1 COMMUNITY-SOURCED SIGHTINGS OF ATYPICAL BIRDS CAN BE USED TO 2 UNDERSTAND THE EVOLUTION OF PLUMAGE COLOR AND PATTERN

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10 ABSTRACT

11 Birds are known for their brilliant colors and extraordinary patterns. Sightings of individuals with 12 atypical plumage often cause considerable excitement in the birding public, but the difficulty of 13 studying these one-off sightings means they have received less attention by the scientific 14 community. In this perspective, we argue that sightings of individuals with atypical plumage hold 15 the potential to further our understanding of the evolution of plumage color and patterning in birds. 16 As a demonstration, we focus on sightings of leucistic individuals—those that lack melanin across 17 the body or in certain feather patches-and outline two case studies. First, we discuss the 18 potential for understanding carotenoid pigmentation with these sightings. Leucism influences 19 melanins, but not carotenoids, and so with these sightings the extent and distribution of 20 carotenoids across the body are unmasked. In a leucistic individual, carotenoids may or may not 21 be more extensive than what is typically visible and this could help to understand the energetic 22 costs and constraints that are involved in obtaining, processing, and depositing carotenoids in 23 different species. Second, we discuss how partial leucism could provide insights into plumage 24 pattern evolution. We demonstrate that one can use the many observations present on community 25 science platforms to identify repeated patterns in different partially leucistic individuals of the same 26 species, and match these to patches present in related species. These patterns could be the

27 result of shared underlying genetic variation that controls plumage patterning in birds over a 28 variety of evolutionary distances. With these case studies we outline just a few potential lines of 29 inquiry that are possible with sightings of these atypical individuals. We encourage researchers 30 to take full advantage of these chance sightings when they occur and database managers to 31 make it possible to more easily tag photos or sightings of individuals with atypical plumage. 32

33 LAY SUMMARY

- The fascination of the birding public with the brilliant colors and patterns of birds means
 sightings of individuals with atypical plumage receive extraordinary attention.
- We suggest these sightings should receive equal attention from the scientific community,
- 37 as they could further our evolutionary understanding of bird color and patterning.
- As a demonstration, we outline two case studies using sightings of leucistic individuals—
 those lacking melanin in some or all of their plumage.
- We encourage researchers to take full advantage of these rare sightings and database
 managers to enable easy searches for sightings of atypical individuals.
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43

44 The vibrant diversity of bird coloration and patterning has fascinated ornithologists and birders 45 alike for centuries. Differences in coloration and patterning provided the foundation in ornithology 46 for describing new bird species and their evolutionary relationships to each other. In the last 47 century, the desire to understand the function of bird phenotypes in natural and sexual selection— 48 from mate choice and camouflage to thermoregulation and feather structural integrity—has driven 49 many ornithologists (Hill and McGraw 2006a, Terrill and Shultz 2021). And in more recent years, 50 there has been a surge of interest in understanding the genetic basis of these phenotypic 51 differences (Funk and Taylor 2019, Price-Waldman and Stoddard 2021). All of these endeavors 52 are made possible by the great diversity of bird coloration and patterning that is produced by just a handful of processes. Melanin (blacks/browns/grays) and carotenoid (yellows/oranges/reds) pigments are predominant across birds (Hill and McGraw 2006b), but less common pigments such as psittacofulvins (parrots; Stradi et al. 2001), spheniscins (penguins; Thomas et al. 2013), and porphyrins (Bleiweiss 2015) are also present in certain species. The addition of feather structure in combination with deposited pigments further increases the phenotypic diversity in birds, allowing for both iridescence and colors (e.g., blue, super black) that cannot be produced by pigments alone (Price-Waldman and Stoddard 2021, McCoy et al. 2021).

