, 204). Stakeholder engagement can address such apathy by contributing to mutual trust, agreement on a common goal, perceived self-efficacy and empowering the public to make a difference (41, 204), as recently demonstrated by the popularity of the “Don’t Move Firewood” campaign in the US in



response to invasions by wood-boring insects (193). Other constraints of the current institutional ecosystem include ineffective, lethargic, and fundamentally reactive domestic responses to insect and pathogen invasions; research funding structures that favor agricultural plant health over forest health research; and politically driven roadblocks to cooperation (e.g. 87).

Current forest protection policy is most critically constrained by a lack of recognition for the broader cultural, aesthetic, and intrinsic values of forestlands (e.g. 127, 157), including functioning and resilience of diverse agroecosystems, water resources, urban shading, soil quality, and erosion control, among many others. The value of intact, healthy forest ecosystems mostly accrues outside of a market context but is conventionally monetized in policy discussions, arguably counteracting potential societal priority to protect them. In a recent estimate of the costs of all types of biological invasions, a superficial list of forest insects and pathogens only accounted for < 1% of records in a global database, while still accounting for 25% of total annual costs at \$43.4 billion USD (49). Given the non-market value of forests, this economically focused approach to identifying possible solutions for minimizing the risk of biological invasions fails to align with broader societal and sustainability goals.

## **Implementation**

In the implementation stage, policies that build trust and increase coordination among the public, scientists, forestry and wood product professionals, and policy makers are critical to cultivate a resilient and equitable institutional ecosystem (1). Implementation decisions are currently guided

by economic risk assessment. Such assessments must account for high levels of uncertainty because, unlike plants and large animals, invasive forest insects and pathogens are often cryptic and commonly moved as asymptomatic endophytic infections and infestations (119, 205), many are also not well-known in their native range or are often new to science (22, 34, 118, 126), and they typically behave in new and unpredictable ways in their expanded range (169, 193). In most cases, it is nearly impossible to determine exactly when and where the insect or pathogen was introduced, contributing to a lack of accountability (40). These sources of uncertainty imperil efforts to build trust and can even be exploited by special interests to block proactive biosecurity measures. They also make it difficult to impose trade restrictions under current international agreements (40, 169, 193).

Worldwide, the implementation of forest health monitoring and response skews heavily in favor of insects and pathogens that impact agriculturally important and/or non-native timber species (72). The downstream effects of this skewed focus can be irreversible, as exemplified by the stories of governmental response to laurel wilt disease (LWD) in the US and myrtle rust in Australia (Sidebar). Engagement of indigenous nations, the forestry sector, and recreation agencies, as well as support from private interests for protecting native species, could have had the potential to more effectively sustain the implementation of policy programs that reduce risks to forest biodiversity in the US, Australia and around the globe.

## **A Collective Action Framework to Protect Forest Health**

A number of terms, frameworks, and concepts to describe strategies to minimize the impact of biological invasions have been reviewed elsewhere and accompanied by substantial disagreement about how to frame the invasion process (11, 88, 122, 140, 146, 190). Such frameworks tend to be strongly based on invasions by plants, while falling short of effectively accommodating microbial pathogens and insects that also cause widespread damage to forests (173, 174, 236). Invasion context may include social, economic, cultural, and ecological considerations (Fig. 1).

An integrated framework to address forest pests should incorporate: (1) more effective biosecurity to prevent new introductions; (2) increased monitoring for early detection and improved preparedness for rapid response to outbreaks (30); (3) management, including silvicultural treatment (e.g., sanitation and salvage), chemical suppression, behavioral and biological control; (4) development of host resistance and (5) management of forests to promote ecological resistance to invasion. These approaches can be mapped into successive introductory, establishment, and spread phases of invasion (17) (Fig. 1). Intervention in the earliest stages before an invasive pest becomes well-established and widespread, and investment in ecological resistance and resilience are the most cost effective as part of the integrated framework (Fig. 1). In the remainder of the section, we discuss how incorporating collective action principles in the stages and modes of integrated forest health management can help overcome social and political

impediments to promote societal resilience in the face of forest health challenges caused by invasive species.

### **Overhauling biosecurity agreements and measures to prevent introductions**

Biosecurity is the most effective way to combat invasive species, but it is the central social dilemma in forest health protection. Ideally, communities, governments, corporations, and nations will “think global, act local” to minimize the volume of international and interstate commerce to what is strictly necessary for societal functioning. Such changes in consumer behavior would reduce carbon emissions and revitalize local economies, and could be encouraged by a full accounting of costs (101) or green labeling (193). However, global trade contributes substantially to human wellbeing and cannot be eliminated. Therefore, we advocate for proactive scrutiny and an ultimate reduction of trade in commodities that present high risk to forests and promotion of native landscaping. In both the near and long term, we must apply collective action principles to reduce uncertainty, strengthen phytosanitary measures, and prevent introductions.

In its early stages, collective action does not need to be centrally coordinated. Reciprocal and/or graduated sanctioning of repeat offenders is an organic strategy to foster cooperation for mutual benefit in international dilemmas (i.e. "tit-for-tat" sensu 9) and a key principle of collective action (11). To this end, progress could be made when individual countries step up

enforcement and impose sanctions on importers that violate phytosanitary measures or introduce insects and pathogens. In 2017, the US Bureau of Customs and Border Protection (CPB) increased its enforcement of wood packaging regulations under US Code Title 19, which has likely encouraged US importers to improve sanitation. Through executive action, such policy implementation could be made even more aggressive to further discourage the importation of destructive insects and pathogens. NPPOs in trade partner countries would then be incentivized to do the same, leading to a reciprocal reduction in the rate of new invasions and evolution of international cooperation to clean up trade pathways (9). Eventually, trade partners (key international stakeholders) would be compelled to revise the international rules and agree on a new, tougher set of sanctions.

