

# 1 fundiversity: a modular R package to compute functional diversity indices

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## 3 Abstract

4 (max 350 words)

- 5 1. Functional diversity is widely used and widespread. However, the main package used to compute  
6 functional diversity indices `FD` is not flexible and not adapted to the volume of data used in modern  
7 ecological analyses.
- 8 2. We here present `fundiversity`, an R package that eases the computation of classical functional  
9 diversity indices. It leverages parallelization and memoization (caching results in memory) to maximize  
10 efficiency with data with thousands of columns and rows.
- 11 3. In addition to being more flexible we did a performance comparison with packages that provide analog  
12 functions. `fundiversity` was always an order of magnitude quicker than alternative packages.
- 13 4. `fundiversity` aims to be a lightweight efficient tool to compute functional diversity indices, that can  
14 be used in a variety of contexts. Because it has been designed following clear principles, it is easy to  
15 extend. We hope the wider community will adopt it and we welcome all contributions.

16 *Keywords:* biodiversity;, diversity facet;, R package;, functional biogeography;, functional ecology;,  
17 community ecology

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## 20 Introduction

21 Functional diversity, the diversity of traits across scales, is a major facet of biodiversity (Pavoine &  
22 Bonsall, 2011). It has been widely used across ecological contexts (Cadotte et al., 2011) and has been  
23 shown to relate to ecosystem functioning (Díaz & Cabido, 2001; Leps et al., 2006). Many indices exist to  
24 characterize it across its three dimensions: richness, evenness, and divergence (Pavoine & Bonsall, 2011).  
25 To compute these indices in reproducible ways ecologists rely on computational tools able to crunch the  
26 numbers for thousands of species and thousands of sites. In the last few years, R has been the programming  
27 language of choice for ecologists (Lai et al., 2019; R Core Team, 2021). The main tool available to compute  
28 functional diversity indices has been the `FD` package which has now accumulated more than 1200 citations  
29 (Laliberté et al., 2014). But `FD` has been released in 2009 and has since then only received minor updates  
30 that stopped in 2015. At the same time, best practices in software development have changed dramatically  
31 and new, higher-performance tools have emerged in the R ecosystem. Additionally, since 2009, the size of

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ecological datasets has grown exponentially (Farley et al., 2018; Wüest et al., 2020) and high performance computing (HPC) environments have become standard. There is therefore a dire need for a modern package to compute functional indices using state-of-the-art software development techniques and tools.

The main function of the FD package `dbFD()` lets users compute a dozen functional diversity indices in a single call from raw trait data (Laliberté et al., 2014). While great for exploratory analyses this can increase computation time when only a single index is needed. Furthermore, it does not enforce good practice in choosing beforehand the appropriate functional diversity index for the question(s) asked (Legras et al., 2018; Mason et al., 2013; Schleuter et al., 2010). It encourages the user to fish the functional diversity index that matches their predicted relationships (a form of p-hacking). This can lead the users to report all computed functional diversity indices even when there are no clear expectations on different functional diversity facets and/or to report correlated indices (Legras et al., 2018; Mason et al., 2013; McPherson et al., 2018; Schleuter et al., 2010). Computing all indices in a single function also makes long-term maintenance and addition of new indices harder. Finally, it adds an extra performance burden in the case where not all indices are needed.

The average size of datasets analyzed in ecology increased several folds in the last years (Wüest et al., 2020). Considering that most analyses on functional diversity rely on null models that increase the data size by two or three orders of magnitude (Gotelli & Graves, 1996), the need for efficient computation is paramount. First, any improvement of the algorithmic efficiency to compute functional diversity indices could save sensible amounts of time as it is repeated many times. For example, we noted that many R packages that compute functional diversity indices do not leverage matrix algebra with its libraries available that can cut the number of operations by orders of magnitude compared to using a loop directly in R. Second, functional diversity indices are generally computed over many mathematically independent sites. With the rise of multi-core computers, parallelization, i.e. splitting independent computations between different Computing Processor Units (CPUs), is becoming the norm. Very few functional diversity R packages propose parallelization which leaves the burden of implementing it to the user. There have been formidable new developments in this area in R over the last few years with the release `future` framework (Bengtsson, 2020) that allows the user to seamlessly parallelize computations on multiple cores on a single machine or across several machines, or even on a remote cluster without changing execution code. Third, computations on the same input can be cached through a process called memoization (Wickham et al., 2021). This avoids wasting computing power on previously seen inputs. Several functional diversity indices rely on the computation of convex hulls across a multi-dimensional space (Cornwell et al., 2006; Villéger et al., 2008). Caching the results of this costly computation could save time and computing power when measuring the diversity across similar sets, such as sites across a given region.

Increasing discussions are held regarding scientific software robustness and reliability in ecology (Mislan et al., 2016; Poisot, 2015; White, 2015; Wilson et al., 2017). Mainly because most ecologists are self-trained in programming (Farrell & Carey, 2018), these virtuous practices are rarely applied in ecology (Barraquand et al., 2014). For example, unit tests use predefined inputs to compare the software’s outputs to expectations (Poisot, 2015). Unit tests have also become standard in R packages since the release of packages streamlining this process, such as `testthat` and `tinytest`. In part because of the relative recentness of the testing frameworks, very few R functional diversity packages provide unit tests to assess that the functions behave expectedly. Automatic tests of one’s code are crucial when developing a tool for a wider audience as it may be used across different contexts.