60 With the exceptional interest in bird coloration and patterning, it is no surprise that 61 sightings of birds with atypical plumage often receive a lot of attention. As it is both easy enough 62 to identify when a bird has "abnormal" plumage and occurs frequently enough in nature, when 63 these sightings occur they often become an attraction to be chased by the birding public (e.g., the 64 sightings of "yellow cardinals" that occur every few years; Saha 2018). In contrast, these sightings 65 get a much more muted interest in the scientific literature (e.g., Schreiber et al. 2006, Shawkey 66 and Hill 2006). The rise in community-driven science has made it possible to study these sightings 67 in large numbers (Izquierdo et al. 2018, Zbyryt et al. 2021) in a way that is nearly impossible with 68 museum holdings or an individual's own sightings alone. Here, we argue that viewing atypically 69 colored birds through an evolutionary lens represents a unique opportunity to understand the 70 evolution of plumage coloration in birds. In this perspective, we focus specifically on sightings of 71 leucistic¹ individuals.

Leucistic birds lack melanin pigmentation in the feathers of certain body patches ("partial" leucism) or across the entire body ("full" leucism). This lack of melanin in the feathers reveals the patterns and colors that are present underneath, allowing us to see what is not normally visible in the plumage. We believe this "unmasking" of the plumage underlying melanins could provide important insights into topics such as pigment deposition, the costs involved in depositing different

¹ Note that the terminology around atypical plumage has varied greatly over time and across the different groups interested in birds (Guay et al. 2012, van Grouw 2021).

pigments, and the role different pigments play in other feather functions. Additionally, the patterns present in individuals with partial leucism could advance our understanding of the genetic basis and evolution of color patterning in birds. Below, we present two case studies demonstrating the potential uses of sightings of leucistic individuals.

81

82 Case Study #1: leucism can unmask mechanisms involved in carotenoid pigmentation

83 Sightings of leucistic individuals are particularly useful for understanding the distribution and 84 extent of carotenoid coloration (Figure 1). As carotenoid pigmentation is not influenced by the 85 same biological processes that produce or deposit melanin pigmentation (Toews et al. 2017), a 86 leucistic bird that possesses both melanin and carotenoid pigments in their feathers would have 87 their carotenoid pigmented feathers on display. In some cases, the extent of carotenoid patches 88 may differ in leucistic individuals from what is typically visible, as is the case in the Red-winged 89 Blackbird (Agelaius phoeniceus; Figure 1A-B). In other cases they may be similar, as in the 90 Yellow-rumped Warbler (Setophaga coronata coronata; Figure 1C-D). Both situations present an 91 opportunity to understand the specificity of carotenoid pigment deposition across the body and 92 how energetically costly it might be to produce the carotenoid patch. If the carotenoid patch is 93 much more extensive in the leucistic individual (Figure 1A), then it may not be difficult to obtain 94 the dietary carotenoids in nature or be energetically costly to produce the patch. As the energetic 95 cost of carotenoid pigmentation has a long-history of study and debate (reviewed in Svensson 96 and Wong 2011, Koch et al. 2018)-from obtaining the dietary carotenoids to biochemically 97 processing them in the body and depositing them in the developing feathers—assessing the 98 extent of carotenoids in leucistic individuals could provide a clue to the ease of obtaining dietary 99 carotenoids and the costliness of producing the patch. On the other hand, if the extent of the 100 carotenoid patch is similar in the leucistic individual as in the typical plumage (Figure 1C), then 101 this might suggest some kind of cost or constraint does exist.

102 As feather patches that are pigmented with carotenoids are often the subject of sexual 103 selection (Hill and McGraw 2006a), understanding the actual extent of the deposited carotenoids 104 can influence our understanding of the evolution of these colors. For example, a common 105 argument in birds is that females prefer males that are brighter in their carotenoid plumage as this 106 represents an honest signal of the male's quality (Svensson and Wong 2011). This assumes 107 some cost or constraint in obtaining or producing the bright coloration resulting in only the best 108 quality males being able to produce the brightest colors. Assessing leucistic individuals in a 109 particular species of interest can help determine if this kind of argument might apply. It might be 110 possible to better pinpoint the stage in the carotenoid acquisition, processing, or deposition where 111 constraints exist: maybe it is easy to obtain carotenoids in the diet (e.g., if carotenoids are more 112 extensive in leucistic individuals than in the typical plumage), but there is a constraint in the 113 carotenoid deposition (e.g., if there is variation in the extent of carotenoid plumage in leucistic 114 individuals). Leucistic individuals might reveal that the constraint is more complicated than initially 115 thought, or that the constraint does not exist at all. Importantly, sightings of leucistic individuals 116 make this kind of assessment straightforward without the need to assess if carotenoids are 117 present across the different patches of the body using more exhaustive and expensive methods.