Tree-SMART trade (<https://www.caryinstitute.org/science/tree-smart-trade>) has been presented as a simple framework to immediately reduce the risk of forest pest invasions. The policy initiative includes: Switching to pest free packaging; Minimizing outbreaks with early detection and rapid response; Augmenting international pest protection programs; Restricting high-risk live plant trade; and Tightening enforcement of penalties for non-compliant shipments. In addition to stepping up customs enforcement, the USDA APHIS “Not Authorized Pending Pest Risk Assessment” (NAPPRA) rule or a similar designation by NPPOs outside of the US could be specifically extended to live plants and untreated wood products derived from plant species with native relatives in the importing country. Such plants and wood products are more likely to be vectors of as-yet unknown pests to the importing country’s trees (84, 85, 89, 145). A

designation of this kind could be permitted under a broad interpretation of SPS Article 5.7, which allows provisional restrictions in the absence of concrete data. In the medium term, a more complete picture of pre-invasion risks would allow scientists to better engage policymakers and trade partners to build trust, set common goals and take coordinated action to implement strategic quarantines.

Stakeholder-driven cooperative programs can be expanded to preemptively complete the picture of pre-invasion risks (40, 57). A reduction in uncertainty would provide a concrete basis for risk reduction, common rules and goals, and targeted improvement of biosecurity. In particular, surveys of sentinel native trees and close relatives planted abroad support pre-invasion detection for high-risk species and commodities (60, 152, 161, 172). Once properly and formally integrated into biosecurity frameworks, early-warning gardens in new plantings, botanical gardens, urban forests, and plantations will provide precious lead time to impose quarantines under SPS Article 5.7 and develop tools and techniques needed to support effective detection and response efforts. Recently such efforts have resulted in the pre-invasion detection of potential future threats and evaluation of the potential risk they pose (80, 215). Efforts are underway through Botanic Gardens Conservation International (BGCI) to coordinate an International Sentinel Plant Network (ISPN; [www.plantsentinel.org](http://www.plantsentinel.org)) for pre-invasion detection and facilitation of the transfer of pre-invasion monitoring data to NPPOs.

International and interdisciplinary collaboration also holds promise to identify and quantify risks. For example, the Pine Pandemic Preparedness Plan in the southeastern US, which aims to

address the potential threat to the US “fiber basket” in the southeast, is a community-driven example of relevant research aimed at quantifying and mitigating risk prior to invasion, and more such efforts are needed (79). For commercial species (*Acacia*, *Eucalyptus*, and *Pinus*, etc.) there is a wealth of data abroad on host performance and genetic resources in trials and operational plantations; the consolidation and analysis of these data would rapidly advance efforts to overhaul risk assessments for planted forests (233) while also selecting resistant stock, a significant additional benefit of such analyses.

A second component of Tree-SMART trade is the use of pest-free packaging material (pallets, crates, dunnage, etc.) in international shipments (145). This will require significant trust-building, goal-setting, and resource sharing among stakeholders due to potential impacts on allies in the forestry sector and wood products industry. Phasing out wood packaging could threaten local economies and industries. For example, sustainable Salicaceae forestry in Patagonia, Europe, Asia, and the Middle East relies on demand for pallet materials (13). Given the importance of these stakeholders, potential conflicts of interest will need to be addressed by applying collective action principles for the “long view” of forest health. With stakeholder support, processed wood (e.g., oriented strand board), recycled plastic, and even fungi could be used as pest-free alternatives (116, 213).

## **Early detection**

Globalization is a fundamental aspect of modern society, but universal responsibility for the social dilemma it entails to protecting natural systems is not readily apparent or perceived as tractable to individuals. Biosecurity policies in the US and EU, for example, currently rely heavily on port inspection and interception, the bottlenecks of pest introduction pathways. But even under relatively intensive surveillance strategies, pests invariably slip through. Regulations intended to reduce pest importation on live plants are estimated to have been less than 50% effective in the US; and only a fraction of species present in pathways worldwide have been intercepted, while some commonly invading taxonomic groups are hardly detected at all (59, 95, 139, 222). Importantly, most established species had never been regulated or were unknown to science prior to becoming a threat to forest ecosystems.

Once novel insects are recognized as having been introduced or identified as a high risk for introduction, traps baited with volatile chemical attractants are the most widely used management tool for monitoring them in managed forests. Attractant-baited traps can be highly effective for detecting and delineating most bark and ambrosia beetles, Lepidoptera, and Hymenoptera, but only somewhat effective for wood boring beetles, and of little utility against most sap-feeding insects (185). Air and soil traps combined with molecular tools are also increasingly employed for fungal and oomycete pathogens (37, 158, 221). For years, remote sensing has been used and has become an important tool to detect the impacts of insects and pathogens, and recent advances in technology are poised to revolutionize aerial detection. Although the above techniques are increasingly employed across agencies and levels of



organization, by the time an invasive pest is formally discovered, it is frequently found to have evaded detection for years or decades (199). This lag in detection can be attributed to the cryptic nature of many forest insects and pathogens, a lag in expression of symptoms, tree mortality and/or lethargic institutional response, population dynamics, and adaptation (2, 18, 31, 193, 216).