We here propose a modern alternative to FD called `fundiversity` that benefits from modern development practices, necessary features for large-sized datasets (modularity, parallelization, and memoization), and greater flexibility. The package can be easily extended to accommodate additional diversity indices not covered by following a clear design pattern detailed in the next section. We then go through a use case to show how it can be used. We then compare the performance of `fundiversity` against similar packages.

## Main features of `fundiversity`

To ensure the consistency of its functions and to make it user-friendly, `fundiversity` follows clear design principles. In this section, we expose its distinctive features and principles.

82 To give maximum flexibility to the users, we tried to build `fundiversity` as modular as possible. Each  
83 function in `fundiversity` computes a single functional diversity index, as such if the user is interested  
84 in computing a single index, they only need to use a single function. All functions in `fundiversity` are  
85 prefixed with `fd_` to avoid conflict with similarly named functions in other packages, as it's becoming  
86 standard practice in newer R packages (rOpenSci et al., 2021). In line with its modularity, we focused on  
87 making the inputs and outputs of functions coherent. The functions compute functional diversity indices  
88 using two main information: a species by traits matrix and a site by species matrix, all functions accept  
89 these two objects as first arguments. Because the function outputs one diversity value per site the outputs  
90 are always structured similarly: one `site` column that contains the name of its sites and one column named  
91 as the computed index (such as `FRic` when computing functional richness). The shape of the output is  
92 predictable and easy to be combined with other data.

93 Parallelization can be an easy way to vastly decrease computation speed why leveraging the archi-  
94 tecture of modern computers. Almost all functions in `fundiversity` can be parallelized out of the box.  
95 `fundiversity` provides parallelization through the `future` backend (Bengtsson, 2020). Parallelization is  
96 toggled through a single function call using `future::plan()` before using `fundiversity` functions. Thanks to  
97 the flexibility given by the `future` backend, the code to use won't change whether parallelizing across several  
98 cores on a single computer, across multiple computers, or on a remote high-performance cluster. The user  
99 has only to update the call to `future::plan()` to distribute computations on another infrastructure. Fur-  
100 thermore, the `future` backend provides load balancing so that no cores/units stay idle for too long and the  
101 parallelized tasks are split evenly. The package contains a dedicated "Parallelization" vignette to guide the  
102 users through transforming unparallelized to parallelized code (accessible through `vignette("parallel",`  
103 `package = "fundiversity")`).

104 Because functional diversity indices can be computed repeatedly on the same data subset, such as in  
105 null models, we can leverage these repeated computations to reuse already computed indices. For example  
106 to compute functional richness (`FRic`) the convex hull of the input data has first to be identified, then the  
107 program needs to compute the volume of this convex hull. The first step, identifying the convex hull, takes the  
108 most time and as such, storing the results of each computed convex hull across a subset of data can vastly cut  
109 computation time for a little memory footprint. Memoization consists in doing exactly that, it trades a little  
110 of computer memory (keeping the convex hulls stored) for more computation speed. `fundiversity` leverages  
111 memoization for all complex computations such as convex hulls. By default, memoization is turned on for  
112 `FRic`, the intersection of convex hulls, and `FDiv`. However, it can sometimes create a memory bottleneck  
113 which slows down the overall computation. The default behavior can always be overridden through a change  
114 in the option `fundiversity.memoise`.

115 Packages depend on one another to avoid reinventing the wheel and thus reuse already developed func-  
116 tions. A higher number of dependencies means that a package requires more packages to be installed before  
117 its installation. While a high number of dependencies minimizes code replication, it also comes with a  
118 high price, because if a single dependency breaks then the whole package cannot be installed anymore (Cox,  
119 2019). Inflated dependencies have been identified as a major risk in software and especially scientific software  
120 development (Claes et al., 2014; Cox, 2019). `FD` only has four dependencies but other functional diversity  
121 packages have many more dependencies. This renders them quite brittle for the users after years of not  
122 being actively developed. `fundiversity` has been designed to only have minimal external dependencies, it  
123 currently depends on only four external packages: `future.apply` which depends only on two other packages,  
124 `Matrix` which is shipped with R, `geometry` and `vegan` on which `FD` also depends.

125 Because user flexibility is key, `fundiversity` has minimal assumptions on the input data structure.  
126 All its functions work with data frames, matrices, or sparse matrices alike. Sparse matrices are a different  
127 formalization of matrices that do not store explicitly the cells that contain zero. They offer a reduced memory  
128 footprint and optimized algebra library for computation (Bates & Maechler, 2021). These matrices are thus  
129 specifically relevant for occurrence/abundance matrices that contain many zeros. If the used data have a  
130 high proportion of zeros, using sparse matrices can vastly decrease computational time in `fundiversity`.

