Bayesian reinforcement learning models reveal how great-tailed grackles improve their behavioral flexibility in serial reversal learning experiments.

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This manuscript (rmd with code) was peer reviewed and received a Recommendation by: Aurélie Coulon (2024) Changes in behavioral flexibility to cope with environment instability: theoretical and empirical insights from serial reversal learning experiments. *Peer Community in Ecology*, 100468. Reviewers: Maxime Dahirel and 1 anonymous reviewer. This is the third of three manuscripts from the preregistration that was pre-study peer reviewed and received an In Principle Recommendation by: Aurélie Coulon (2019) Can context changes improve behavioral flexibility? Towards a better understanding of species adaptability to environmental changes. *Peer Community in Ecology*, 100019. Reviewers: Maxime Dahirel and Andrea Griffin

, Abstract

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Environments can change suddenly and unpredictably and animals might benefit from being able to flexibly 10 adapt their behavior through learning new associations. Serial (repeated) reversal learning experiments have 11 long been used to investigate differences in behavioral flexibility among individuals and species. In these 12 experiments, individuals initially learn that a reward is associated with a specific cue before the reward is 13 reversed back and forth between cues, forcing individuals to reverse their learned associations. Cues are 14 reliably associated with a reward, but the association between the reward and the cue frequently changes. 15 Here, we apply and expand newly developed Bayesian reinforcement learning models to gain additional 16 insights into how individuals might dynamically modulate their behavioral flexibility if they experience serial 17 reversals. We derive mathematical predictions that, during serial reversal learning experiments, individuals 18 will gain the most rewards if they 1) increase their rate of updating associations between cues and the reward 19 to quickly change to a new option after a reversal, and 2) decrease their *sensitivity* to their learned association 20 to explore the alternative option after a reversal. We reanalyzed reversal learning data from 19 wild-caught 21 great-tailed grackles (Quiscalus mexicanus), eight of whom participated in serial reversal learning experiment, 22 and found that these predictions were supported. Their estimated association-updating rate was more than 23 twice as high at the end of the serial reversal learning experiment than at the beginning, and their estimated 24 sensitivities to their learned associations declined by about a third. The changes in behavioral flexibility 25 that grackles showed in their experience of the serial reversals also influenced their behavior in a subsequent 26 experiment, where individuals with more extreme rates or sensitivities solved more options on a multi-option 27 puzzle box. Our findings offer new insights into how individuals react to uncertainty and changes in their 28 environment, in particular, showing how they can modulate their behavioral flexibility in response to their 29 past experiences. 30

31 Introduction

Most animals live in environments that undergo changes that can affect key components of their lives, such 32 as where to find food or which areas are safe. Accordingly, individuals that cannot react to these changes 33 should have reduced survival and/or reproductive success (Boyce et al., 2006; Starrfelt & Kokko, 2012). One 34 of the ways animals react to changes is through behavioral flexibility, the ability to change behavior when 35 circumstances change (Shettleworth, 2010). The level of behavioral flexibility present in a given species is 36 often assumed to have been shaped by selection, with past levels of change in the environment determining 37 how well species might be able to cope with more rapidly changing (Sih, 2013) or novel environments (Sol 38 et al., 2002). However, in another conception, behavioral flexibility is itself plastic (Wright et al., 2010). 39 Behavioral flexibility arises because individuals update their information about the environment through 40 personal experience and make that information available to other cognitive processes (Mikhalevich et al.. 41 2017). Such modulation of behavioral flexibility is presumably relevant if the rate and extent of environmental 42 change is variable and unpredictable (Donaldson-Matasci et al., 2013; Tello-Ramos et al., 2019). We are still 43 limited in our understanding of when and how individuals might react to their experiences of environmental 44 change. 45

Evidence that animals can change their behavioral flexibility based on their recent experience comes from 46 serial reversal learning experiments. Serial reversal learning experiments have long been used to understand 47 how individuals keep track of biologically important associations in changing environments (Dufort et al., 48 1954; Mackintosh et al., 1968; Bitterman, 1975). In these experiments, individuals are presented with multi-49 ple options associated with cues, such as different colors or locations, that differ in their reward. Individuals 50 can repeatedly choose among the options to learn the associations between rewards and cues. After they show 51 a clear preference for the most rewarded option, the rewards are reversed across cues, and individuals are 52 observed to see how quickly they learn the changed associations. When they have reversed their preference, 53 the reward is changed back to the other option, until the individual reverses their preference again, and these 54 reversals continue in a process called serial reversals. Their performance during the reversal task is taken as 55 a measure of their behavioral flexibility, with the more flexible individuals being those that need fewer trials 56 to consistently choose the rewarded option after a reversal (Bond et al., 2007). While the primary focus 57 of these serial reversal learning experiments has been to measure differences in behavioral flexibility across 58 individuals and species (Lea et al., 2020), several of these experiments show that behavioral flexibility is not 59 a fixed trait, but that individuals can improve their performance if they experience repeated reversals (Bond 60 et al., 2007; Liu et al., 2016; Cauchoix et al., 2017). Here, we investigate how individuals might change 61 their behavioral flexibility during serial reversal learning experiments to better understand what cognitive 62 processes could lead to the observed differences and adjustments in behavioral flexibility (Izquierdo et al., 63 2017; Danwitz et al., 2022). 64

We recently found that great-tailed grackles (Quiscalus mexicanus; hereafter grackles) can be trained to 65 improve how quickly they learn to change associations in a serial reversal learning experiment (Logan et al., 66 2023a). After training birds to search for food in a vellow tube, the reversal learning experiment consisted 67 of presenting birds with a light gray and a dark gray tube, only one of which contained a reward. After 68 individuals chose one of the tubes, thus experiencing whether this color was rewarded or not, the experiment 69 was reset, with the reward being in the same colored tube as before. Once an individual chose the rewarded 70 color more than expected by chance (passing criterion of choosing correctly in at least 17 out of the last 20 71 72 trials, which represents a significant association according to the chi-square test), the reward was switched to the other color. Again, individuals made choices until they chose the now rewarded tube above the passing 73 criterion. For one set of individuals, the trained group, we repeated the reversal of rewards from one color 74 to the other until the birds reached the serial reversal passing criterion of forming a preference in 50 trials 75 or less in two consecutive reversals. The median number of trials birds in this trained group needed to reach 76 the passing criterion during their first reversal was 75, which improved to 40 trials in their final reversal. 77 Importantly, we found that, in comparison to a control group who only experienced a single reversal, trained 78 grackles who experienced serial reversals also showed increased behavioral flexibility and innovativeness in 79 other contexts. In particular, trained grackles performed better on multi-option puzzle boxes than control 80 grackles, being faster to switch to a new access option on a box if the previous option was closed, and they 81 solved more of the available access options (Logan et al., 2023a). This indicates that individuals did not 82

 $_{83}$ just learn an abstract rule about the serial reversal learning experiment, but rather changed their overall

⁸⁴ behavioral flexibility in response to their experience. To understand these changes in behavioral flexibility, ⁸⁵ we need approaches that can reflect how individuals might update their cognitive processes based on their

⁸⁶ experience.

Previous analyses of serial reversal learning experiments were limited in understanding the potential changes 87 in behavioral flexibility because they focused on summaries of the choices that individuals make (e.g. Bond 88 et al., 2007). These approaches are more descriptive, making it difficult to link flexibility differences to 89 specific processes and to predict how variation in behavior might transfer to other tasks. While there have 90 been attempts to identify potential rules that individuals might learn during serial reversal learning (Spence, 91 1936; Warren, 1965a; Warren, 1965b; Minh Le et al., 2023), these rules were often about abstract switches 92 to extreme behaviors (e.g. win-stay / lose-shift) and therefore could not account for the full variation of 93 behavior. A number of theoretical models have recently been developed that appear to reflect the potential 94 cognitive processes individuals seem to rely on when making choices in reversal learning experiments (for a 95 recent review see, for example, Frömer & Nassar, 2023). These theoretical models deconstruct the behavior 96 of individuals in a reversal learning task into two primary parameters (Camerer & Hua Ho, 1999; Chow et al., 97 2015; Izquierdo et al., 2017; Bartolo & Averbeck, 2020). Importantly, in the Bayesian reinforcement learning 98 models there are now also statistical approaches to infer these underlying parameters from the behavior of 99 individuals (Camerer & Hua Ho, 1999; Llovd & Leslie, 2013). The first process reflects the rate of updating 100 associations (which we refer to hereafter as ϕ , the Greek letter phi), or how quickly individuals learn about 101 the associations between the cues and potential rewards (or dangers). In the reinforcement learning models, 102 this rate is reflected by the Rescorla-Wagner rule (Rescorla & Wagner, 1972). The rate weights the most 103 recent information proportionally to the previously accumulated information for that cue (as a proportion, 104 the rate can range between 0 and 1; for details on the calculations see the section on the reinforcement 105 learning model in the Methods). Individuals are expected to show different rates in different environments, 106 particularly in response to the reliability of the cues (Figure 1). Lower updating rates are expected when 107 associations are not perfect such that a single absence of a reward might be an error rather than indicating 108 a new association. Higher updating rates are expected when associations are reliable such that individuals 109 should update their associations quickly when they encounter new information (Dunlap & Stephens, 2009; 110 Breen & Deffner, 2023). The second process, the sensitivity to their learned associations (which we hereafter 111 refer to as λ , the Greek letter lambda) reflects how individuals, when presented with a set of cues, might 112 decide between these alternative options based on their learned associations of the cues. In the reinforcement 113 learning model, the sensitivity to learned associations modifies the relative difference in learned rewards to 114 generate the probabilities of choosing either option (Daw et al., 2006; Agrawal & Goyal, 2012; Danwitz et 115 al., 2022). A value of zero means individuals do not pay attention to their learned associations, but choose 116 randomly, whereas increasingly larger values mean that individuals show biases in choice as soon as there 117 are small differences in their learned associations. Individuals with larger sensitivities will quickly prefer 118 the option that previously gave them the highest reward (or the lowest danger), while individuals with 119 lower sensitivities will continue to explore alternative options. Sensitivities are expected to reflect the rate 120 of change in the environment (Figure 1), with larger sensitivities occurring when environments are static 121 such that individuals start to exploit any differences they recognise as soon as possible. Lower sensitivities 122 are expected when changes are frequent, such that individuals continue to explore alternative options when 123 conditions change (Daw et al., 2006; Breen & Deffner, 2023). 124