Clearly there is a need for even more coordinated effort, common goal setting, and pooling of resources to ramp up surveillance efforts in order to keep pace with the continually rising volume of international trade (57). Collective action has the potential to greatly improve capacity to detect pests in time to achieve a successful response. For example, in the US, such efforts have been exemplified by the USDA-APHIS Cooperative Agricultural Pest Survey (CAPS).

Foremost, global analyses suggest severe undersampling and lagging detection of invasive species in LICs and MICs, and/or in the Neotropics, Paleotropics, Asia, and Oceania (39, 92, 222) where invasions are expected to increase in the future (199). There is a need for aid, resources, and technical assistance from more wealthy nations to address this gap; in fact, such resource pooling is mandated in the SPS agreements (57).

NPPOs must strengthen surveillance to increase the probability of early detection of invasive insects and pathogens in live exported nursery plants, wood packaging, and forests on public and private land. In the short-term, national border customs organizations (e.g. US Bureau of Customs and Border Protection, CPB) could be supported in dedicating higher levels of surveillance to wood packaging were it designated as a high risk import by NPPOs.

In the long term, the collective action principle of stakeholder engagement could be broadly applied to improve detection of pests both domestically and internationally. For example, USDA-APHIS coordinates surveillance and response with states through CAPS and supports and coordinates the Plant Pest and Disease Management Disaster Prevention Program and US Sentinel Plant Network ([www.sentinelplantnetwork.org](http://www.sentinelplantnetwork.org)). Such inter-institutional arrangements might be expanded to give a broader set of stakeholders a voice on local, regional, and national plant boards. With support from wealthy countries and funding agencies, emerging sources of data from new technologies and international partners could be merged and exchanged among NPPOs for use in risk assessment to detect pest threats in LICs, LMICs, and UMICs. As such efforts are scaled into the future, trust will build and the costs of emerging technologies will decrease significantly. However, currently access to some data repositories on pest occurrences and detections, such as the National Plant Diagnostic Network in the US, is highly restricted in order to protect commercial interests, embodying the conflict of interest at the center of the social dilemma, making risk assessment difficult, and thus imperiling local resources.

## **Rapid response**

In a classic social dilemma, the weighing of competing interests and mismatches in perceived risk among stakeholders delays response to pests after detection (22, 63). These mismatches stem from a lack of common goals, inadequate support for weakest-link actors, and failure to accommodate stakeholder-driven local adaptation (11). For example, when regional forestry or

wood products industries are affected, quarantines that restrict trade in timber can pose direct conflicts of interest among stakeholders (22, 32); on the other hand, when the immediate risk affects less economically important hosts, institutions are slow to act (see side panel).

Successful response can often be credited to collective action (11). Agreements, organizations, and cross-agency coordination programs have achieved success in the rapid response realm. To expand rapid response efforts in the near term, governing bodies could relax criteria authorizing the use of emergency funds to mobilize interagency responses to introductions and broaden criteria for imposing quarantines. Existing cross-agency and international frameworks and agreements could serve as a bridge to more centralized national and/or international pest management authorities.

In the US, Congress could increase funding for the cooperative APHIS “Tree & Wood Pest” Program (TWPP), which currently focuses heavily on suppression and eradication. The TWPP has been funded at the same annual rate (~\$55-60 million) since it was decreased by ~33% in 2012 (<https://www.usda.gov/our-agency/about-usda/budget>). The TWPP and specialty crops programs could support more expansive cooperative response by increasing funding and/or by taking advantage of cutting-edge tools, including mobile citizen science platforms, remote sensing, genomic surveillance, and rapid molecular detection (100, 148, 161).

In the longer term, centralized guidance modeled on the Centers for Disease Control and Prevention (CDCs) or Federal Emergency Management Agency (FEMA) in the United States, the European Centre for Disease Prevention and Control (EU), and World Health Organization

would enable more rapid detection and coordinated response (18, 63, 170). Such a model is outlined briefly in the section on resilience below. The ability of institutional frameworks to mount robust responses would be bolstered by more comprehensive stakeholder involvement, trust in decision-making processes, and an agreed-upon set of goals that serves the wider community (side panel).

### **Pest management**

Once invasive insects and pathogens have begun to spread across a new landscape, classical tactics for suppression, including chemical and microbial pesticides, mating disruption, and silvicultural manipulation, can be employed in planted and natural forests as part of an integrated pest management framework to contain them or reduce their impact. However, once established and spreading, many insects and pathogens are notoriously difficult to contain or suppress, especially in a matrix of public and private lands and in the midst of a society with mixed opinions on the appropriateness or acceptability of the tactics employed. Operationally, the success of suppression efforts depends on the type of pest, management context, and degree to which institutional frameworks incorporate and accommodate the principles of coordination, trust, setting common goals, and local adaptation driven by stakeholder engagement and empowerment.

Through cooperative interagency efforts including the TWPP in the US, spread and damage have been greatly reduced in some cases by setting goals to prioritize problematic invasive

insects and by employing a range of adaptive suppression tactics. These include the model success story of integrated approaches including aerial suppression via microbial pesticides targeted by pheromone-trap triggered models, biological control, quarantine, and pheromone-based mating suppression to contain *Lymantria dispar* (217, 141). Although recent reviews and meta-analyses cast doubt on the general effectiveness of salvage and sanitation (153), these silvicultural pest management strategies have contributed to successful local eradication and containment of Asian longhorned beetle *Anaplophora glabripennis* in the US (141, 217) and control of white pine blister rust in Korea (131) and China (283). In a combined silvicultural and semiochemical technique, bark beetles such as *Pityophthorus juglandis*, the vector of the fungus associated with thousand cankers disease of black walnut, can be lured with semiochemicals and/or artificially stressed “trap trees” that can then be removed (159, 206). Insects such as *Adelges* spp. (e.g. hemlock woolly adelgid) and emerald ash borer, as well as some fungi, are amenable to chemical control in urban and suburban landscapes and parks.