131 As we underlined in the introduction, automatic software testing, while not 100% foolproof, is needed  
132 to increase the confidence in the behavior of functions. It is widespread in computer science but less in  
133 scientific software development. This means that software behavior is never assessed against known inputs

134 to make sure it behaves in expected ways. It does not mean that the software is of poor quality, but rather  
 135 that some simple errors could introduce unnoticed changes in the behavior of functions. Most packages that  
 136 compute functional diversity indices do not include any form of automatic testing. We do want to point out  
 137 that most ecologists never received formal training in software development hence the lack of tests (Farrell  
 138 & Carey, 2018). We designed `fundiversity` with many unit tests from the beginning, executing at least  
 139 every single line of code once (i.e. achieving coverage of 100%).

140 `fundiversity` only computes alpha functional diversity indices, because other recent packages exist  
 141 to compute other types of functional diversity indices [Hill numbers, Li (2018); beta-diversity indices,  
 142 Baselga\_betapart\_2012]. We focused on indices available through the `dbFD()` function in the `FD` pack-  
 143 age and on indices that could benefit from faster implementation. `fundiversity` contains the following alpha  
 144 functional diversity indices: functional richness (FRic), functional dispersion (FDis), functional divergence  
 145 (FDiv), functional evenness (FEve), and Rao's quadratic entropy (Q). `fundiversity` also contains a beta-  
 146 diversity index as it can be useful to compare functional richness between sites.

Table 1: List of functions available in `fundiversity` to compute functional diversity indices. The two last columns specify which functions are parallelizable and memoizable.

Function Name	Index Name	Source	Parallelizable	Memoizable
<code>fd_fdis()</code>	Functional Dispersion (FDis)	Laliberté & Legendre (2010)	Yes	No
<code>fd_fdiv()</code>	Functional Divergence (FDiv)	Villéger et al. (2008)	Yes	Yes
<code>fd_feve()</code>	Functional Evenness (FEve)	Villéger et al. (2008)	Yes	No
<code>fd_fric()</code>	Functional Richness (FRic)	Villéger et al. (2008)	Yes	Yes
<code>fd_fric_intersect()</code>	Functional $\beta$ -diversity	Villéger et al. (2013)	Yes	Yes
<code>fd_raoq()</code>	Rao's Quadratic Entropy (Q)	Rao (1982)	No	No

## 147 Case Study

148 Now that we described the main features of `fundiversity`, we are going to show how to use it in practice  
 149 when computing functional diversity indices. As an example dataset, we included in `fundiversity` site-  
 150 species and trait data from Nowak et al. (2019). It is accessible through the use of the `data(..., package`  
 151 `= "fundiversity")` function. This dataset describes the presence of bird species in South America at  
 152 different elevations and four morphological traits.

```
knitr::include_graphics(
  here::here("inst", "manuscript", "figures", "fundiversity_conceptual_diagram.svg")
)
```

153 [Figure 1 about here.]

154 The trait values show species in rows (species are specified as row names) and traits in columns with  
 155 trait names as column names. Similarly, the site-species matrix contains sites as rows (site names are row  
 156 names) and species as columns (species names are column names).

```
data("traits_birds", package = "fundiversity")
data("site_sp_birds", package = "fundiversity")
```

```
head(traits_birds)
```

```
157 ##           Bill.width..mm. Bill.length..mm. Kipp.s.index Bodymass..g.
158 ## Aburria_aburri           18.35           35.48           0.18       1407.5
159 ## Amazona_farinosa        26.50           38.81           0.29        626.0
160 ## Amazona_mercenaria      17.51           26.30           0.33        340.0
161 ## Amazona_ochrocephala    20.17           31.40           0.26        440.0
162 ## Ampelioides_tschudii    16.53           24.58           0.24         78.4
163 ## Ampelion_rufaxilla      16.97           21.89           0.28         73.9
```

```
head(site_sp_birds)[, 1:3]
```

```
164 ##           Aburria_aburri Amazona_farinosa Amazona_mercenaria
165 ## elev_250                0                1                0
166 ## elev_500                0                1                0
167 ## elev_1000               1                1                1
168 ## elev_1500               1                0                1
169 ## elev_2000               0                0                1
170 ## elev_2500               0                0                1
```

171 Now we obtained trait and occurrence data we need to compute the trait dissimilarity between each pair  
 172 of species. As all traits are quantitative we first Z-score them, then we compute the Euclidean distance  
 173 between pairs of species.

```
z_traits = scale(traits_birds, center = TRUE, scale = TRUE)
```

```
trait_distance = as.matrix(dist(z_traits))
```

174 We can then compute the functional richness of each index at each location. To do so we are using the  
 175 `fd_fric()` function. It expects quantitative trait values as the first argument and a site-species matrix as  
 176 the second argument.

```
library("fundiversity")
```

```
birds_fric = fd_fric(z_traits, site_sp_birds)
```

```
head(birds_fric)
```

```
177 ##           site           FRic
178 ## 1 elev_250 66.048816
179 ## 2 elev_500 71.465678
180 ## 3 elev_1000 43.354008
181 ## 4 elev_1500 25.466685
182 ## 5 elev_2000 7.725843
183 ## 6 elev_2500 7.046431
```