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Figure 1. Individuals are expected to update their associations and make decisions differently depending on 126 the environment they experience. In serial reversal learning experiments, associations are reliable, such that 127 if an option is associated with a reward, it is rewarded during every trial (white background). However, the 128 associations between options and the rewards change across trials (solid line). In these reliable, but changing 129 conditions, individuals are expected to gain the most rewards if they update their associations quickly (large 130 ϕ) to switch away from an option if it is no longer being rewarded, but to have small sensitivities to their 131 learned associations to continue to explore all options to check if associations have changed again (small 132 λ). In contrast, in unchanging and unreliable conditions, the probability that an option is rewarded stays 133 constant across trials (dotted lines), but is closer to 50% (gray background). In these conditions, individuals 134 are expected to gain the most rewards if they build their associations by averaging information across many 135 trials (small ϕ), and have high sensitivities to learned associations to exploit the option with the highest 136 association (large λ). Grackle picture credit (CC BY 4.0): Dieter Lukas. 137

Here, we applied and modified the Bayesian reinforcement learning models to data from our grackle research 138 on behavioral flexibility to assess if and how the cognitive processes might have changed as individuals 139 experienced the serial reversal learning experiment. We previously found that the model can predict the 140 performance of grackles in a reversal learning task with a single reversal of a color preference (Blaisdell et al.. 141 2021). Grackles experiencing the serial reversal learning experiment are expected to infer that associations 142 can frequently change but that, before and after a change, cues reliably indicate whether a reward is present 143 or not. Based on the theoretical models, we predict that individuals increase their association-updating rate 144 because cues are highly reliable, such that they can change their associations as soon as there is a change 145 in the reward (Dunlap & Stephens, 2009; Breen & Deffner, 2023). In addition, we predict that individuals 146 reduce their sensitivity to the learned associations, because the option that is rewarded reverses frequently, 147 requiring individuals to explore alternative options (Neftci & Averbeck, 2019; Leimar et al., 2024). Given 148 that reversals in the associations are not very frequent, we also expect some variation in individuals in 149 whether they switch to the newly rewarded option because they find the reward quickly through continued 150

exploration (somewhat lower λ and higher ϕ) or because they quickly move away from the option that is no longer rewarded (somewhat higher λ and lower ϕ). To assess these predictions, we addressed the following six

research questions. With the first research question, we determined the feasibility and validity of our approach

¹⁵⁴ using simulations. As far as we were aware, Bayesian reinforcement learning models had not been used to

¹⁵⁵ investigate temporal changes in behavior. We therefore used simulations as a proof-of-concept assessment ¹⁵⁶ to show their sensitivity and ability to answer our questions. With the second research question, we derive

to show their sensitivity and ability to answer our questions. With the second research question, we derive mathematically specific predictions about the role of ϕ and λ in the serial reversal learning experiment. With

the other four questions, we analyzed the grackle data to determine how the association-updating rate and

the sensitivity to learned associations reflect the variation and changes in behavioral flexibility in grackles.

1) Are the Bayesian reinforcement learning models sufficiently sensitive to detect changes that 161 occur across the limited number of serial reversals that individuals participated in?

We used agent-based simulations to answer this question, where simulated individuals made choices based on assigned ϕ and λ values. We determined how to apply the Bayesian reinforcement learning models to

recover the assigned values from the choices in each trial. Previous applications of the Bayesian reinforcement

learning models always combined the full sample of observations, so it is not clear whether these models are sufficiently sensitive to detect the changes over time that we are interested in. Two problems arise when

¹⁶⁶ sufficiently sensitive to detect the changes over time that we are interested in. Two problems arise when ¹⁶⁷ trying to infer the underlying processes from a limited number of trials. The stochasticity in which option

trying to infer the underlying processes from a limited number of trials. The stochasticity in which option an individual chooses based on a given set of associations introduces differences in the set of choices across

trials even among individuals with the same ϕ and λ values. On the flip-side, because of the probabilistic

decisions, a given series of specific choices during a short number of trials can occur even if individuals have

¹⁷¹ different ϕ and λ values. We varied the number of trials we analyzed to determine how many trials per

172 individual are necessary to recover the assigned ϕ and λ values in light of this noise.

173 2) Is a high rate of association-updating (ϕ) and a low sensitivity to learned associations (λ) best to 174 reduce errors in the serial reversal learning experiment?

¹⁷⁵ We used analytical approaches to systematically vary ϕ and λ to determine how the interaction of the two ¹⁷⁶ processes shapes the behavior of individuals throughout the serial reversal learning experiment. Previous ¹⁷⁶ studies made general predictions about the serie of the serie of the series of the series

studies made general predictions about the role of ϕ and λ in different environments (Dunlap & Stephens, 2009; Breen & Deffner, 2023). We assessed here whether, under the specific conditions in the serial reversal

¹⁷⁸ 2009; Breen & Deffner, 2023). We assessed here whether, under the specific conditions in the serial reversal ¹⁷⁹ experiments, where information is reliable and changes occur frequently, the best approach for individuals

180 is to show high ϕ and low λ .

¹⁸¹ 3) Which of the two parameters ϕ or λ explains more of the variation in the serial reversal learning ¹⁸² experiment performance of the tested grackles?

Across both the trained (experienced serial reversals) and control (experienced a single reversal) grackles,

we assessed whether variation in the number of trials an individual needed to reach the criterion in a given reversal is better explained by their inferred association-updating rate or by their inferred sensitivity to learned associations.

4) Do the grackles who improved their performance through the serial reversal learning experiment show the predicted changes in ϕ and λ ?

¹⁸⁹ If individuals learn the contingencies of the serial reversal experiment, they should reduce their sensitivity to

learned associations λ to explore the alternative option when rewards change, and increase their association-

¹⁹¹ updating rate ϕ to quickly exploit the new reliably rewarded option.

¹⁹² 5) Are some individuals better than others at adapting to the serial reversals?

In previous work, we found that there are individual differences that persist throughout the experiment, 193 with individuals who required fewer trials to solve the initial reversal also requiring fewer trials in the final 194 reversal after their training (McCune et al., 2023). We could expect that these individual differences are 195 guided by consistency in how individuals solve the reversal learning paradigm, meaning they are reflected 196 in individual consistency in ϕ and λ that persist through the serial reversals. In addition, it is not clear 197 whether some grackles change their behavior more than others. For example, it could be that individuals 198 who have a higher association-updating rate ϕ at the beginning of the experiment might also be better able 199 to quickly change their behavior to match the particular conditions of the serial reversal learning experiment. 200 Therefore, we also analyzed whether the ϕ and λ values of individuals at the beginning predict how much 201

²⁰² they changed throughout the serial reversal learning experiment.

6) Can the ϕ or λ from the performance of the grackles during their final reversal predict variation in the performance on the multi-option puzzle boxes?

²⁰⁵ Grackles would be expected to solve more options on the multi-option puzzle boxes if they quickly update

their previously learned associations when a previous option becomes unavailable (high ϕ). Given that,

²⁰⁷ in the puzzle box experiment, individuals only receive a reward at any given option a few times, instead

of repeatedly as in the reversal learning task, we predict that those individuals who are less sensitive to

previously learned associations and instead continue to explore alternative options (low λ) can also gain

²¹⁰ more rewards.

²¹¹ Materials and Methods

$_{212}$ Data

For question 1, we re-analyzed data we previously simulated for power analyses to estimate sample sizes for 213 population comparisons (Logan et al., 2023c). In brief, we simulated choices in an initial association learning 214 and single reversal experiment for a total of 640 individuals. The ϕ and λ values for each individual were 215 drawn from a distribution representing one of 32 populations, with different mean ϕ (8 different means) and 216 mean λ (4 different values) values for each population (32 populations is the combination of each ϕ and λ). 217 We simulated 20 individuals in each of the 32 populations. The range for the ϕ and λ values assigned to 218 the artificial individuals in the simulations were based on the previous analysis of single reversal data from 219 grackles in a different population (Santa Barbara, California, USA) (Blaisdell et al., 2021) to reflect the 220 likely expected behavior. Based on their assigned ϕ and λ values, each individual was simulated to pass first 221 through the initial association learning phase and, after they reached criterion (chose the correct option 17 222 out of the last 20 times), the rewarded option switched and simulated individuals went through the reversal 223 learning phase until they again reached criterion. Each choice that each individual made was simulated 224 consecutively. Choices during trials were based on the associations that individuals formed between each 225 option and the reward based on their experience. The first choice a simulated individual made in the initial 226 association learning was random because we assumed individuals had no information about the rewards and 227 therefore set the initial attractions to both options to be equally low. Based on their choices, individuals 228 updated their internal associations with the two options based on their individual learning rate. We excluded 229 simulated individuals from further analyses if they did not reach criterion either during the initial association 230 or the reversal within 300 trials, the maximum that was also set for the experiments with the grackles. For 231 each simulated individual, we recorded their assigned ϕ and λ values, as well as the series of choices they 232 made during the initial association and the first reversal. For a given ϕ and λ , the stochasticity in which 233 option a simulated individual chooses based on their attractions, plus the experience of either receiving a 234 reward or not during previous choices, can lead to differences in the actual choices individuals make. The 235 aim was to see what sample is needed to correctly infer the assigned ϕ and λ given the noise in the choice 236 data. We also used the simulated data for question 3, to compare the influence of ϕ and λ on the behavior 237 of the simulated individuals with that of the grackles. 238

²³⁹ To address question 2, we used an analytical approach and did not analyze any data.