Chemical suppression is effective when supported by significant investment and stakeholder consultation for its use, but in practice, its application is often limited by scale, environmental costs, and social perception. While effective at scale in heavily managed forests and/or locally in urban contexts, suppression remains expensive and requires intensive and sustained effort, sometimes over decades, to yield success. In China, Japan, and Korea, biweekly aerial pine wilt disease suppression campaigns across millions of acres of forest utilize neonicotinoids, the same chemicals often used to drench or to inject individual trees for emerald ash borer in urban areas

in the US (202, 223, 281). Questions have been raised regarding the environmental cost, particularly to pollinator populations, of the aerial applications in pine forests in Asia. On the other hand, convergence of local interests around the control of emerald ash borer in urban areas has allowed for some success in mitigating loss of urban tree cover while boosting perceptions of self-efficacy (*sensu* 41) among citizens.

Suppression of invasive species is perhaps the most controversial management mode in public discourse. The intensity and high level of stakeholder involvement required from private landowners can contribute to a perceived lack of self-efficacy, fatigue and apathy regarding the larger issue of invasive species. Domestically, interagency working groups such as the National Invasive Species Council (NISC) and nongovernmental organizations such as The Nature Conservancy (TNC) have been instrumental in promoting self-efficacy through outreach programs such as: “Don’t Move Firewood” to limit the spread of bark and wood boring beetles (211); and “PlayCleanGo” (<https://playcleango.org/>), which reduces transmission of soilborne pathogens.

Biological control has yielded substantial success against a number of invasive forest insects, especially defoliators (e.g. 69, 98, 150, 224). For example, biological control of winter moth (*Operophtera brumata*) has been successful (56). However, as we explain below, the effective development and use of natural enemies to regulate established invasive pests would greatly benefit from a more rigorous consensus among the scientific community, regulatory agencies,

and the public on the specific contexts where it is practical, useful, promising, safe and/or ethical in realistic management contexts.

Biological control has many strengths and benefits as a tool to manage established pest populations. Natural enemies possess the valuable properties of being self-dispersing and reproducing, complementarity to other management tactics, and functioning in density-dependent fashion (56, 97, 120, 195). They are also sustainable in that they undergo natural genetic feedback, often with faster generation times than the pest, thereby preventing loss of efficacy due to pest evolution (103, 105, 120).

Despite these positive attributes, there are important circumstances when biological control has not been adequate to protect trees, particularly in the case of pathogens (181). This is especially true when host trees show both little resistance and little tolerance to the pest to allow for natural enemy buildup or the pests are protected within plants from many natural enemies (115, 128). Unfortunately, such instances include some of the most damaging, ecosystem-altering invasive organisms that are currently arriving in disproportionately high frequencies (8). Likewise, biological control has had relatively little success against invasive bark and wood-boring insects (but see 108), and even less against insect-phytopathogen complexes (181). Additionally, the utility of natural enemies can be constrained by higher trophic interactions and climatic mismatches in their introduced zone (198, 227).

## **Breeding for host resistance**

Host resistance breeding can provide an environmentally safe, bottom-up approach to combat established and future threats (203) at any stage of invasion (Fig. 1). In tree species most affected by novel pests, there is often a low frequency of genetically resistant individuals, and these will be vital in any attempt to recover the species and associated ecosystems. When properly organized and resourced, breeding programs offer potential to establish populations of genetically resistant trees in a timely manner (208, 209). Classical and biotechnology-assisted breeding includes the use of markers, transgenic and gene-editing technologies (51) and emerging tools for rapid phenotyping methods (e.g. 226). Importantly, in a collaborative approach, host breeding efforts could leverage germplasm from sentinel plantings abroad (60, 152), as well as citizen scientists domestically (109, 229). Introducing trees with improved resistance may also synergize with biological control by facilitating population build up of natural enemies.

The USDA Forest Service (USFS) has benefited from investment in successful resistance breeding programs for more than 50 years, some of which involve other federal, state, county, private and indigenous tribal partners and cooperators in a multitiered stakeholder-driven approach. USFS programs have recently developed resistant populations of ecologically, economically, and culturally important species, including *Acacia koa*, *Pinus* spp., and *Chamaecyparis lawsoniana*, which is expected to be unlisted from its threatened species designation in the near future (53, 66, 207, 209). Disease resistant populations of *Castanea dentata*, *Ulmus americana*, and more recently *Fraxinus* spp., are also in various stages of



development, approval, deployment, and improvement (27, 125). Indigenous tribes are taking a lead role in the deployment of resistant populations, including establishment of seed orchards (R. Sniezko, unpublished).

The continued and growing utility of host resistance to manage the forest health crisis into the future will depend on broad application of collective action principles, including agreements to prioritize target species based on economic, cultural, and ecological importance (156, 179). Success will also depend on long-term, pooled investment in infrastructure to develop and deploy resistance into the landscape (18, 27, 70, 163, 203, 209) if it is to successfully incorporate both host and pathogen diversity (229).