184 All other functions in `fundiversity` use a similar structure, the first input is trait data the second one  
 185 is a site-species matrix. For Rao's quadratic entropy computed through `fd_raoq()` functional dissimilarities  
 186 can be specified as the third argument:

```

# With functional dissimilarity
birds_raoq = fd_raoq(traits = NULL, site_sp_birds, dist_matrix = trait_distance)

# With trait values
birds_raoq_2 = fd_raoq(z_traits, site_sp_birds)

# Both options give the same results
identical(birds_raoq, birds_raoq_2)

```

187 ## [1] TRUE

188 If all traits are not quantitative it is possible to transform them back into independent traits through  
189 the use of Gower’s distance [Gower (1971); and its extensions: Podani (1999), Pavoine et al. (2009);] then  
190 applying multivariate analysis to obtain orthogonal dimensions (Maire et al., 2015).

## 191 Performance Comparison

192 To test the actual performance improvements realized by `fundiversity`, we compared computation time  
193 on standardized datasets across similar functions in other packages. We only compared “original” packages  
194 that provide actual functions and not wrappers that depend on other packages to compute functional  
195 diversity indices. We identified 6 packages that computed similar indices to `fundiversity`. Most indices are  
196 computed by the `FD::dbFD()` function but the comparison would be unfair as the function computes many  
197 indices in a single call while functions in `fundiversity` only compute single indices. We considered functions  
198 from: `adiv` (Pavoine, 2020), `BAT` (Cardoso et al., 2015), `betapart` (Baselga & Orme, 2012), `hillR` (Li, 2018),  
199 `mFD` (Magneville et al., 2022), and `FD` (Laliberté et al., 2014) (see Table 2 for the correspondence between  
200 packages). A continuously updated version of this section can be found in the performance comparison  
201 vignette within the `fundiversity` package with `vignette("performance", package = "fundiversity")`.

Table 2: List of functions available in `fundiversity` to compute functional diversity indices and corresponding functions in other packages. The name of the package is indicated before the `::` while the name of the functions (including specified arguments) follows.

Index Name	<code>fundiversity</code> Functions	Functions in other packages
Functional Dispersion (FDis)	<code>fd_fdis()</code>	<code>BAT::dispersion()</code> <code>FD::fdisp()</code> <code>mFD::alpha.fd.multidim(..., ind_vect = "fdis")</code>
Functional Divergence (FDiv)	<code>fd_fdiv()</code>	<code>mFD::alpha.fd.multidim(..., ind_vect = "fdiv")</code>
Functional Evenness (FEve)	<code>fd_feve()</code>	<code>mFD::alpha.fd.multidim(..., ind_vect = "feve")</code>
Functional Richness (FRic)	<code>fd_fric()</code>	<code>BAT::alpha()</code> (tree) <code>BAT::hull.alpha()</code> (hull) <code>mFD::alpha.fd.multidim(..., ind_vect = "fric")</code>

Index Name	<code>fundiversity</code> Functions	Functions in other packages
Rao's Quadratic Entropy (Q)	<code>fd_raoq()</code>	<code>adiv::QE()</code> <code>BAT::rao()</code> <code>hillR::hill_func()</code> <code>mFD::alpha.fd.hill(..., q = 2, tau = "max")</code>
Functional $\beta$ -diversity	<code>fd_fric_intersect()</code>	<code>betapart::functional.beta.pair()</code> <code>hillR::hill_func_parti_pairwise()</code>

For testing purposes, we used datasets of increasing size with the number of species being 200, 500, or 1000; the number of traits 2, 4, or 10; the number of sites 50, 100, or 500. For each set of parameters, we generated a fictional site-species matrix and site-trait matrix, comprised only of continuous trait data. We used these simulated data to perform benchmarks across comparable functions among the selected packages. The benchmark ran 30 times through the `bench` package (Hester & Vaughan, 2021). A summary of the results of the benchmark can be seen in Fig. 2. Full results detailing the timings for each combination of parameters and functions are available in the Supplementary Material (Fig. S4).

[Figure 2 about here.]

We see that for all the indices and functions tested, `fundiversity` is at least an order of magnitude faster than alternative packages. For functional dispersion, `fundiversity` is two orders of magnitude faster compared to `BAT` and `mFD`. For functional divergence, `fundiversity` is one order of magnitude faster than `mFD`. For functional evenness, `fundiversity` is two orders of magnitude faster than `mFD` with sequential and parallelized versions having similar performances. For Rao's quadratic entropy, `fundiversity` is one order of magnitude faster than `hillR` and `mFD`, two orders faster than `adiv`, and three orders of magnitude faster than `BAT`. For functional richness, `fundiversity` is half an order of magnitude faster than the hull version of `BAT`, as well as one and a half order of magnitude faster than its tree version and `mFD`. For functional richness intersection (beta functional diversity), `fundiversity` is two orders of magnitude faster than `betapart` and `hillR`.

[Figure 3 about here.]