For the empirical questions 3-6, we re-analyzed data on the performance of grackles in serial reversal learning and multi-option puzzle box experiments (Logan et al., 2023a). The data collection was based on our preregistration that received in principle acceptance at PCI Ecology (Coulon, 2023). All of the analyses reported here were not part of the original preregistration. The data we use here were published as part of the earlier article and are available at the Knowledge Network for Biocomplexity's data repository (Logan et al., 2023b).

In brief, grackles were caught in the wild in Tempe, Arizona, USA for individual identification (colored leg bands in unique combinations), and brought temporarily into aviaries for testing, before being released back to the wild. The first experiment individuals participated in in the aviaries was the reversal learning experiment, as described in the introduction. A total of 19 grackles participated in the serial reversal learning experiment, where they learned to associate a reward with one color before experimenting one reversal to learn

that the other color was rewarded (initial rewarded option was counterbalanced and randomly assigned as 251 either a dark gray or a light gray tube). The rewarded option was switched when grackles passed the 252 criterion of choosing the rewarded option in 17 of the most recent 20 trials. This criterion was set based 253 on earlier serial reversal learning studies, and is based on the chi-square test, which indicates that 17 out of 254 20 represents a significant association. With this criterion, individuals can be assumed to have learned the 255 association between the cue and the reward rather than having randomly chosen one option more than the 256 other (Logan et al., 2022). A subset of 8 individuals were randomly assigned to the trained group and went 257 through a series of reversals until they reached the criterion of having formed an association (17 out of 20 258 choices correct) in 50 trials or less in two consecutive reversals. The individuals in the trained group needed 259 between 6-8 reversals to consistently reach this threshold, with the number of reversals not being linked to 260 their performance at the beginning or at the end of the experiment. A subset of 11 grackles were part of 261 the control group, who experienced only a single reversal, before participating in trials with two identically 262 colored tubes (yellow) where both contained a reward. The number of yellow tube trials was set to the 263 average number of trials it took a bird in the trained group to pass their serial reversals. 264

For question 6, we additionally used data from an experiment the grackles participated in after they had 265 completed the reversal learning experiment. Both the control and trained individuals were provided access 266 to two multi-option puzzle boxes, one made of wood and one made of plastic. The two boxes were designed 267 with slight differences to explore how general their performance was. The wooden box was made from a 268 natural log, thus was more representative of something the grackles might encounter in the wild. In addition, 269 while both boxes had four possible ways (options) to access food, the four options on the wooden box were 270 distinct compartments, each containing rewards, while the four options on the plastic box all led to the same 271 reward. Grackles were tested sequentially on both boxes, in a counterbalanced order, where individuals could 272 initially explore all options. After proficiency at an option was achieved (gaining food from this locus three 273 times in a row), this option became non-functional by closing access to the option, and then the latency 274 of the grackle to switch to attempting a different option was measured. If they again successfully solved 275 another option, this second option was also made non-functional, and so on. The outcome measures for each 276 individual on each box were the average latency it took to switch to a new option and the total number of 277 options they successfully solved. 278

²⁷⁹ The Bayesian reinforcement learning model

For both the simulated and the observed grackle data, we used the Bayesian reinforcement learning model to 280 estimate for each individual their ϕ and λ values based on the choices they made during the reversal learning 281 experiments. The estimated ϕ and λ values were then used as outcome and/or predictor variables in the 282 statistical models built to assess questions 3-6. We used the version of the Bayesian model that was developed 283 in Blaisdell et al. (2021) and modified in Logan et al. (2023c) (see their Analysis Plan > "Flexibility analysis" 284 for model specifications and validation). This model uses data from every trial of reversal learning (rather 285 than only using the total number of trials to pass criterion) and represents behavioral flexibility using two 286 parameters: the association-updating rate (ϕ) and the sensitivity to learned associations (λ). The model 287 transforms the series of choices each grackle made based on two equations to estimate the most likely ϕ and 288 λ that generated the observed behavior. 289

Equation 1 (learning and ϕ): $A_{b,o,t+1} = (1-\phi_b)A_{b,o,t} + \phi_b \pi_{b,o,t}$.

Equation 1 estimates how the associations A, that individual b forms between the two different options (o, 291 option 1 or 2) and their expected rewards, change from one trial to the next (trial t+1) as a function of 292 their previously formed associations $A_{b,o,t}$ (how preferable option o is to grackle b at trial t) and recently 293 experienced payoff π (in our case, $\pi = 1$ when they chose the correct option and received a reward in a 294 given trial, and 0 when they chose the unrewarded option). The parameter ϕ_b modifies how much individual 295 b updates its associations based on its most recent experience. The higher the value of ϕ_b , the faster the 296 individual updates its associations, paying more attention to recent experiences, whereas when ϕ_b is lower, 297 a grackle's associations reflect averages across many trials. Association scores thus reflect the accumulated 298 learning history up to trial t. The association with the option that is not explored in a given trial remains 299 unchanged. At the beginning of the experiment (trial t equals 0), we assumed that individuals had the same 300 low association between both options and rewards $(A_{b,1,0} = A_{b,2,0} = 0.1)$. 301

 $\text{Equation 2 (choice and } \lambda): \ P_{b,o,t} = \frac{exp(\lambda_b A_{b,o,t})}{\sum_{o=1}^2 exp(\lambda_b A_{b,o,t})}.$

Equation 2 is a normalized exponential (softmax) function to convert the learned associations of the two options with rewards into the probability, P, that an individual, b, chooses one of the two options, o, in the current trial, t. The parameter λ_b represents the sensitivity of a given grackle, b, to how different its associations to the two options are. As λ_b gets larger, choices become more deterministic and individuals consistently choose the option with the higher association even if associations are very similar. As λ_b gets smaller, choices become more exploratory, with individuals choosing randomly between the two options independently of their learned associations if λ_b is 0.

We implemented the Bayesian reinforcement learning model in the statistical language Stan (Stan Develop-310 ment Team, 2023), calling the model and analyzing its output in R (version 4.3.3) (R Core Team, 2023). 311 The model takes the full series of choices individuals make (which of the two options did they choose, which 312 option was rewarded, did they make the correct choice) across all their trials to find the ϕ and λ values 313 that best fit these choices given the two equations. Which option individuals chose was estimated with a 314 categorical distribution with the probability, P, as estimated from equation 2 for each of the two options 315 (categories), before updating the associations using equation 1. The model was fit across all choices, with 316 individual ϕ and λ values estimated as varying effects. In the model, ϕ is estimated on the logit-scale to 317 reflect that it is a proportion (can only take values between 0 and 1), and λ is estimated on the log-scale to 318 reflect that values have to be positive (there is no upper bound). The limitation that, with an estimation on 319 the log-scale λ can never be equal to 0, is not an issue because we only included individuals in the analyses 320 who did not pick options at random. We set the priors for the logit of ϕ and the log of λ to come from a 321 normal distribution with a mean of zero and a standard deviation of one. We set the initial associations 322 to both options for all individuals at the beginning of the experiment to 0.1 to indicate that they do not 323 have an initial preference for either option but are likely to be somewhat curious about exploring the tubes 324 because they underwent habituation and training with a differently colored tube (see below). For estimations 325 at the end of each reversal, we set the association with the option that was rewarded before the reversal 326 to 0.7 and to the option that was previously not rewarded to 0.1. Note that when applying equation 1 in 327 the context of the reversal learning experiment, as is most commonly used, where there are only rewards 328 (positive association) or no rewards (zero association) but no punishment (negative association), associations 329 can never reach zero because they change proportionally. 330

For each estimation (simulated and observed grackle data), we ran four chains with 2000 samples each (half 331 of which were warm up). We used functions in the package "posterior" (Vehtari et al., 2021) to draw 4000 332 samples from the posterior (the default). We report the estimates for ϕ and λ for each individual (simulated or 333 observed grackle) as the mean from these samples from the posterior. For the subsequent analyses where the 334 estimated ϕ and λ values were response or predictor variables, we ran the analyses both with the single mean 335 per individual as well as looping over the full 4000 samples from the posterior to reflect the uncertainty in 336 the estimates. The analyses with the samples from the posterior provided the same estimates as the analyses 337 with the single mean values, though with larger compatibility intervals because of the increased uncertainty. 338 In the results, we report the estimates from the analyses with the mean values. The estimates with the 339 samples from the posterior can be found in the code in the rmd file at the repository https://github.com/ 340 corinalogan/grackles/blob/master/Files/Preregistrations/g flexmanip2post.Rmd. In analyses where ϕ and 341 λ are predictor variables, we standardized the values that went into each analysis (either the means, or 342 the respective samples from the posterior) by subtracting the average from each value and dividing by the 343 standard deviation. We did this to define the priors for the relationships on a more standard scale and to 344 be able to more directly compare the respective influence of ϕ and λ on the outcome variable. 345