118

119 **Case Study #2: partial leucism can elucidate plumage pattern evolution**

120 Partial leucism can be produced by different mechanisms, and can produce patterns ranging from 121 a few aberrant feathersto entire body regions. When an individual has distinct leucistic plumage 122 regions, they may be useful for understanding the evolution of typical plumage patterns across 123 species. There have been great advances in the genetic and developmental mechanisms 124 underlying plumage patterns in the genomic era, but many questions remain unanswered, 125 particularly regarding the mechanisms underlying the spatial distribution of plumage colors on an 126 individual (Price-Waldman and Stoddard 2021). Recent genomic studies of closely related 127 species have identified regions of the genome associated with plumage patterns that contain sets

of genes that demonstrate modular evolution (e.g., genes that are shared across a radiation and
seem to turn color in body patches on or off; Stryjewski and Sorenson 2017, Estalles et al. 2022).
However, we know very little about how plumage patterns might evolve or persist on longer
evolutionary timescales. Studying partially leucistic individuals that demonstrate particular
plumage patterns may be a way to bridge some of the gaps in our understanding.

133 For some species, there are observations made of many leucistic individuals that occur in 134 different parts of their range, suggesting that they occur independently of each other. By 135 quantifying where on the body leucistic patches occur, one could identify consistent patterns that 136 occur across multiple individuals. These patterns may be indicative of genetic variation for color 137 patterns that exist in the genome that are not usually expressed. For example, by using 138 observations of birds marked as "leucistic" on iNaturalist (http://www.inaturalist.org), we identified 139 consistent patterns in the head of the Red-winged Blackbird (Figure 2A-C) and in the cheeks and 140 crown of the House Finch (Haemorhous mexicanus) (Figure 2D-F). Photographs can be very 141 useful for quantifying phenotypic trends, but cannot provide genotypes for the individuals in 142 question. However, leucistic birds may persist in certain localities (e.g., the individual in Figure 2B 143 is known as 'baldy' and has been observed on the same territory for several years) and as has 144 been demonstrated with hybrids (Toews et al. 2020), a vigilant observer of community science 145 platforms may be able to obtain DNA samples from known individuals. Once consistent patterns 146 have been identified within a species, identifying analogous patches from typical plumage of birds 147 related to the species with leucistic patches may provide insight into how long genetic variation 148 might persist in the genome. In Figure 3, we provide four examples of leucistic patches that occur 149 in typical individuals of species from the same family. With a large dataset, calculating 150 evolutionary time between species could illuminate patterns of patch evolution across the bird 151 phylogeny.

152

153 **Concluding thoughts**

154 In this perspective, we demonstrate how sightings of leucistic birds provide a unique opportunity 155 to understand coloration and patterning in birds, especially when viewed through an evolutionary 156 lens. We outline just a small subset of the potential scientific applications for sightings of birds 157 with atypical plumage. Similar lines of inquiry can be applied to leucistic birds that possess less 158 common pigment types, such as in the recent sighting of a leucistic King Penguin (Aptenodytes 159 patagonicus) that produces yellow coloration with spheniscins instead of carotenoids (Zhang 160 2021). Moreover, sightings of individuals with different kinds of atypical plumage-such as 161 melanism—could also provide insights into the evolution of color patterning across birds.

162 We suggest researchers take full advantage of sightings of atypical individuals of their 163 particular species of interest when they occur and to mine community science databases for 164 previous sightings. Particularly in species that are difficult to study, these fortuitous sightings could 165 help complement or reinforce findings from current work or even provide useful starting 166 hypotheses for future work. Additionally, we believe these sightings represent a great opportunity 167 to engage with community scientists and the birding public, as they already express an outsize 168 interest in atypically colored birds (e.g., various projects on iNaturalist and fervent press focused 169 on these sightings). In fact, the British Trust for Ornithology already encourages their members to 170 report individuals with atypical plumage sighted in British gardens (BTO 2012). Finally, we 171 encourage those that manage databases of bird sightings to make it possible to more easily tag 172 when a photo or sighting is from an individual with atypical plumage. Advancing research on these 173 sightings will require accessible photos and documentation, and this will only become practical 174 when it is easily possible to search and download sightings of atypical individuals.

