1 Title: Individual-based eco-evolutionary framework: towards unifyin	g ecology	and evolution
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# 8 Abstract

9 Evolution is increasingly found to be rapid and entangled with ecological processes in complex eco-10 evolutionary dynamics, calling for a common conceptual framework. Yet, ecological and evolutionary 11 theory remain largely separated, which constrains the development of integrative research. To 12 overcome this separation, I argue for treating the entangled dynamics as a single eco-evolutionary 13 process rather than as separate ecological and evolutionary processes connected by feedbacks. I 14 propose a unified conceptual framework that integrates ecological and evolutionary processes at 15 population and community levels by considering which of them result from the same individual-16 based process. The resulting framework is a means to understand the entangled dynamics through 17 the interaction of five basic eco-evolutionary processes: natural selection, drift, dispersal, gene 18 transfer, and mutation. The framework allows systematic comparison of all ecological, evolutionary 19 and eco-evolutionary theories and models. It provides simple means to discuss complex dynamics, 20 and promotes a holistic approach to major topics including diversity, stability, and stochasticity. The 21 framework thus presents a step towards conceptually uniting ecology and evolutionary biology.

#### 22 Introduction

Ecology and evolutionary biology both study the dynamics of life on Earth, each from a different but
overlapping perspective. They are recognized as closely related fields, but the conceptual connection
between them remains surprisingly vague, often described by statements like "nothing in evolution
or ecology makes sense except in the light of the other" (Pelletier et al., 2009).

27 Probably the main reason why ecological and evolutionary theory have developed largely in parallel 28 for over a century was presumed separation of ecological timescales (over which population size and community composition change) from evolutionary timescales (over which allele frequencies in a 29 30 population change) (Holt, 2005; Slobodkin, 1961). This view has been especially prevalent among 31 ecologists (Holt, 2005). However, evidence for rapid evolution that occurs over a few generations 32 has been accumulating in organisms ranging from bacteria to elephants (Campbell-Staton et al., 33 2021; Hiltunen et al., 2018; Ramos & Schiestl, 2019; Rudman et al., 2022). Evolution can therefore be 34 entangled with ecological processes in complex eco-evolutionary dynamics (Bassar et al., 2021; 35 Evans et al., 2020; Hairston et al., 2005; Hart et al., 2019; Hendry, 2017; Ives et al., 2020; Post & 36 Palkovacs, 2009; Rudman et al., 2022; Urban et al., 2020). For example, Ives et al. (2020) 37 demonstrated perpetual eco-evolutionary dynamics in an insect pest and its natural enemies, while 38 Hiltunen et al. (2014) found evidence for eco-evolutionary dynamics in about half of consumer-39 resource dynamics series they investigated. Consequently, increasing numbers of studies are 40 emphasizing the need to consider ecology and evolution together (Barbour et al., 2022; Nosil & 41 Gompert, 2022; Segar et al., 2020; Sigmund & Holt, 2021) to address pressing challenges like 42 managing diseases, invasions and pests (Johnson et al., 2015; Karlson Green et al., 2020; Latombe et 43 al., 2021; Lion & Metz, 2018), mitigating impacts of global environmental change (Faillace et al., 44 2021; Lasky, 2019; M. C. Urban et al., 2016), and conserving threatened biodiversity (Kahilainen et 45 al., 2014).

46 Ecology and evolutionary biology have been coming closer together as genetic tools became more 47 widely accessible and as appreciation for the importance of intraspecific variation grew in 48 community ecology (Bolnick et al., 2011; Des Roches et al., 2018; Violle et al., 2012). But despite the 49 achievements of evolutionary ecology and eco-evolutionary dynamics subfields, ecological and 50 evolutionary theory have not yet converged. As a result, research findings in organismal biology are 51 presented using two incomplete theoretical frameworks (Fig. 1). Researchers have found ways to 52 bridge this gap practically using mathematical modelling (e.g. eco-evolutionary feedback, integral 53 projection matrices, evolutionarily stable strategies) (Govaert et al., 2019; Hairston et al., 2005; 54 Hendry, 2017; Lion, 2018; Smallegange & Coulson, 2013), but we lack a common framework which 55 would show how existing theoretical concepts from ecology and evolutionary biology relate to one 56 another. This makes communication between the two fields difficult and especially complicates the 57 framing of eco-evolutionary studies and comparing eco-evolutionary dynamics models. 58 Eco-evolutionary feedback is a particularly widely used approach for modelling entangled ecological 59 and evolutionary dynamics through partitioning dynamics into ecological processes, evolutionary 60 processes, and their interactions (Govaert et al., 2019; Hairston et al., 2005; Post & Palkovacs, 2009). 61 This is a powerful modelling approach, and it gradually became the main conceptual framework 62 through which we currently understand eco-evolutionary dynamics as a feedback between two 63 separate processes – ecology and evolution. However, this separation leads to emphasizing 64 differences over commonalities and precludes the integration of ecological and evolutionary 65 concepts. I argue here that a transition towards conceptualizing eco-evolutionary dynamics as a 66 single eco-evolutionary process can present an important step in integrating ecology and