³⁴⁶ 1) Using simulations to determine whether the Bayesian serial reinforcement ³⁴⁷ learning models have sufficient power to detect changes through the serial re ³⁴⁸ versal learning experiment

We ran the Bayesian reinforcement learning model on the simulated data to understand the minimum number of choices per individual that would be necessary to recover the association-updating rate ϕ and the sensitivity

to the learned associations λ assigned to each individual. 351

To determine whether the Bayesian reinforcement learning model can accurately recover the simulated ϕ 352 and λ values from limited data, we applied the model first to only the choices from the initial association

353 learning phase, next to only the choices from the first reversal learning phase, and finally from both phases 354

combined. To estimate whether the Bayesian reinforcement learning model can recover the simulated ϕ and 355

 λ values without bias from either the single or the combined phases, we correlated the estimated values with 356

the values individuals were initially assigned: 357

 $\begin{aligned} \phi_{b,1} \text{ or } \lambda_{b,1} &\sim \text{Normal}(\mu_b, \, \sigma), \\ \mu_b &= \alpha \, + \, \beta \, \times \, \phi_{b,0} \text{ or } \lambda_{b,0}, \end{aligned}$ 358

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 $\alpha \sim \text{Normal}(0, 0.1),$ 360

 $\beta \sim \text{Normal}(1,1),$ 361

 $\sigma \sim \text{Exponential}(1).$ 362

where $\phi_{b,1}$ or $\lambda_{b,1}$, the values estimated for each bird, indexed by b, from the simulated behavior are assumed 363 to come from a normal distribution with a mean that can vary for each bird, μ_b , and overall variance, σ . 364 The mean for each bird is constructed from an overall intercept, α , and the change in expectation, the 365 slope, β , depending on the values assigned to each bird at the beginning of the simulation ($\phi_{b,0}$ or $\lambda_{b,0}$). 366 The combination of α close to 0 and of β close to 1 would indicate that the estimated values matched the 367 assigned values. 368

This, and all following statistical models, were implemented using functions of the package 'rethinking' 369 (McElreath, 2020) in R to call Stan and estimate the relationships. Following the social convention set in 370 (McElreath, 2020), we report the mean estimates and the 89% compatibility intervals from the posterior 371 estimates from these models. For each model, we ran four chains with 10,000 iterations each (half of which 372 373 were warm up). We checked that the number of effective samples was sufficiently high and evenly distributed across all estimated variables such that autocorrelation did not influence the estimates. We also confirmed 374 that in all cases the Gelman-Rubin convergence diagnostic, \hat{R} , was 1.01 or smaller, indicating that the 375 chains had converged on the final estimates (Gelman & Rubin, 1995). In all cases, we also plotted the 376 model inferences onto the distribution of the raw data to confirm that the estimated predictions matched 377 the observed patterns. 378

2) Using mathematical derivations to determine whether variation in ϕ or λ has 379 a stronger influence on the number of trials individuals might need to reach 380 criterion in serial reversal learning experiments 381

We mathematically derived predictions about the choice behavior of individuals using equations 1-3. We 382 determined the values for ϕ and λ that individuals would need to reach the passing criterion in 50 trials or 383 fewer in the serial reversal learning experiment. To derive the learning curves for individuals with different 384 ϕ and λ , we incorporated the dynamic aspect of change over time by inserting the probabilities of choosing 385 either the rewarded or the non-rewarded option from trial t as the likelihood for the changes in associations 386 at trial t+1. 387

Equation 3a (dynamic association for the rewarded option): 388

389
$$A_{r,t+1} = ((1-\phi) \times A_{r,t} + \phi \times \pi) \times P_t + (1-P_t) \times A_{r,t}$$

Equation 3b (dynamic association for the non-rewarded option): 390

³⁹¹
$$A_{n,t+1} = (1-P_t) \times (1-\phi) \times A_{n,t} + P_t + (1-P_t) \times A_{n,t}$$

In equations 3a and 3b, the association with both the rewarded, A_r , and the non-rewarded, A_n , options 392 change from trial t to trial t+1 depending on the association updating rate ϕ and the probability, P, that 393 the association was chosen during trial t. The probability, P, is calculated using equation 2. The reward π 394 is set to 1. We used these equations to explore which combinations of ϕ and λ would lead to an individual 395

choosing the rewarded option above the passing criterion in 50 trials or less after a reversal in the rewarded 396

option. We assumed serial reversals, and therefore set the initial associations after the reversal to 0.1 for the 397

- now rewarded option (previously unrewarded, so low association) and to 0.7 for the now unrewarded option 398
- (previously rewarded, so high association). We obtained these associations from the end of the reversal 399 learning simulation in question 1. For a given combination of ϕ and λ , we first used equation 2 to calculate
- 400 the probability that an individual would choose the rewarded option during this first trial after the reversal 401
- (where the remaining probability reflects the individual choosing the non-rewarded option). We then used 402
- equations 3a and 3b to update the associations. We repeated the calculations of the probabilities and the 403
- updates of the associations 50 times to determine whether individuals with a given combination of ϕ and 404
- λ would reach the passing criterion within either 50 (the serial reversal passing criterion) or 40 trials (the 405
- average observed among the trained grackles). For ϕ ranging between 0.02 and 0.10, we manually explored 406 which λ would be needed such that an individual would choose the rewarded option with more than 50%
- 407 probability at trial 31 (or 21) and with more than 85% probability at trial 50 (or 40), to match the passing 408
- criterion of 17 correct out of the last 20 trials (17/20=0.85). 409

3) Estimating ϕ and λ from the observed reversal learning performances of grack-410 les to determine which has more influence on variation in how many trials indi-411 viduals needed to reach the passing criterion 412

We fit the Bayesian reinforcement learning model to the data of both the control and the trained grackles. 413 Based on the simulation results indicating that the minimum sample per individual required for accurate 414 estimation are two learning phases across one reversal (see below), we fit the model first to only the choices 415 from the initial association learning phase and the first reversal learning phase for both control and trained 416 individuals. For the control grackles, these estimated ϕ and λ values also reflected their behavioral flexibility 417 at the end of the reversal learning experiment. For the trained grackles, we additionally calculated ϕ and λ 418 separately for their final two reversals at the end of the serial reversal to infer the potential changes in the 419 parameters. 420

We determined how the ϕ and λ values influenced the number of trials individuals needed during a reversal 421 by building a regression model to determine which of the two parameters had a more direct influence on 422 the number of trials individuals needed to reach the passing criterion. We fit this model to the data from 423 the simulated individuals, as well as to the data from the grackles. We assumed that the number of trials 424 followed a Poisson distribution because the number of trials to reach criterion is a count that is bounded at 425 smaller numbers (individuals need at least 20 trials to reach the criterion) with a log-linear link because we 426 expect there are diminishing influences of further increases in ϕ or λ . The model is as follows: 427

 $v_b \sim \text{Poisson}(\mu),$ 428

- $$\begin{split} & \log \mu = \alpha + \beta_1 \times \phi_b + \beta_2 \times \lambda_b, \\ & \alpha \sim \text{Normal}(4.5,1), \end{split}$$
 429
- 430
- $\beta_1 \sim \text{Normal}(0,1),$ 431
- $\beta_2 \sim \text{Normal}(0,1),$ 432

where the number of trials each individual needed during their reversal, v_b , was linked with separate slopes, 433 β_1 and β_2 , to both the ϕ and λ of each individual. The mean of the prior distribution for the intercept, α , 434 was based on the average number of trials (90) grackles in Santa Barbara were observed to need to reach the 435 criterion during their one reversal (mean of 4.5 is equal to logarithm of 90, standard deviation set to 1 to 436 constrain the estimate to the range observed across individuals). The priors for the relationships β_1 and β_2 437 with ϕ and λ were centered on zero, indicating that, a priori, we did not bias these toward a relationship. 438

4) Comparing ϕ and λ from the beginning and end of the observed serial reversal 439 learning experiment to assess which changes more as grackles improve their 440 performance 441

For the subset of grackles that were part of the serial reversal group, we calculated how much their ϕ and λ 442 changed from their first to their last reversal. The model is as follows: 443

- $$\begin{split} \phi_{b,r} \text{ or } \lambda_{b,r} &\sim \text{Normal}(\ \mu_b \ , \sigma \), \\ \mu_b &= \alpha_b + \beta_b \times r, \end{split}$$
 444
- 445

$$\begin{bmatrix} \alpha_b \\ \beta_b \end{bmatrix} \sim \text{MVNormal} \left(\begin{bmatrix} \alpha \\ \beta \end{bmatrix}, S \right),$$
$$S = \begin{pmatrix} \sigma_\alpha & 0 \\ 0 & \sigma_\beta \end{pmatrix} Z \begin{pmatrix} \sigma_\alpha & 0 \\ 0 & \sigma_\beta \end{pmatrix},$$