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- 185

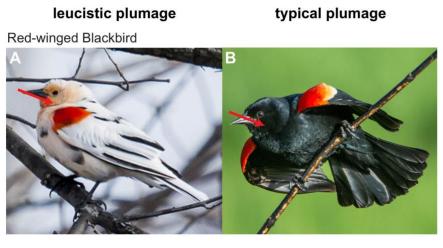
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- 248

Figure 1



Yellow-rumped Warbler



250

FIGURE 1. Red-winged Blackbirds (*Agelaius phoeniceus*) with (**A**) leucistic and (**B**) typical plumage demonstrating carotenoid coloration on the head, face, and throat that is hidden by melanin. Yellow-rumped Warblers (*Setophaga coronata coronata*) with (**C**) leucistic and (**D**) typical plumage demonstrating no hidden carotenoid coloration. Photo credits: (**A**) permission from Nancy Nabak; remaining photos obtained from iNaturalist and used under a CC-BY-NC license from (**B**) Jacob Collison, (**C**) Janice Goetz, and (**D**) Christa Denning.

Figure 2

Red-winged Blackbird



FIGURE 2. Examples of similar patterns of partial leucism present in different (A-C) Red-winged Blackbirds and (D-F) House Finches (*Haemorhous mexicanus*). All photos obtained from iNaturalist. Photo credits: (A) CC-BY Jonathan Eisen, (B) CC-BY-NC-ND Randy Harwood, (C) CC-BY-NC Greg Lasley, (D) CC-BY Kalvin Chan, (E) CC-BY-NC Chris Bosacki, and (F) CC-BY-NC Reina Pearson.

Figure 3

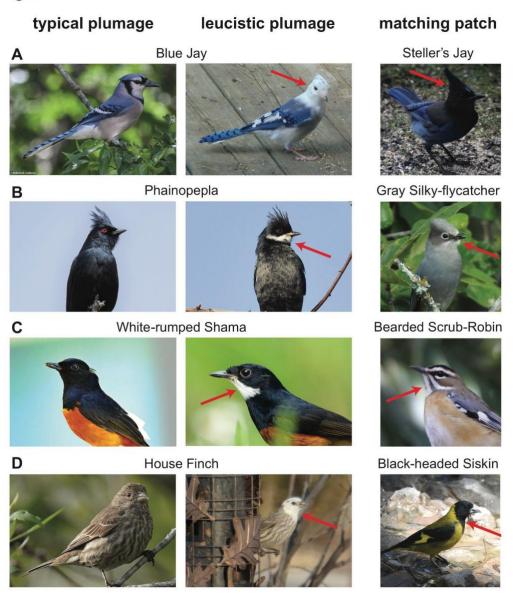


FIGURE 3. When specific regions of the plumage are leucistic, they may be similar to plumage patterns observed in other related species. These four examples each demonstrate a leucistic plumage patch that is matched by the plumage pattern of another species in the same family. (**A**) The leucistic head, upper back, and breast of the Blue Jay (*Cyanocitta cristata*) is similar to the black patch observed in the Steller's Jay (*Cyanositta stelleri*). (**B**) The leucistic chin of the Phainopepla (*Phainopepla nitens*) is similar to the light-colored chin of the Gray Silky-flycatcher (*Ptiliogonys cinereus*). (**C**) The leucistic chin of the White-rumped Sharma (*Copsychus*)

malabaricus) is similar to the white chin of the Bearded Scrub-Robin (*Cercotrichas quadrivirgata*). (**D**) The leucistic head of the House Finch is similar to the black head of the Black-headed Siskin (*Spinus notata*). All photos obtained from iNaturalist. Photo credits (in order from left to right within panels): (**A**) CC-BY-NC Roberto R. Calderón, CC-BY-NC madworld1962, and CC-BY-NC macrhybopis. (**B**) CC-BY-NC Mark Otnes, CC-BY-NC brodiaea_max, and CC-BY Martín Márquez. (**C**) CC-BY-NC sunmr, CC-BY-NC Ben Tsai蔡維哲, and CC-BY-NC maritzasouthafrica.

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