As shown on Fig. 2, on average the parallelized versions of `fundiversity` functions executed an order of magnitude faster than the sequential versions. For functional richness we even observed a difference of two orders of magnitude. However, for functional dispersion, parallelization increased overall computation time. This may be due to inherent parallelization issues: there is an overhead cost when splitting tasks across multiple cores of a computer. The efficiency of parallelization depends on the difficulty of the tasks that are split between cores. In the case of functional richness, the main task is computing the convex hull, which is computationally costly, that is why parallelization increase performance in this case. While computing functional dispersion is simpler, and as such, does not benefit from being split across different cores. Different values for the number of cores, species, traits or sites produce qualitatively the same results (full results in Fig. S5).

One important note regarding parallelization in `fundiversity`, is that it is important to avoid doing both memoization and parallelization simultaneously. Memoization creates a cache to avoid recomputing results, and the cache may be corrupted if several cores access the same results at the same time. We noticed that toggling memoization while performing parallelization severely increase total computational time, compared to sequential performance.

Note that these benchmarks only assess the packages computation speed and in no way any package intrinsic quality or usefulness. We're comparing `fundiversity`, a package whose one of the main goals is performance, with other packages that may have other primary goals and offer other benefits. Most other packages offer more features than simply computing functional diversity indices. For example, several packages offer nice default visualization functions to plot the different diversity indices, while we explicitly

241 considered that visualization functions were not part of `fundiversity` and let the users decide how they  
242 want to plot their indices.

## 243 Conclusion

244 We proposed a modern alternative R package to compute functional diversity indices. This package fol-  
245 lows current best development practices and leverages modern features like parallelization and memoization  
246 to increase its performance. This is only made possible by recent developments which were for the most part  
247 not available at the time when alternative packages came out. `fundiversity` does not propose to replace  
248 the entire toolkit for the researcher interested in functional diversity (including the upstream selection of  
249 the traits and building of a functional space) but instead focuses on improving the most computationally  
250 costing step: computing functional diversity indices. We hope it will be a useful contribution to this toolkit.  
251 This package aims to always be a work in progress and we welcome contributions from interested users and  
252 developers.

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## 256 Authors' Contributions

257 Our authors' statement follows the Contributor Roles Taxonomy (CRediT, <https://casrai.org/credit/>).  
258 **Matthias Grenié**: Conceptualization, Methodology, Software, Resources, Writing - Original Draft, Writ-  
259 ing - Review & Editing, Supervision. **Hugo Gruson**: Conceptualization, Methodology, Software, Writing  
260 - Review & Editing.

## 261 Data Availability

262 `fundiversity` is available on CRAN through `install.packages("fundiversity")` as well as on GitHub  
263 at <https://github.com/Bisaloo/fundiversity>, for archival all releases are available on Zenodo at <https://doi.org/10.5281/zenodo.4761754>. The data used in this article are available from the package, through  
264 `data(package = "fundiversity")` call.  
265

## 266 Supplementary Information

267 [Figure 4 about here.]

268 [Figure 5 about here.]

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374 **List of Figures**

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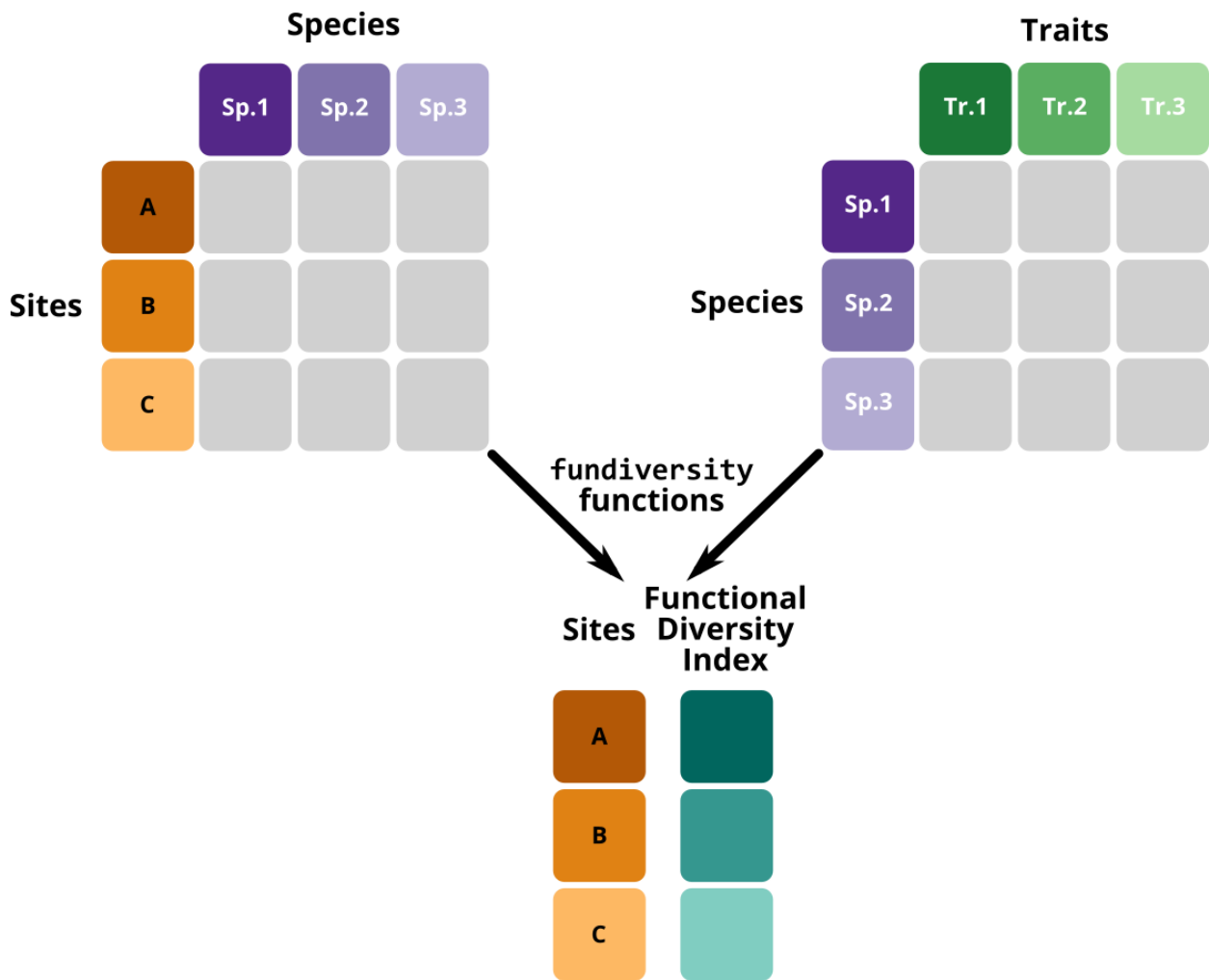