67 evolutionary biology.

# Unified Conceptual Eco-Evolutionary Framework



Fig. 1. Ecological and evolutionary theory largely overlap, although each field has developed
unique aspects. The emphasis in ecology is on interactions of organisms with their environment and
between themselves, while the emphasis of evolutionary biology is on modification of genetic
components of organisms through generations. The Unified Conceptual Eco-Evolutionary Framework
unites both disciplines within a common overall framework. Alternatively, the new framework can be
used for eco-evolutionary dynamics only, i.e. in situations where ecological and evolutionary
processes interact at the same timescale.

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There has been a clear recent interest in developing a conceptual framework for eco-evolutionary
dynamics (De Meester et al., 2019; Govaert et al., 2021; Lowe et al., 2017). Govaert *et al.* (2021)
worked with four community level processes proposed by Vellend (2010) (discussed further in the
Synthesis section) and four widely used population genetic processes, and examined how all 16
pairwise interactions between the two sets of processes influence eco-evolutionary dynamics.
De Meester *et al.* (2019) emphasized the different levels of biological organization between which
eco-evolutionary feedbacks can take place, and the need to consider more natural settings of

multiple species, interactions and sites. Both of these frameworks work with the concept of
feedback between two processes. On the other hand, Lowe *et al.* (2017) consider the ecoevolutionary process as not separable into ecology and evolution, focusing at the population level.
The first two frameworks are conceptual, while the third discusses integrating mathematical
formalisms from population genetics with demography.

89 While mathematically formalized theories are superior over conceptual frameworks in predictive 90 power, there is a trade-off between the comparative and organizing roles of a framework and the 91 predictive role of a theory or model. The predictive power of theories and models comes at the 92 expense of additional assumptions. But having additional assumptions interferes with the 93 comparison of theories with different sets of assumptions. The advantage in comparative and 94 organizing roles is therefore why some conceptual frameworks, like Vellend's (2010, 2016) 95 framework for community ecology, become widely used despite not being mathematical and 96 predictive.

97 In this paper I build on the previous efforts, but offer a new perspective. I suggest that the 98 underexplored individual level may be particularly useful for a common eco-evolutionary framework 99 because of its ability to naturally link processes at higher levels of organization (populations, 100 communities). I present such a conceptual framework that integrates existing population genetic 101 and community ecology frameworks. I show how the new simple framework allows: i) systematically 102 comparing, organizing, and relating existing theoretical concepts from ecology and evolutionary 103 biology to one another (Table 1) to help ecologists and evolutionary biologists think about the other 104 discipline as an integral part of theirs, ii) comparing and organizing eco-evolutionary theories and 105 models (Table 2), iii) framing of empirical studies and models in a way that is accessible to all 106 organismal biologists, and iv) connections between processes in biology across temporal scales and 107 levels of biological organization. The framework can be used in two modes – as an environment for 108 integrating concepts across entire ecology and evolutionary biology (encompassing both same-scale

eco-evolutionary dynamics as well as theories and models which assume separation of scales), or in
a more restricted sense for eco-evolutionary dynamics studies only. Organismal biology is very broad
and there are many cases where one might prefer to address a problem using more restricted,
purely ecological or evolutionary frameworks. The eco-evolutionary framework presented here
should be seen as an alternative, rather than a replacement, of these traditional frameworks.