446

447 $Z \sim \text{LKJcorr}(2),$

- 448 $\alpha \sim \text{Normal}(5,2),$
- 449 $\beta \sim \text{Normal}(-1, 0.5),$
- 450 $\delta_b \sim \text{Exponential}(1),$
- 451 $\sigma \sim \text{Exponential}(1),$

where each grackle, b, has two ϕ and λ values, one from the beginning (r=0) and one from the end of 452 the serial reversal experiment (r = 1). We assume that there are individual differences that persist through 453 the experiment (intercept α_b), and that how much individuals change from the first to the last reversal, 454 r, estimated by β_b , might also depend on their values at the beginning. Each bird has an intercept and 455 slope with a prior distribution defined by the two dimensional Gaussian distribution (MVNormal) with 456 means, σ_{α} and σ_{β} , and covariance matrix, S. The covariance matrix, S, is factored into separate standard 457 deviations, δ_b , and a correlation matrix, Z. The prior for the correlation matrix is set to come from the 458 Lewandowski-Kurowicka-Joe (LKJcorr) distribution, and is set to be weakly informative and skeptical of 459 extreme correlations near -1 or 1. 460

We also fit a model to assess whether individual improvement in the number of trials from their first to their last reversal was linked more to their change in ϕ or to their change in λ . The model is as follows:

- 463 $\Delta v_b \sim \operatorname{Normal}(\mu_b, \sigma),$
- $\label{eq:464} {}^{_{464}} \quad \mu_b = \alpha + \beta_1 \times \Delta \phi_b + \beta_2 \times \Delta \lambda_b,$
- 465 $\alpha_b \sim \text{Normal}(40, 10),$
- 466 $\beta_1 \sim \text{Normal}(0, 10),$
- 467 $\beta_2 \sim \text{Normal}(0, 10),$
- 468 $\sigma \sim \text{Exponential}(1),$

where Δv_b , the improvement in the number of trials, is the difference in the number of trials between the first and the last reversal, and $\Delta \phi_b$ and $\Delta \lambda_b$ are the respective differences in these parameters between the beginning and the end of the serial reversal experiment. The remaining parameters in the model are as defined above.

⁴⁷³ 5) Calculating whether individual differences in ϕ and λ persist throughout the ⁴⁷⁴ serial reversal learning experiment and whether grackles differ in how much they ⁴⁷⁵ change throughout the experiment

We checked whether the ϕ and λ values of grackles at the beginning were associated with how much they changed (difference in values between beginning and end):

- 478 $\Delta \phi_b$ or $\Delta \lambda_b \sim \text{Normal}(\mu_b \ , \sigma)$,
- 479 $\mu_b = \alpha + \beta \times \phi_{b,0} \text{ or } \lambda_{b,0},$
- 480 $\alpha \sim \text{Normal}(0,1),$
- 481 $\beta \sim \text{Normal}(0,1),$
- 482 $\sigma \sim \text{Exponential}(1),$

where $\Delta \phi_b$ and $\Delta \lambda_b$ are the changes in these values, and $\phi_{b,0}$ and $\lambda_{b,0}$ are the bird's values from their first reversal. The remaining parameters are as defined above.

We also checked whether the ϕ or λ values of grackles at the beginning were associated with the values they had at the end:

- ⁴⁸⁷ $\phi_{b,1}$ or $\lambda_{b,1} \sim \text{Normal}(\mu_b, \sigma)$,
- 488 $\mu_b = \alpha + \beta \times \phi_{b,0} \text{ or } \lambda_{b,0},$
- 489 $\alpha \sim \text{Normal}(0,1),$
- 490 $\beta \sim \text{Normal}(0,1),$
- ⁴⁹¹ $\sigma \sim \text{Exponential}(1),$
- where $\phi_{b,1}$ and $\lambda_{b,1}$ are from the last reversal. The remaining parameters are as defined above.

In addition, we assessed whether grackles at the end of the serial reversal experiment focused more on one of the processes, ϕ or λ , than the other. The model is as follows:

⁴⁹⁵ $\phi_{b,1} \sim \text{Normal}(\mu_b, \sigma),$

496 $\mu_b = \alpha + \beta \times \lambda_{b,1},$

497 $\alpha \sim \text{Normal}(0,1),$

498 $\beta \sim \text{Normal}(0,1),$

499 $\sigma \sim \text{Exponential}(1),$

where the values estimated for birds from their last reversal are assessed for an association. All parameters as defined above.

We used the ϕ and λ values estimated from individuals after they completed the serial reversal learning 502 experiment to better understand how individuals behave after a reversal in which option is rewarded. We 503 chose two combinations of ϕ and λ from the end of the range of values observed among the individuals who 504 completed the serial reversal learning experiment. The first combines a slightly higher ϕ (0.09) with a slightly 505 lower λ (3), and the second combines a slightly lower ϕ (0.06) with a slightly higher λ (4). We entered these 506 values in equations 2, 3a, and 3b. We plotted the change in the probability that an individual will choose 507 the rewarded option across the first 40 trials after a switch. As above, we set the initial associations to the 508 now rewarded option to 0.1 and to the now non-rewarded option to 0.7. 509

⁵¹⁰ 6) Linking ϕ and λ from the observed serial reversal learning performances to ⁵¹¹ the performance on the multi-option puzzle boxes

We modified the statistical models in the original article (Logan et al., 2023a) that linked performance on the serial reversal learning tasks to performance on the multi-option puzzle boxes, replacing the previously used independent variable of the number of trials needed to reach criterion in the last reversal with the estimated ϕ and λ values from the last two reversals (trained grackles) or the initial discrimination and the first reversal (control grackles). We assumed that there also might be non-linear, U-shaped relationships between ϕ and/or λ and the performance on the multi-option puzzle box. For the number of options solved, we fit a binomial model with a logit link:

- ⁵¹⁹ $o_b \sim \text{Binomial}(4, p),$
- ⁵²⁰ logit(p) ~ $\alpha + \beta_1 \times \phi + \beta_2 \times \phi^2 + \beta_3 \times \lambda + \beta_4 \times \lambda^2$,
- ⁵²¹ $\alpha \sim \text{Normal}(1, 1),$
- ⁵²² $\beta_1 \sim \text{Normal}(0, 1),$
- 523 $\beta_2 \sim \text{Normal}(0, 1),$
- 524 $\beta_3 \sim \text{Normal}(0, 1),$
- 525 $\beta_4 \sim \text{Normal}(0, 1),$

where o_b is the number of options solved on the multi-option puzzle box, 4 is the total number of options on the multi-option puzzle box, p is the probability of solving any one option across the whole experiment, α is the intercept, β_1 is the expected linear amount of change in p for every one unit change in ϕ in the reversal learning experiments, β_2 is the expected non-linear amount of change in p for every one unit change in ϕ^2 , β_3 the expected linear amount of change for changes in λ , and β_4 is the expected non-linear amount of change for changes in λ^2 .

For the average latency to attempt a new option on the multi-option puzzle box as it relates to ϕ and λ , we fit a Gamma-Poisson model with a log-link:

- $_{541}$ s ~ Exponential(1),

where n_b is the average latency, counted as the number of seconds, to attempt a new option on the multioption puzzle box, m_b reflects the tendency of each grackle to wait (if they have a higher tendency to wait, they have a longer latency), *s* controls the variance (larger values mean the overall distribution is more like a pure Poisson process in which all grackles have the same tendency to wait), α is the intercept, β_1 is the expected linear amount of change in latency for every one unit change in ϕ , β_2 is the expected non-linear amount of change in latency for every one unit change in ϕ^2 , β_3 the expected linear amount of change for changes in λ , and β_4 is the expected non-linear amount of change for changes in λ^2 .

549 **Results**

⁵⁵⁰ 1) Power of the Bayesian reinforcement learning model to detect short-term ⁵⁵¹ changes in the association-updating rate, ϕ , and the sensitivity to learned asso-⁵⁵² ciations, λ

Applying the Bayesian reinforcement learning model to simulated data from only a single phase (initial 553 association or first reversal) revealed that, while the model recovered the differences among individuals, the 554 estimated ϕ and λ values did not match those the individuals had been assigned (Figure 2). The estimated 555 ϕ and λ values were consistently shifted away from the values assigned to the simulated individuals. The 556 estimated ϕ values were consistently smaller than those assigned to the simulated individuals (here and 557 hereafter, we report the posterior mean slope of the association, the β factor in the statistical models, with 558 the 89% compatibility interval; +0.15, +0.06 to +0.23, n=626 simulated individuals), while the estimated 559 λ values were consistently estimated to be larger than the assigned λ values (+6.04, +5.86 to +6.22, n=626) 560 simulated individuals) (Figure 2). The model assumed that, during the initial association learning, individuals 561 only needed to experience each option once to learn which of the two options to choose. This would lead to 562 a difference in the associations between the two options. The model assumed that the simulated individuals 563 would not require a large ϕ because a small difference in the associations would already be informative. 564 Individuals would then be expected to consistently choose the option that was just rewarded, and they would 565 because of their large λ . In addition, these shifts mean that ϕ and λ are no longer estimated independently. 566 The model estimated that, if an individual had a particularly low ϕ value, it would require a particularly 567 high λ value. This dependency (which was due to inaccurate estimation) between ϕ and λ led to a strong 568 positive correlation in the estimated values of ϕ and λ (+505, +435 to +570, n=626 simulated individuals). 569 This correlation is erroneous because individuals were assigned their λ values independent of their ϕ values, 570 with the different combinations across the populations meaning that high and low values of λ were assigned 571 to individuals with both high and with low ϕ values. 572

In contrast, when we combined data from across the initial discrimination learning and the first reversal, 573 the model recovered the ϕ and λ values that the simulated individuals had been assigned (ϕ : intercept 574 0.00, -0.01 to +0.01, slope +0.96, +0.70 to +1.21, n=626 simulated individuals; λ : intercept +0.01, -0.15 to 575 +0.16, slope +0.98, +0.92 to +1.05, n=626 simulated individuals) (Figure 2). While different combinations 576 of ϕ and λ could potentially explain the series of choices during a single phase (initial discrimination and 577 single reversal), these different combinations lead to different assumptions about how an individual would 578 behave right after a reversal when the reward is switched. In combination, the choices before and after a 579 reversal make it possible to infer the assigned values (initial learning plus first reversal, or two subsequent 580 581 reversals). Given that the choices individuals make during any given trial are probabilistic, the estimation can show slight deviations from the assigned values. However, this was also reflected in the uncertainties of 582

the estimated values, and the compatibility intervals of the estimated values included the value assigned to the simulated individuals (Figure 2).