Figure 1: Conceptual diagram showing the input and typical output data from 'fundiversity' functions. Input data are generally a site-species table and a species-traits table, and the output gives back a table of functional diversity index per site.

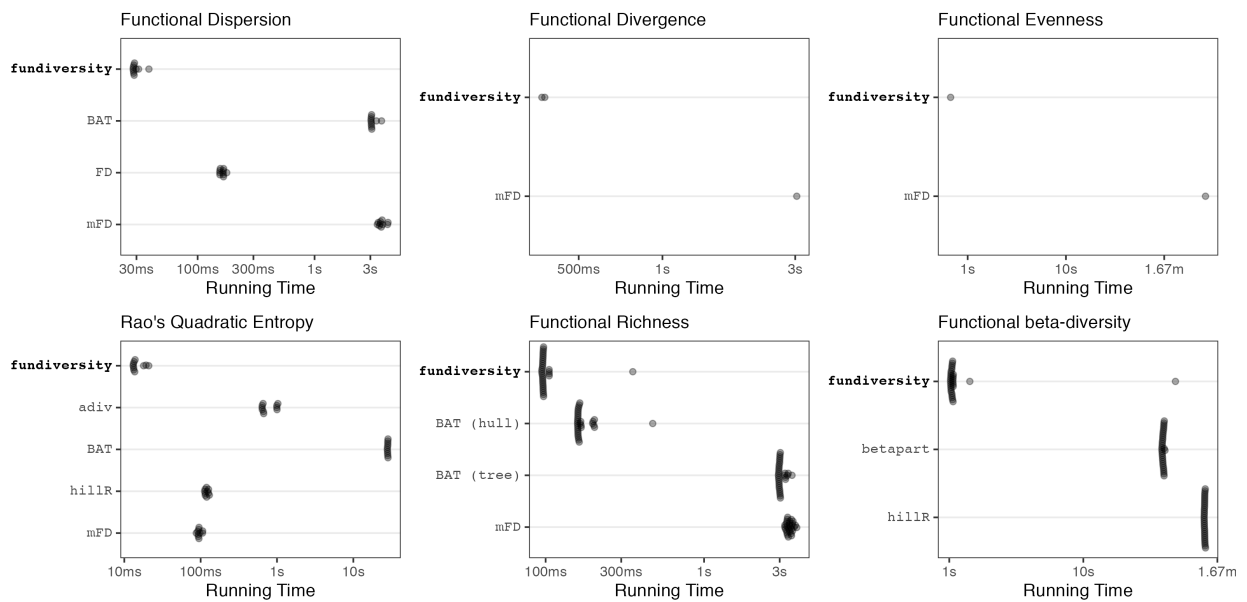


Figure 2: Timing comparison across functional diversity indices between packages. Each point represents the execution time of one run using a simulated dataset, the points are transparent and jittered to avoid overplotting. We here show the performance results considering only a single set of parameters with 4 traits, 500 species, and 100 sites, repeated 30 times.