Much-needed public support for breeding is mounting (113, 163), particularly for transgenic resistance, including the major breakthrough with American chestnut (*C. dentata*; 192, 180, 230), which, as a famously functionally extinct species, offers opportunities to garner future support for host breeding (229) and beyond (27). Another success story, improved resistance of whitebark pine (*P. albicaulis*) to *C. ribicola*, has led to an integrated, collaborative, cross-institutional species restoration plan which has helped garner public support (157). Highlighting the need for collective action, successful development and deployment depend on long-term commitment to maintain programs over time and to maintain resistance in response to pest evolution and the introduction of new pest populations (27, 210).

## **Resistance and Resilience of Forests and Society to Major Invasive Pest Disturbances**

The relative degree of resistance and resilience that forests and societies have in the face of forest insect and pathogen invasions strongly depends on the social institutions governing natural resources, and their relationships among human communities and one another. These include, but are not limited to, property rights and the associated constraints; political arrangements associated with forest policy; forest product market mechanisms and supply chains; and traditional and local knowledge and practices related to forest management and conservation (15, 28, 82, 251). The resistance and resilience of forests and society can be enhanced by incorporating collective action principles into forest management systems (63) and by improving the ability of various stakeholders to take proactive steps to protect forest health and to mount a robust response to forest insect and pathogen invasions. Effective engagement requires strategic communication plans that fully account for the resources, living conditions and cultural values of stakeholders; that employ communication for education and social behavior change; and that make effective use of social marketing using technology and media (157).

### **Resistance and resilience of forest ecosystems**

Natural disturbances play a critical role in maintaining biological diversity at multiple scales. However, disturbances caused by invasive pests lead to permanent community shifts, including costly functional extinctions and losses of productivity (96). Resistance and resilience against

disturbances caused by invasions are therefore central to a holistic approach to protecting forests from invasive species (see 153).

Forest stand and landscape composition and structure, which can be modified by management practices, have implications for pest outbreaks (153) and, therefore, invasion biology. Diversity is integral to bolstering and sustaining forest resistance and resilience to biological disturbances (153), including invasive species. Genetic and structural diversity of plant communities at stand and landscape scales can be promoted by management based on natural disturbance regimes and at the landscape scale by using locally adapted material and by applying traditional ecological knowledge (10, 14, 52, 112, 153). Diversity promotes resistance to pest invasions through spatial and temporal variation in resource availability (especially with specialist pests and pathogens) and promotes recovery of ecosystem functioning and services through stand and landscape heterogeneity and redundancy of functional roles and life histories (153, 251). For example, susceptible species are sometimes protected by neighboring non-hosts (associational resistance); the accumulation of invasive pests is diminished by higher forest tree diversity; and pest damage increases with lower non-host diversity (36, 42, 94, 110, 112). A lack of top-down regulators like natural enemies (142) in degraded or low-diversity forests is also thought to be an important factor in the facilitation of biological invasions (102, 203). Diverse ecosystems are also more likely to rebound because there are other tree species present to replace the ones eliminated by the invaders.

From a social and international perspective, the management of forest ecosystems for resilience and resistance to invasions hinges on resourcing biodiversity conservation efforts, fostering cooperation, acknowledging economic realities and accommodating sustainable land use worldwide. It was thought for a long time that lower reporting of invasions in the tropics was due to biotic resistance, but recent scholarship suggests invasive species are underreported in these often heavily deforested and environmentally degraded, and/or economically poorer parts of the world, i.e. the weakest links, highlighting the need for investment from resource-rich trading partners (39) and free exchange of information. Moreover, success in the fight against climate change, which threatens forests with increased rates of both biotic and abiotic damage, may not be attainable without successful conservation and reforestation efforts across the world. Thus, efforts to ensure global forest resilience to biotic invasions should rely on a resilient global coalition that includes international cooperation between LIC, LMIC, UMIC, and wealthy nations, pooling resources to support research, monitoring, and management and building trust to identify local challenges, priorities, and knowledge.

### **Institutional and societal resilience**

We have outlined stopgap measures to begin to turn the tide on the forest health crisis. Below, we discuss how (1) coalition building, (2) robust research and development funding, and (3) reorganization of NPPO models will be needed to sustain these measures.

Above all, achieving strong international biosecurity, integrated domestic pest management, sustained and comprehensive research funding and a proactive policy stance will inevitably require building an inclusive global coalition. The effectiveness and longevity of such a collective action strategy will hinge on leadership, collective action principles (11, 63, 90, 170), and the ability of scientists and advocates to develop and communicate the costs and benefits of proactive vs. reactive policy (e.g. 138) through a compelling, emotionally engaging narrative. Such efforts must emphasize the significance of forests to the public and policy makers.

An effort to better connect local-level stakeholders will be central to addressing the crisis. In the US, making a case for the support of indigenous advocates may be an effective strategy to place the intrinsic value (e.g. 157) of natural systems front-and-center in agenda setting and policy formulation. Indigenous nations and rural populations bear the brunt of tree losses worldwide and have unique, locally adapted monitoring expertise (14, 189). Sporting and outdoor enthusiasts should also be natural advocates because of their stake in fishery, wildlife, and foraging habitat, as demonstrated by their involvement in restoration of Port-Orford Cedar threatened by invasive root rot (R. Sniezko, pers. obs.). Recruiting, training, and collaborating with citizen scientists could also constitute a powerful human resource for advocacy, monitoring and implementation of restoration efforts (123, 176). Labor unions and the forestry industry might become natural allies that could mobilize calls for improved trade regulations; the profitability of domestic production could rise as a result of tougher biosecurity measures. Cooperatives like the Pine Pandemic Preparedness Plan and Swiss Needlecast Cooperative

(Oregon State University) have demonstrated the potential support of industrial stakeholders for forest health preparedness, research and development.