585

Figure 2. Both the ϕ (a) and the λ (b) values are only estimated correctly by the Bayesian reinforcement 586 model when the choices from the simulated reversal learning are combined with the previous initial association 587 learning (green circles). When ϕ was estimated based on the choices made only during the first reversal, the 588 estimates were consistently lower than the assigned values, particularly for large ϕ values (a, blue diamonds). 589 The model assumed that the simulated individuals chose the rewarded option consistently not because they 590 updated their associations, but because they consistently chose the rewarded option as soon as they had 591 learned which option was rewarded. Accordingly, the model wrongly assigned individuals very high λ values 592 (b, blue diamonds). Lines around the points indicate the 89% compatibility intervals of the estimated values 593 and are only shown for the estimation from the combined choices from the initial and reversal learning - the 594 approach we ended up using for the remaining analyses. 595

⁵⁹⁶ 2) Role of ϕ and λ on performance in the serial reversal learning task based on ⁵⁹⁷ analytical predictions

To determine how ϕ and λ influence behavior during the serial reversals, we performed a mathematical 598 derivation using equations 2, 3a, and 3b. We identified the range of values for ϕ and for λ that we would expect 599 in individuals who quickly change their behavior after a reversal in the serial reversal learning experiment. 600 We found that ϕ needs to be 0.04 or larger for individuals to be able to reach the passing criterion in 40 or 601 50 trials after a reversal (Figure 3). With smaller ϕ values, individuals are expected to take longer before 602 switching to the newly rewarded option because they would not update their associations fast enough. We 603 also found that, as ϕ values increased beyond 0.04, individuals could have a larger range of λ values and still 604 reach the passing criterion in 40 or 50 trials. However, the λ values are expected to be less than 10 and as 605 low as 2.4. 606



607

Figure 3. Individuals are more likely to reach the criterion of choosing the correct option 17 out of 20 times 608 during the serial reversal trials if they update their associations quickly (high ϕ). Using the equations, we 609 found the space of values individuals are predicted to need to reach the passing criterion in 40 trials or less 610 (dark gray shading) or 50 trials or less (light gray shading). Individuals are predicted to need a large ϕ to 611 completely reverse their associations with the two options presented in the serial reversal learning experiment. 612 The predicted λ values are expected to be relatively small given that there is no upper limit. The figure also 613 shows the median ϕ and λ values estimated for the trained grackles during their first reversal (yellow), when 614 they needed on average 70 trials to reach criterion, and during their last reversal (purple) when they needed 615 on average 40 trials to reach criterion. During the training, grackles increased their ϕ to become efficient at 616 gaining the reward and reaching the criterion. They also showed a slight decline in their λ , allowing them 617 to explore the alternative option after a reversal. 618

⁶¹⁹ 3) Observed role of ϕ and λ on performance of grackles in the reversal learning ⁶²⁰ task

⁶²¹ We estimated ϕ and λ after the first reversal for all grackles, and additionally after the final reversal for the ⁶²² individuals who experienced the serial reversal learning experiment. The findings from the simulated data

indicated that λ and ϕ can only be estimated accurately when calculated across at least one reversal. In 623 the simulation, we could combine the performance of individuals during the initial learning with the first 624 reversal to estimate the parameters because the behavior during those two phases in the simulations was 625 determined in the same way by the ϕ and λ values that individuals were assigned. We determined that 626 we can also combine the first two phases for the observed grackle data because we found that the number 627 of trials grackles needed to reach criterion during the initial learning and the first reversal learning were 628 correlated (+1.61, +1.53 to +1.69, n=19 grackles), where grackles needed about 28 trials more to reach 629 criterion during the first reversal than they needed during the initial association learning. Therefore, we 630 estimated ϕ and λ for the grackles based on their performance in the initial discrimination plus first reversal, 631 and for the trained grackles additionally based on their performance in their final two reversals. The inferred 632 ϕ values for the grackles in Arizona ranged between 0.01 and 0.10, and the λ values between 2.1 and 6.5 633 (Figure 4). 634



635

Figure 4. Comparisons of the parameters estimated from the behavior of 19 grackles in the serial reversal task. The figure shows a) the number of trials to pass criterion for the first reversal (yellow; all grackles) and the last reversal (purple; only trained grackles); b) the ϕ values reflecting the rate of updating associations with the two options inferred from the initial discrimination and first reversal (yellow; all grackles) and from the last two reversals (purple; trained grackles); and c) the λ values reflecting the sensitivity to the learned associations inferred from the initial discrimination and first reversal (yellow; all grackles) and from the last two reversals (purple; trained grackles). Individual grackles have the same position along the x-axis in all

three panels. Grackles that needed fewer trials to reverse their preference generally had higher ϕ values, whereas λ appeared unrelated to the number of trials grackles needed during the first reversal. For the trained grackles, their ϕ values changed more consistently than their λ values: their ϕ values were generally higher than those observed in the control individuals, while their λ values remained within the range observed for the control group.

For the 19 grackles that finished the initial learning and the first reversal, only their ϕ (-20.69, -26.17 to 648 -15.13; n=19 grackles), but not their λ (-0.22, -5.66 to +5.26, n=19 grackles), predicted the number of trials 649 they needed to reach criterion during their first reversal (Figure 4, increase left to right in panel a), decrease 650 in panel b), no pattern in panel c)). A grackle with a ϕ of 0.01 higher than another individual needed about 651 10 fewer trials to reach the criterion. The slope between ϕ and the number of trials for the grackles was 652 essentially the same as the slope from the simulations (-20.69 vs -20.48, Figure 5). The number of trials 653 grackles needed to reach the criterion given their ϕ values fell right into the range for the relationship between 654 ϕ and the number of trials for simulated individuals (Figure 5). Even though the 8 trained grackles also 655 appeared to need slightly fewer trials to reach criterion in their final two reversals if they had a higher ϕ , 656 the limited variation in the number of trials and in ϕ and λ values among individuals means that there is 657 no clear association between the number of trials and either parameter in the last reversals (ϕ : -7.38, -15.97 658 to +1.28; λ : -4.00, -12.53 to +4.61, n=8 grackles). 659



660

Figure 5. Relationship between ϕ and the number of trials needed to reach criterion observed among grackles during their first reversal (yellow points; all grackles) and last reversal (purple points; trained grackles), as

well as for the first reversal for the simulated individuals (green stars). The observed grackle data falls within 663 the range of the number of trials individuals with a given ϕ value are expected to need. Grackles show the 664 same negative correlation between their ϕ and the number of trials needed to reach criterion as the simulated 665 individuals (the shaded lines display the 89% compatibility interval of the estimated relationships between 666 ϕ and the number of trials for both the simulated individuals, green line, and for the grackles during their 667 first reversal, yellow line). We did not simulate individuals with ϕ values larger than 0.05 because we did 668 not observe larger values among grackles in the Santa Barbara population, which we used to parameterize 669 the simulations. 670

⁶⁷¹ 4) Changes in ϕ and λ through the serial reversal learning task

Grackles who experienced the serial reversal learning reduced the number of trials they needed to reach the 672 criterion from an average of 75 to an average of 40 by the end of their experiment (-30.02, -36.05 to -24.16,673 n=8 grackles). For the trained grackles, the estimated ϕ values more than doubled from 0.03 in their initial 674 discrimination and first reversal (which is identical to the average observed among the control grackles who 675 did not experience the serial reversals) to 0.07 in their last two reversals (+0.03, +0.02 to +0.05, n=8). The 676 λ values of the trained grackles went slightly down from 4.2 (again, similar to control grackles) to 3.2 (-1.07, 677 -1.63 to -0.56, n=8 grackles) (Figure 4). The number of trials to reverse that we observed in the last reversal, 678 as well as the ϕ and λ values estimated from the last reversal, all fall within the range of variation we observed 679 among the control grackles in their first and only reversal (Figure 5). This means that the training did not 680 push grackles to new levels, but changed them within the boundaries of their natural abilities observed in 681 the population. 682

As predicted, the increase in ϕ during the training fits with the outcome from the mathematical predictions: 683 larger ϕ values were associated with fewer trials to reverse. The improvement the grackles showed in the 684 number of trials they needed to reach the criterion from the first to the last reversal matched the increase 685 in their ϕ values (+7.59,l +1.54 to +14.22, n=8 grackles). The improvement did not match the change in 686 their λ values (+2.17, -4.66 to +9.46, n=8 grackles) because, as predicted, the trained grackles showed a 687 decreased λ in their last reversal. This decrease in λ meant that grackles quickly found the rewarded option 688 after a reversal in which option was rewarded. Across all grackles, in their first reversal, grackles chose the 689 newly rewarded option in 25% of the first 20 trials, while the trained grackles in their final reversal chose 690 correctly in 35% of the first 20 trials. Despite their low λ values, trained grackles still chose the rewarded 691 option consistently because the increase in ϕ compensated for this reduced sensitivity (Figure 3; also see 692 below). 693