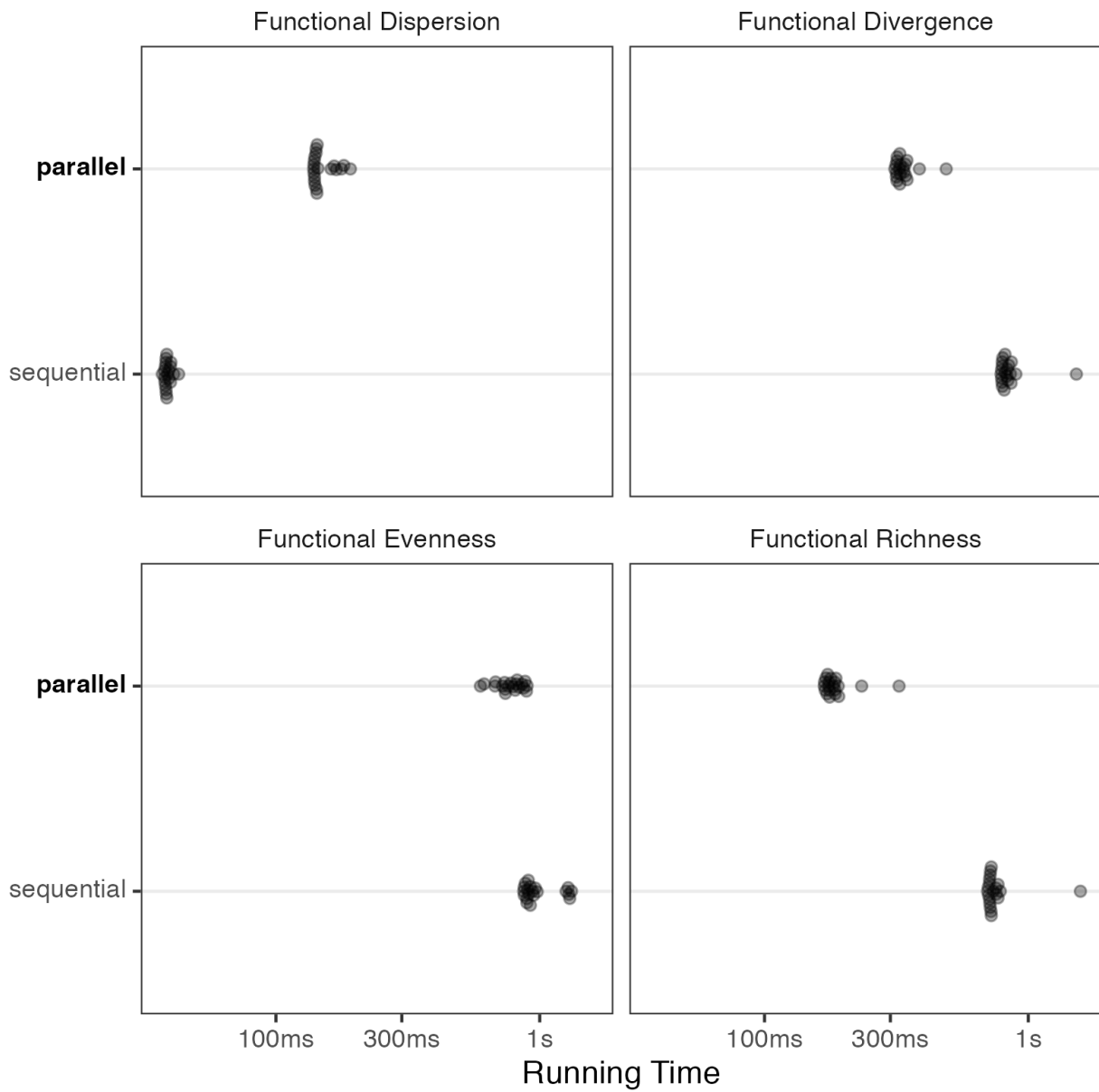


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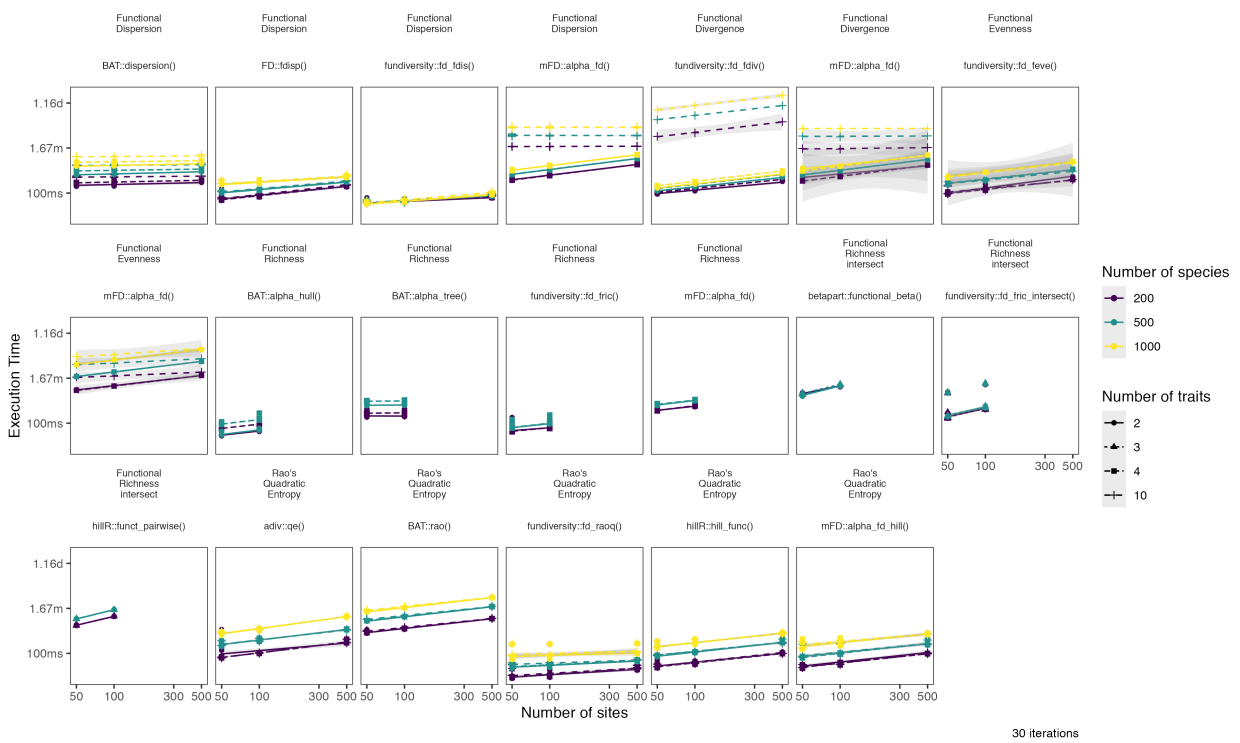