Collective action could leverage existing efforts and infrastructure of cities and municipal governments, non-governmental soil and water conservation districts, and a vast network of stakeholder-based groups and foundations in the US and beyond (e.g. American Chestnut Foundation, Walnut Council, International Oak Council, Whitebark Pine Ecosystem Foundation, Sugar Pine Foundation, etc.). In free markets, support of certification groups such as the Sustainable Forest Initiative and American Tree Farm System in North America, or the Program for Endorsement of Forest Certification Schemes (PEFC) and the Forest Stewardship Council (FSC<sup>®</sup>) in Europe could also lead to incentivization of proactive monitoring and pest management on private lands (81). Bringing such a diverse set of stakeholders together behind a common set of priorities and goals is essential for collective action but will require careful messaging and reconciliation among conflicting interests.

International efforts to train, build capacity, and encourage interdisciplinary research and cross-training are also needed. Within the science community, a common vision, pooling of resources, and investment from private and public sources will be required to support essential research activities to protect forest health. In recognition of the highly interconnected nature of modern forest health threats, coordinated academic collaboration will be required among tree geneticists and breeders, pathologists and entomologists (111, 235), ecologists, foresters, sociologists, anthropologists, psychologists, communication scholars, political scientists,

economists, and even public health specialists (18). Unfortunately, funding for the fields of forest pathology and forest entomology and for host resistance breeding programs have severely declined in the US in the last 30 years (19, 24, 67, 96, 231). Funding for forest health research will need to be strengthened to facilitate a coordinated effort across research institutions to hire faculty and graduate students in pathology, entomology, human dimensions of natural resources, and other plant health fields to focus on forest health issues (3). Such programs may be non-existent, especially in LICs and LMICs, and for economically unimportant tree species, further highlighting the need for resource pooling to support weakest-link partners.

Most importantly, it is high time to rethink existing structures and operations of forest health protection organizations, i.e. forest NPPOs. In recognition of the need for stakeholder-driven, multitiered and centralized coordination, a system of Centers for Forest Pest Control and Prevention (CFPCPs) was recently proposed as an organizational model for the implementation of an integrated set of evidence-based forest pest management strategies among academic, national, local, tribal, and non-government stakeholders and agencies in the US (18). We advocate that such models be bolstered and adopted not only in the US but also by other governmental and non-governmental bodies. The International Union of Forestry Research Organizations (IUFRO) and the Food and Agricultural Organization (FAO) of the United Nations (e.g. through established regional forest invasive species networks) could be integral to coordinating efforts among CFPCPs in a role analogous to the World Health Organization.

CFPCPs would also play an analogous role to the CDCs to build trust with the public through focused science communication (194).

As a model of collective action, the centralized authority would facilitate coordination across multiple agencies and levels of government to implement the collective action forest health framework outlined above. NPPOs will need to take coordinated action on international scales via efforts that could be spearheaded by IUFRO, governing bodies such as FAO and major influential NGOs such as the North American Invasive Species Management Association, the Environmental Defense Fund, The Nature Conservancy, Intergovernmental Platform on Biodiversity and Ecosystem Services, Natural Resources Defense Council, and International Union of Conservation of Nature and Natural Resources (IUCN).

## **A Strategy for Advocacy to Shift the Paradigm**

A fundamental paradigm shift is essential for long-term, sustainable forest health policy solutions (Fig. 2). Ultimately, it will be imperative to elevate forest health to a more prominent position in national and international political, societal, and scientific discourse (77). In addition to public engagement through collaborating NGOs, concerted effort will be required among the lobbying arms of the national and international societies in relevant fields of scholarship to advocate for funding and support (73). If advocates prioritize short- and long-term solutions such as those we have outlined, the societal, economic, and political paradigm around forest biosecurity and the health of natural ecosystems will eventually shift to an improved state



characterized by proactive policy approaches that positively reinforce resilient forests and help to foster a more sustainable society (Fig. 2). If not, positive feedback within the interconnected web of societal and environmental crises that have come to characterize the Anthropocene will only increase their intractability.

Destructive invasions by insects and pathogens of forest trees are sometimes misperceived as solely a forest health issue. In reality, the state of health of our forests has significant ramifications for other important issues, e.g. climate change, economic development, public health, and social equity. However, this reality has not yet led to broad support for forest health among policy actors and institutions whose interests align very well with the issue. While the aforementioned NGOs have the expertise to influence policy decisions at the international level to address the forest health crisis, their agendas are filled with other intimately connected forms of environmental degradation, which can lead to a relative loss of focus on the issue of invasive forest insects and pathogens. It will be essential to emphasize that healthy forests protected from, and resilient to, invasive insects and pathogens will be critical to maintaining a healthy biosphere.

One way to make a case for the importance of integrating forest health into efforts to address more high-profile global grand challenges is to shift social perception of what is acceptable and possible over time. Through policy and pressure, short-term measures such as those detailed above have the potential to promote perceptions of self-efficacy (41), generating a groundswell of support to attract NGOs, parliaments, and politicians to the forest health crisis as an issue to

rally around. For example, emphasis on health of urban forests and their importance may offer an effective public engagement strategy due to relevance for most of the public in terms of the myriad cultural, ecological and economic values and benefits of urban forests, and the large costs to municipalities and residents of losing urban forest cover (e.g. 61, 155). Lessons from previous social dilemmas reveal the power of such a public groundswell. Outcry brought universal condemnation to the damage caused by a widely used insecticide (DDT) due to the optics of declining charismatic songbirds such as the Spotted Towhee, and non-target insects such as the monarch butterfly (33). Similarly, people who came of age before the 1990s or even more recently remember a time when tobacco smoking was common in public spaces and not considered a public health issue; today, thanks to public health advocacy, the opposite is true throughout the world.