⁶⁹⁴ 5) Individual consistency in the serial reversal learning task

We found a negative correlation between the ϕ estimated from an individual's performance in the first 695 reversal and how much their ϕ changed through the serial reversals (-0.84, -1.14 to -0.52, n=8 grackles). The 696 larger increases in ϕ for individuals who had smaller ϕ values at the beginning made it so that individuals 697 ended up with similar ϕ values at the end of the serial reversals. We did not find consistent individual 698 variation among grackles in ϕ : their beginning and end ϕ values were not correlated (-0.21, -1.55 to +1.35, 699 n=8 grackles). Similarly, individuals who started with a high λ changed more than individuals who already 700 had a lower λ during the first reversal (-0.44, -0.76 to -0.10, n=8 grackles). Individuals changed to different 701 degrees, such that those with higher λ values in the beginning did not necessarily have higher λ values than 702 other individuals at the end of the serial reversal learning: their values at the beginning and end were not 703 associated (+0.17, -0.67 to +0.97, n=8 grackles). 704

Individuals appeared to adjust their behavior differently to improve their performance through the serial reversals. There was a negative correlation between an individual's ϕ and λ after their last reversal (-0.39, -0.72 to -0.06, n=8 grackles). While, as predicted, essentially all grackles who experienced the serial reversal learning experiments increased their ϕ and decreased their λ (Figure 5), individuals ended up with different combinations of the two parameters and all combinations allowed them to switch to the newly rewarded option in 50 trials or less. Individuals ended up along the lower (on the y-axis) side of the space of values

that are needed to reach criterion in the serial reversal learning experiment (the lower edge of the light gray

⁷¹² shading in Figure 3).

We illustrate how these differences in ϕ and λ lead to slightly different ways of reaching the passing criterion 713 during the final reversal. We used the values from the two individuals at the ends of the spectrum, the one 714 with the highest ϕ and lowest λ , and the one with the lowest ϕ and highest λ , to explore how individuals 715 switched from the previous option to the option that is now being rewarded. Based on equations 1-3, 716 individuals with a slightly higher ϕ and slightly lower λ are expected to learn the new reward associations 717 after a reversal more quickly. However, they continue to explore the alternative option even after they 718 learned the new association and therefore do not exclusively choose the rewarded option (red line in Figure 719 6). Individuals with a slightly lower ϕ and a slightly higher λ are expected to take slightly longer to learn 720 that the reward has switched, but once they reversed their association, they rarely choose the unrewarded 721 option (purple line in Figure 6). Together, this suggests that all individuals improved by the same extent 722 through the training such that the differences in their performances persisted, but they utilized slightly 723 different behaviors to quickly reach criterion after a reversal. 724



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Figure 6. Predicted and observed performance curves of individuals with different ϕ and λ values in their last reversal in the serial reversal learning experiment. The dotted lines present the behavior of the grackles

Burrito (red on the top, $\phi = 0.08$, $\lambda = 2.1$) and Habanero (purple on the bottom, $\phi = 0.06$, $\lambda = 4.8$) during 728 their last reversal. The dotted lines show the probability with which they chose the rewarded option during 729 their last 20 trials. We used their ϕ and λ values in the analytical equations 2, 3a, and 3b to derive the 730 predicted curves (solid lines) of the probability that an individual will choose the option that is currently 731 rewarded for each trial number. Individuals with a higher ϕ and lower λ (red lines on the top) are expected 732 and observed to quickly learn the new association, but continue to explore the unrewarded option even after 733 they learned the association, leading to a curve with a more gradual increase through the trials. Individuals 734 with a lower ϕ and higher λ (purple lines on the bottom) are expected and observed to take longer to switch 735 their association, but, once they do, they rarely choose the non-rewarded option, leading to a more S-shaped 736 curve where the initial increase in probability is lower and more rapid later. 737

⁷³⁸ 6) Association between ϕ and λ with performance on the multi-option puzzle ⁷³⁹ boxes

We found that the number of options solved for both the wooden and the plastic multi-option puzzle boxes as 740 well as the latency to solve a new option on both boxes correlated with the underlying flexibility parameters 741 ϕ and λ . In particular, the λ values individuals had after their last reversal had a U-shaped relationship with 742 the number of options solved on both the plastic ($\lambda + 0.17$, -0.27 to +0.61; $\lambda^2 + 0.59$, +0.18 to +1.02; n=15 743 grackles) and the wooden multi-option puzzle boxes ($\lambda + 0.03$, -0.50 to +0.59; $\lambda^2 + 0.63$, +0.12 to +1.19; 744 n=12 grackles). There was no association between the number of options solved on either box and ϕ (plastic 745 box: $\phi + 0.03$, -0.38 to +0.43; $\phi^2 - 0.16$, -0.59 to +0.28, n=15 grackles; wooden box: $\phi - 0.08$, -0.62 to +0.47, 746 ϕ^2 +0.43, -0.08 to +0.97, n=12 grackles). Grackles who had either particularly low or particularly high 747 sensitivities to their previously learned associations were more likely to solve all four options than grackles 748 with intermediate values of λ (Figure 7). 749

For the latency to attempt a new option on the plastic box, there was also a U-shaped association, but only with ϕ (ϕ -0.66, -1.30 to +0.0.06; ϕ^2 +0.58, -0.06 to +1.30; λ +0.14, -0.45 to +0.70; λ^2 +1.09, +0.28 to +1.87; n=11 grackles). Grackles with either particularly high or particularly low rates of updating their associations took longer to attempt a new option than grackles with intermediate values of ϕ (Figure 8). There was no association between the latency to attempt a new option on the wooden box with either ϕ (-0.62, -1.46 to +0.14; ϕ^2 +0.39, -0.47 to +1.26; 11 grackles) or λ (+0.13, -0.66 to +0.86; λ^2 +0.32, -0.62 to +1.35; n=11 grackles).



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Figure 7. Relationships between ϕ and λ from the last reversal and performance on the wooden (black 758 dots) and plastic (magenta triangles) multi-option puzzle boxes. Grackles with intermediate λ values in their 759 last reversal (a) were less likely to solve all four options on both multi-option puzzle boxes than grackles 760 with either high or low λ values. Grackles with intermediate ϕ values had a shorter latency to attempt a 761 new option on the plastic box (d). There were no clear relationships between ϕ and the number of options 762 solved on either box (b), λ and the latency to attempt an option on either box (c), or ϕ and the latency to 763 attempt a new option on the wooden box (d). An individual's ϕ and λ values changed slightly between the 764 top and bottom rows because values were standardized for each plot and not all individuals were tested on 765 both boxes, therefore values changed relative to the mean of the points included in each plot. The shaded 766 areas (black for the data for the wooden box, magenta for the data from the plastic box) show the 89% 767 compatibility intervals for the detected relationships between ϕ / λ and the respective outcome variable. 768 Lines around each point indicate the 89% compatibility intervals for the estimated ϕ and λ values. 769

770 Discussion

Our analyses show that grackles change their behavioral flexibility to match the reliability and stability 771 of the environment they experience. The application of the Bayesian reinforcement learning model to the 772 grackle serial reversal learning data revealed that the association-updating rate, ϕ , explained more of the 773 interindividual variation in how many trials individuals needed to reach criterion during a reversal than the 774 sensitivity to learned associations, λ . We found that, as predicted given the reliability of cues and frequent 775 switches in the serial reversal learning experiment, ϕ more than doubled between the first and last reversals, 776 whereas λ slightly declined. Even though all grackles changed their behavior in the expected direction by 777 the end of the serial reversal learning experiment, we found that these trained individuals used slightly 778

different approaches from across the range of possible behaviors. Finally, these changes in how the trained 779 individuals explored alternative options and switched preferences in light of recent information subsequently 780 also influenced their behavior in a different experimental test of behavioral flexibility and innovativeness. 781 Grackles with intermediate sensitivities to learned associations solved fewer options on both multi-option 782 puzzle boxes than grackles with either low or high sensitivities. Accordingly, the trained grackles not only 783 changed their behavior within the specific serial reversal learning task, they also more generally changed 784 their behavior across contexts in response to their training. Our findings show that grackles modulate their 785 behavioral flexibility in response to the high reliability of cues and frequent changes in associations they 786 experienced in the serial reversal learning experiment. 787