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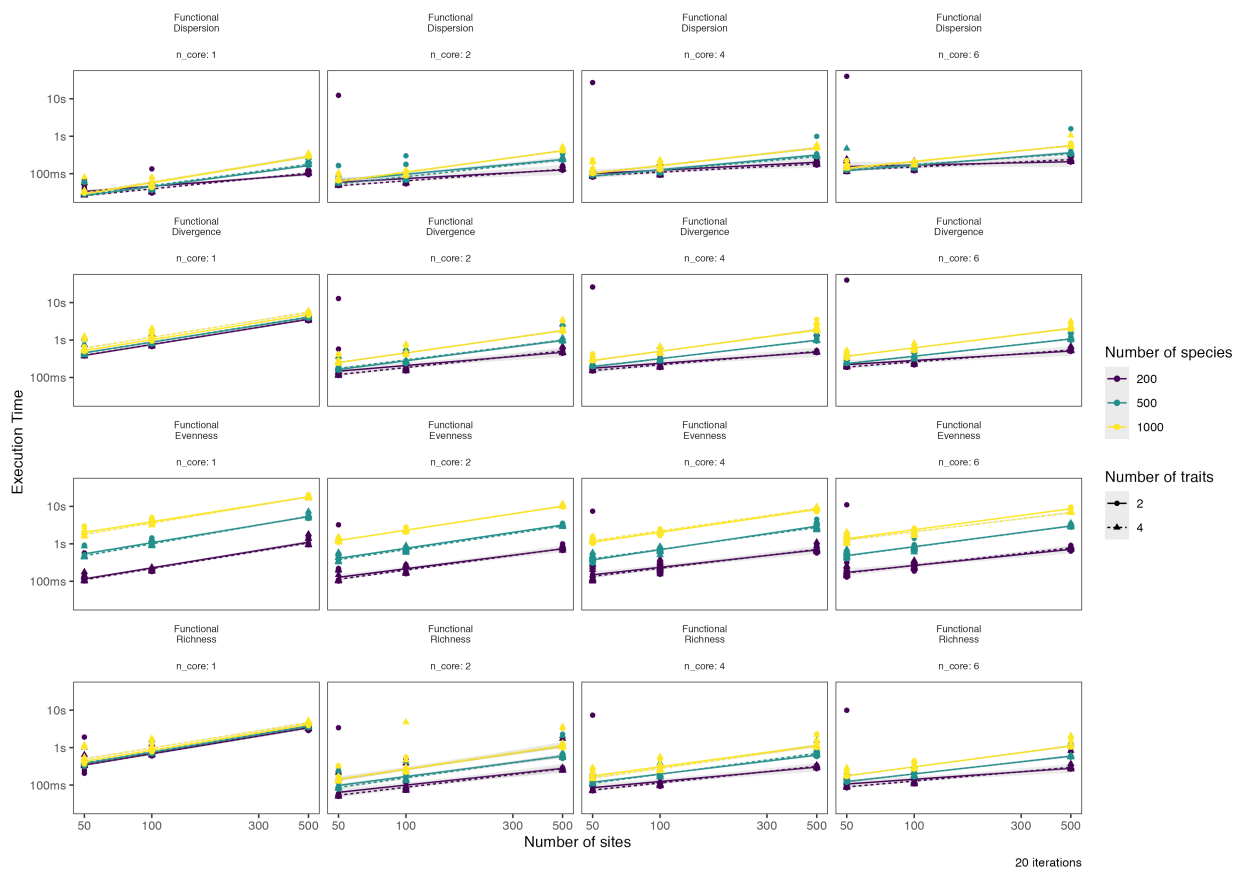


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