Forest health specialists will be tasked with a protracted fight to make forest protection a societal priority by linking forest health to public health and presenting it as the global public good that it is. Only the most diverse, forward-thinking, and inclusive environmental advocacy leadership will be capable of sustaining that fight, building trust, and facilitating negotiations among stakeholders. It is imperative that academics commit themselves to championing diversity, building trust and communication with stakeholders and landowners, collaborating outside their field and advocating with agency staff, parliaments, and NGOs, while continuing to do research focused on the crucial questions relating to how to identify, prevent, and manage invasive species. Agency staff may use their existing authority to prevent as many new pest

invasions as possible and to effectively manage established threats while intentionally cultivating a societal and political environment conducive to trust among scientists, stakeholders, and public servants. The public may call on congresses and parliaments to strengthen trade regulations and to provide funding for agencies and academics to do their jobs effectively and proactively.

Likewise, it is essential that NGOs use their lobbying power to advocate for the urgency and importance of the forest health crisis before it becomes an even greater catastrophe. Like the connected problem of climate change, the mobilization of an unrelenting and fully inclusive, multi-tiered international movement to make “think global, act local” a societal norm is the principal long-term challenge posed by the global forest health crisis (170, 171).

## **Summary Points**

- The challenge posed by biotic invasions is inherently international in scope and universal in consequence
- The forest health crisis is intimately connected with many of the most prominent and existential grand challenges to ecological and economic sustainability of the Anthropocene
- We have outlined short term actions that can be taken to move toward a more sustainable stable state for the world’s forests and society

- Even the most genuine and well-resourced efforts to address the forest health crisis will eventually fail if they do not fully embrace the collective action principles outlined in this work
- In order to reduce the rate of introductions, effectively detect and respond to new invasions, manage established insects and pathogens, and bolster resistance and resilience of ecosystems and society to forest health threats, there is a need for trust, coordinated cooperation, continued public education and awareness, a common vision, locally adapted strategies, and shared investment

## **Future Issues**

- To achieve a common vision and to build and sustain the collective will to do so, leaders must empower, engage, and listen to a broader stakeholder base
- Due to the fundamental role that resilient forests play in the health of the biosphere, functioning of global economies, and viability of local communities, a case can be made for integration of forest health efforts into companion advocacy related to empowering local and indigenous communities, LICs and MICs, the conservation of biodiversity, and collective action to address climate change
- Policy must also take into account the intrinsic, cultural, and non-market value of forest ecosystems in risk assessment and proactive decision making processes

- Ultimately, stakeholder empowerment will lead to a wider societal embrace and collective will for stewardship of biodiversity and a more resilient society

## **Statement of Author Contributions**

All authors contributed to the conceptualization and writing of the manuscript. As explained in the main text, the multidisciplinary project conceptualization process occurred over the course of two meetings (Plant Health 2020 and 2021 North American Forest Insect Work Conference) and two years of email discussions and smaller virtual meetings among the authors. The manuscript writing and editorial process also included multiple rounds of feedback as well as written and intellectual contribution from all the authors.

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## Annual Reviews Optional Elements

### Reference annotations

11. Review and meta-analysis that identifies the most critical collective action principles in plant invasion dilemmas
18. Introduces concept of Centers for Forest Pest Control and Prevention
63. Considers socio-political dimensions of invasive plant pathogens and treats plant health as a common-pool resource
169. Good review of current instruments aimed at curbing forest invasive species and their history
170. Nobel-winning work on collective action to solve common pool resource social dilemmas in natural resources
193. Wake-up call linking invasions to trade, inadequacy of current policy and need for proactive approach
199. Identifies growing threat to biodiversity in emerging economies
209. Reviews practical considerations of implementing effective resistance breeding programs in forestry
214. Uses two case studies to put people first in telling the invasive forest pest narrative
238. Uses case studies to highlight how sociopolitical dimensions of invasions complicate and restrict solution space

## Terms and Definitions

1. Common-pool resource

A resource such as fisheries, air quality or forest health for which benefits, damages, and responsibility are shared among stakeholders

2. Prisoner's dilemma

Two-choice, two-player, double-blind game in which cooperation carries the largest payout if mutual but the largest penalty if the other player defects

3. Collective action

Action taken by multiple actors to achieve a common objective; also known as the solution to a social dilemma

4. Economic risk assessment

The process of assessing risk based on probability, expected impact, and economic value of resources threatened by a biological threat

5. Biosecurity

Protective measures taken to prevent the introduction of organisms that could threaten biological resources or people

6. Monitoring and surveillance

The use of visual inspections, traps, remote sensing, molecular detection, and other technologies to detect pests

7. Early detection and rapid response

Effective monitoring and surveillance that leads to timely detection that ultimately triggers effective containment and eradication of invasive pest incursions

8. Sanitation

A silvicultural pest management measure in which forest stand structure is augmented to impede pest spread and population growth

9. Salvage

A silvicultural pest management measure aimed at the reduction of pest populations achieved by the removal of infested trees

10. Chemical suppression

The application of chemicals, typically toxic insecticides, fungicides, etc. to deter, inhibit, or kill pests to reduce their populations and impact