Applying the Bayesian reinforcement learning model to serial reversal data shows that participating in the 788 serial reversal learning experiment made grackles change how much they value new information over old 789 to update their associations, and how much they continue to explore alternative options or whether they 790 are sensitive to the reward they are receiving at their current choice. Grackles coming into the experiment 791 already had different rates of updating their associations and different sensitivities to learned associations, 792 suggesting they had different experiences of how predictable cues are and how frequently their environment 793 changes. In the urban environment they live in, changes are presumably frequent, so they would be expected 794 to change their associations frequently (Lee & Thornton, 2021; Breen & Deffner, 2023). In line with this, 795 the association-updating rate, ϕ , appeared to explain more of the variation in how many trials individuals 796 needed to reach the criterion of consistently choosing the rewarded option during a single phase as early 797 as in their first reversal. Other recent applications of the Bayesian reinforcement learning model to serial 798 reversal learning experiments also found that the association-updating rate explains more of the variation in 799 the number of trials to pass criterion (squirrel monkeys Bari et al., 2022; mice Metha et al., 2020; Woo et al., 800 2023). In response to learning that the cues are highly reliable and the reversals are relatively frequent, the 801 grackles increased their association-updating rate, ϕ , which on average doubled across individuals, changing 802 more for individuals who started off with lower ϕ values. Grackles also changed their sensitivity to the 803 learned associations, λ , during the serial reversals in line with the prediction that they benefit from being 804 open to exploring the alternative option when the associations between cues and rewards switch frequently. 805 Individuals changed their ϕ and λ more if their initial values were further from those necessary to reach 806 the passing criterion quickly. Individuals who passed their first reversal in 50 trials or less, changed ϕ and 807 λ only slightly by the end of the serial reversal learning experiment. Among the trained grackles, who all 808 required very few trials to consistently reach the criterion by the end of the experiment, we observed different 809 approaches (see also Chen et al., 2021). Some individuals seemed more focused on the frequent changes, such 810 that they kept exploring the alternative options and changed their associations as soon as they encountered 811 new information. These individuals reached the passing criterion quickly because they switched to the newly 812 rewarded option soon after a reversal. However, their continued exploration of the alternative option meant 813 that they still needed several trials to reach the criterion. Other individuals seemed to place more emphasis 814 on the reliability of the cues, focusing on the rewarded option after they learned that the cues had reversed. 815 These individuals reached the passing criterion quickly because they consistently chose the rewarded option. 816 However, these grackles needed a few more trials after a reversal began to switch to the new option. At the 817 beginning of the experiment, the grackles showed a diversity of ϕ and λ values and, because they had no 818 prior experience, they did not show specific approaches to quickly reach the criterion. With the variables we 819 measured at the beginning of the serial reversal learning experiment, we could not predict which approach 820 grackles ended up with after the serial reversals. 821

The changes in behavioral flexibility that the grackles showed during the serial reversal learning experiment 822 influenced their subsequent behavior in other tasks. The analyses linking ϕ and λ to the performance on the 823 multi-option puzzle boxes show that the different approaches grackles utilized to improve their performance 824 during the serial reversal learning experiment subsequently appeared to influence how they solved the multi-825 option puzzle box. Grackles with intermediate ϕ values showed shorter latencies to attempt a new option. 826 This could reflect that grackles with high ϕ values take longer because they formed very strong associations 827 with the previously rewarded option, while grackles with small ϕ values take longer because they either do 828 not update their associations even though the first option is no longer rewarded or they do not explore as 829 much due to their small λ . We also found that grackles with intermediate values of λ solved fewer puzzle 830 box options. This could indicate that grackles with a small λ are more likely to explore new options, while 831

grackles with a large λ and low ϕ are less likely to return to an option that is no longer rewarded. We are 832 limited in our interpretation by the small sample sizes for the multi-option puzzle boxes. We have some 833 indication that experiencing the serial reversal learning experiment continued to shape the behavior of the 834 grackles after releasing them back to the wild. Individuals who changed their ϕ and λ more during the serial 835 reversal learning experiment appeared to switch more frequently between food types and foraging techniques 836 (Logan et al., 2024). It took a grackle on average one month to pass the serial reversal learning experiment 837 (Logan et al., 2023a), and the observations of the foraging behavior in the wild continued for up to 8 months 838 after individuals were released (Logan et al., 2024). This indicates that the effects of enhancing flexibility are 839 durable and generalize to other contexts. In grackles, behavioral flexibility does not change within days or 840 only during certain critical periods. Our results suggest that individuals change their behavioral flexibility 841 to match their environment if they experience the same conditions repeatedly across weeks. 842

Most individuals that have been tested in serial reversal learning experiments thus far show improvements 843 throughout the reversals, suggesting that most species can modulate their behavioral flexibility in response 844 to the predictability and stability of their environments (e.g. Warren & Warren, 1962; Komischke et al., 2002; 845 Bond et al., 2007; Strang & Sherry, 2014; Chow et al., 2015; Cauchoix et al., 2017; Degrande et al., 2022; 846 Erdsack et al., 2022). Previous studies used summary statistics to describe how the behavior of individuals 847 changes during the serial reversal learning experiment (e.g. Federspiel et al., 2017) or show changes in learning 848 curves (e.g. Gallistel et al., 2004). As shown in Figure 6, we can recreate these learning curves from the 849 inferred association-updating rates and sensitivities to learned associations. The advantage of the Bayesian 850 reinforcement learning model with its two parameters of the association-updating rate and the sensitivity to 851 learned associations is that it has a clear theoretical foundation of what aspects of the experimental setting 852 should lead to changes in the behavior (Gershman, 2018; Metha et al., 2020; Danwitz et al., 2022; Woo et 853 al., 2023). Based on our application here, the model appears to be sufficient to accurately represent the 854 behavior of grackles in the serial reversal experiment. This suggests that the stability and reliability of the 855 environment has a large influence on how individuals learn about rewards. The importance of experiencing 856 stable and predictable environments potentially explains the difference between lab-raised and wild-caught 857 animals in how they change their behavior during the serial reversal learning experiment. Many lab-raised 858 animals were observed to switch to a "win-stay versus lose-shift" strategy, where only their most recent 859 experience guided their behavior and they no longer explored alternative options (Mackintosh et al., 1968; 860 Rayburn-Reeves et al., 2013). These animals generally experience very stable conditions during their lives, 861 and often participate in large numbers of trials in an experiment. Accordingly, cues are reliable and changes 862 are rare, so individuals would be expected to show the high association-updating rates and high sensitivities 863 to learned associations that would lead to the "win stay versus lose shift" strategy. In contrast, wild-864 caught animals, including grackles, only slowly move away when an option is no longer rewarded and they 865 continue to explore alternative options (Chow et al., 2015; Cauchoix et al., 2017). These individuals probably 866 experience environments in which associations are not perfectly reliable and changes occur more gradually. 867 These individuals are expected to show smaller sensitivities to their associations and therefore continue to 868 explore their environment. This focus on the key pieces of information that individuals likely pay attention 869 to when adjusting their behavior also provides ways to link their performances and inferred cognitive abilities 870 to their natural behavior. We found that, for the grackles, the behavioral flexibility they exhibited at the 871 end of the serial reversal learning experiment linked to their foraging behavior in the wild (Logan et al., 872 2024). The existing literature on foraging behavior, investigating trade-offs between the exploration versus 873 exploitation of different options, has a similar focus on gaining information (exploration) versus decision 874 making (exploitation) (Kramer & Weary, 1991; Berger-Tal et al., 2014; Addicott et al., 2017). Linking this 875 framework to the concepts of reinforcement learning and decision making could provide further insights into 876 the cognitive processes that are involved and the information that individuals might pay attention to. The 877 approach we established here to study behavioral flexibility, linking the theoretical framework of the Bayesian 878 reinforcement learning model to the specific experimental task of the serial reversal learning experiment and 879 the natural behavior of individuals, offers opportunities to better understand cognition in the wild (Rosati 880 et al., 2022). 881

882 Author contributions

Lukas: Hypothesis development, simulation development, data analyses, data interpretation, write up,
 revising/editing.

- 885 McCune: Added MAB log experiment, protocol development, data collection, revising/editing.
- 886 Blaisdell: Prediction revision, revising/editing.
- 887 Johnson-Ulrich: Data collection, revising/editing.
- 888 MacPherson: Data collection, revising/editing.
- 889 Seitz: Prediction revision, revising/editing.
- 890 Sevchik: Data collection, revising/editing.

Logan: Hypothesis development, protocol development, data collection, data analysis, data interpretation,
 revising/editing.

Funding

This research is funded by the Department of Human Behavior, Ecology and Culture at the Max Planck Institute for Evolutionary Anthropology.

896 Ethics

The research on the great-tailed grackles followed established ethical guidelines for the involvement and treat-897 ment of animals in experiments and received institutional approval prior to conducting the study (US Fish 898 and Wildlife Service scientific collecting permit number MB76700A-0,1,2; US Geological Survey Bird Band-899 ing Laboratory federal bird banding permit number 23872; Arizona Game and Fish Department scientific 900 collecting license number SP594338 [2017], SP606267 [2018], and SP639866 [2019]; California Department 901 of Fish and Wildlife scientific collecting permit number S-192100001-19210-001; Institutional Animal Care 902 and Use Committee at Arizona State University protocol number 17-1594R; Institutional Animal Care and 903 Use Committee at the University of California Santa Barbara protocol number 958; University of Cambridge 904 ethical review process non-regulated use of animals in scientific procedures: zoo4/17 [2017]). 905

⁹⁰⁶ Conflict of interest disclosure

We, the authors, declare that we have no financial conflicts of interest with the content of this article. CJ Logan is a Recommender and, until 2022, was on the Managing Board at PCI Ecology. D Lukas is a Recommender at PCI Ecology.

Acknowledgements

⁹¹¹ We thank our PCI Ecology recommender, Aurelie Coulon, and reviewers, Maxime Dahirel and Andrea ⁹¹² Griffin, for their feedback on the preregistration; Coulon for serving as the recommender on the post-study ⁹¹³ manuscript, and reviewers, Maxime Dahirel and an anonymous person, for their constructive feedback on ⁹¹⁴ this manuscript that helped with the framing of the study; Julia Cissewski for tirelessly solving problems ⁹¹⁵ involving financial transactions and contracts; Sophie Kaube for logistical support; and Richard McElreath ⁹¹⁶ for project support.

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