11. Behavioral control

Behavioral modification, typically achieved through the deployment of semio-(behaviorally active) chemicals, to attract, repel, or disrupt life-cycles of insects

12. Biological control

The introduction, augmentation or conservation of predators, pathogens, and competitors to regulate pest populations in invaded ecosystems

13. Host resistance (general)

Relating to a plant, relative minimization (quantitative) or absolute prevention (gene-for-gene) of infection by pathogens or feeding by insects



14. Ecological resistance

The ability of an ecosystem to withstand or buffer against incursions and disturbances (48, 99, 133)

15. Resilience

The ability of a system to recover from disturbance; alternatively, the magnitude of disturbance required to cause a permanent shift in composition and/or disturbance regime (48, 99, 133, 153)

16. Tit-for-tat

In an iterative prisoner's dilemma, the strategy of reciprocity consisting of initial cooperation followed by copying the other player's moves

17. Sentinel trees

The strategic use of trees in new or existing plantations and gardens for international (pre-introduction) or domestic (post-introduction) pest surveillance

18. Tolerance

Relating to a plant, the ability to withstand infection or herbivory asymptotically and/or with minimal impact on growth and/or fecundity

19. Insect-phytopathogen complex

A plant disease whose manifestation requires both feeding activity of a vector or non-vector insect(s) and infection by a pathogen(s)

20. Host resistance breeding

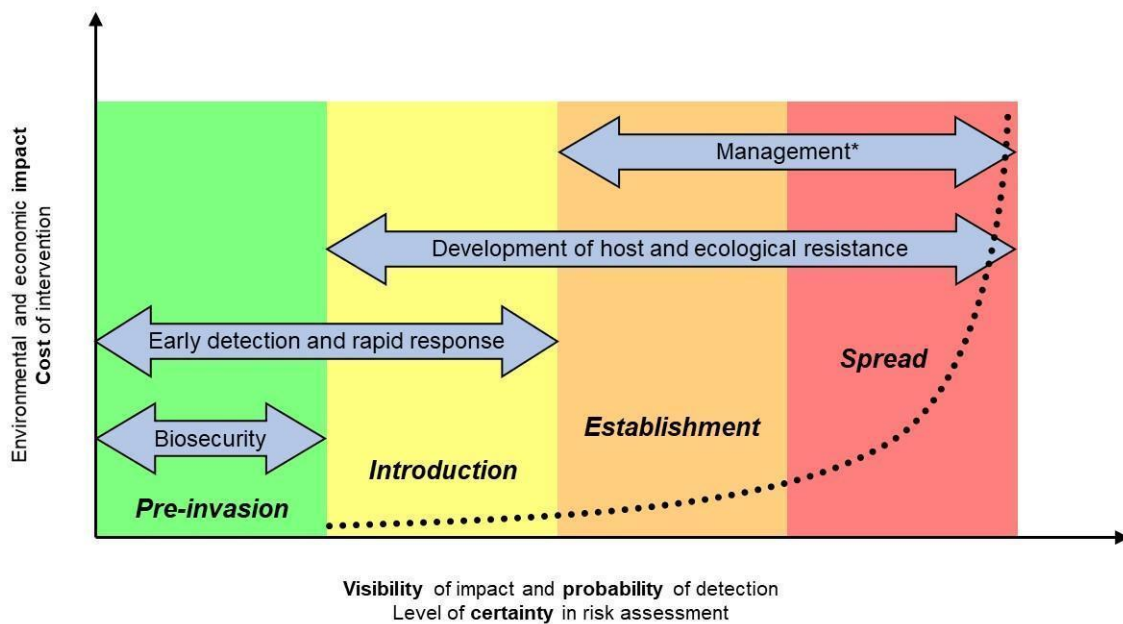
The progressive selection and propagation of genes or genotypes in plant populations to improve host resistance to pests

### **Sidebar: Institutional responses to laurel wilt and myrtle rust**

Poignantly, scientists in the US sounded the alarm for a decade as laurel wilt disease (LWD) caused by *Raffalea lauricola* and its ambrosia beetle vector rampaged through native forests in Florida. It was only when LWD hit the avocado industry that action was directed by inter-institutional committees such as the National Plant Board to try to slow the spread of the disease, and then only to protect avocado. The avocado industry in Florida has since lost over 25% of its producing land area (62, 228). LWD continues to spread and threaten an entire family of woody flowering plants in the eastern US, and avocado production and the center of Lauraceae diversity in Latin America (74, 91, 106, 147, 168). Likewise in Australia, which harbors over half of global diversity of the plant family Myrtaceae (~2,250 species), eradication campaigns for myrtle rust were prematurely abandoned due to commercial considerations. This action was taken despite a verifiable threat to ~350 native species of trees, including *Eucalyptus* spp., which constitute over 75% of forested area in the country (32). Note: we still have 23 words to give in this sidebar.

## Figures

**Fig. 1.** A conceptual model of the biological phases (colors) of invasions of forest insects and pathogens and corresponding social actions and policies (blue arrows). Dotted line depicts pest population size and geographical extent (y axis) of invasions over time (x axis), and axis labels describe some positively correlated attributes of social costs and risks. This graphical representation is not intended to be proportional or empirical. \*Management includes silvicultural, chemical, behavioral and biological control.



**Fig. 2.** Alternative stable states of global forest health and society in the face of increasing volumes of global trade and climate change. Circles represent the reinforcing effect of the interacting components on one another, which push forest health (and societal and ecological systems) towards either resilience or crisis.