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### 115 Synthesis

116 Theoretical concepts in ecology are disconnected and a widely accepted "general theory of ecology" 117 does not exist (Sober, 2000; Vellend, 2016). In contrast, evolutionary theory is viewed as a largely 118 homogeneous integration of current knowledge from different fields that is referred to as the 119 modern evolutionary synthesis (Fisher, 1930; Huxley, 1942; Mayr, 1993), notwithstanding some 120 continuing debates (e.g., Laland et al., 2014). This difference is partly due to different views of the 121 same patterns and processes (Sober, 2000; Vellend, 2016). While ecology traditionally focuses on 122 the causes of fitness differences between species and populations which are likely to be numerous 123 and system-specific (e.g. temperature, nutrition, competition, social interactions, species 124 interactions), evolutionary theory focuses on the consequences of fitness differences which are likely 125 to be fewer in number and more general (e.g. directional, stabilizing or disruptive selection) 126 (Vellend, 2016). Yet, Vellend (2010, 2016) demonstrated that it is possible to develop a general 127 theory that focuses on *consequences* for community ecology, a subfield of ecology. He took 128 inspiration from the practical framework of four basic processes used in population genetics and 129 applied it analogically to ecological communities, one level of biological organization above 130 populations. In doing so, he also shifted the focus of the theory from genes to organisms: basic 131 processes became ecological, and evolution became one of the possible *causes*. 132 Focusing on consequences therefore seems important for building a general framework. Since

133 consequences are more traditionally the focus of evolutionary theory, it makes the modern

134 evolutionary synthesis a suitable backbone for a common eco-evolutionary framework (Schoener, 135 2011). At the core of the modern evolutionary synthesis lies population genetics with a widely used 136 conceptual framework of four key processes (natural selection, genetic drift, gene flow, and 137 mutation). The modern evolutionary synthesis already includes many aspects of ecology (see overlap 138 section in Figure 1), although some important aspects are better developed in individual ecological 139 theories. Such areas of well-developed ecological theory include species interactions, food webs, 140 and community ecology in general (Johnson et al., 2015; Segar et al., 2020; Toju et al., 2017), spatial 141 considerations like metapopulation and metacommunity theory (Leibold & Chase, 2018; Urban et 142 al., 2020; M. Urban et al., 2008), and metabolic theory (Burger et al., 2019; Martinez, 2020) (Fig. 1).

143 The individual scale

144 Synthesis at individual level is underexplored but focus at this level may be particularly useful for a 145 common eco-evolutionary framework. This is because higher levels of organization can be 146 mechanistically linked by considering how patterns and processes perceived at population or 147 community levels stem from an individual-based process. Likewise, individual scale can link ecology 148 and evolutionary biology. In evolutionary theory, natural selection was originally defined as acting 149 on individuals by Darwin and Wallace (1858), although different views exist on whether the 150 individual level is the only or primary level of selection (see e.g., multilevel selection) (Kramer & 151 Meunier, 2016). In ecological theory, individuals interact with conspecifics, heterospecifics, and the 152 environment (Barker & Odling-Smee, 2014; Coulson, 2021; Nakazawa, 2020). It may be practically 153 difficult to identify individuals in certain types of organisms (e.g., in grasses or endosymbiotic 154 organisms, see Box 1), but most population and community theories work with individuals in one 155 way or another (e.g., as population size), so these practical limits are not unique to an individual-156 level eco-evolutionary framework. An individual comprises the physical organism (the phenotype) 157 and its genes (the genotype).

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#### 159 Developing the framework

160 Individuals are born, grow, move, interact with each other and the environment, reproduce, and die. 161 This individual-based eco-evolutionary process of life is extremely complex and to understand it, 162 biologists subdivide it into basic processes. Four basic processes (natural selection, genetic drift, 163 gene flow, and mutation) are widely used in population genetics (Hartl & Clark, 1997; Lowe et al., 164 2017) and Vellend (2010, 2016) showed that an analogous framework of four basic processes can be 165 used in community ecology (selection, ecological drift, dispersal, and speciation). This raises the 166 possibility of moving from the analogy towards integration of these frameworks (Fig. 2). If the 167 current population genetics and community ecology theories are comprehensive when considered 168 together, eco-evolutionary dynamics can be fully described using eight basic processes – four at the 169 population level and four at the community level (Fig. 2A). Recently, Govaert et al. (2021) developed 170 an eco-evolutionary framework along this line of thought, taking the four population level and four 171 community level processes and examining all 16 pairwise interactions between them.

172 Here I was interested if the synthesis could go further, asking if any of the eight processes are 173 redundant for describing eco-evolutionary dynamics. This is likely to be the case given that the four 174 community processes were developed as an analogy of the four population processes and because 175 processes perceived at community level already include processes happening in populations of the 176 component species, indicating internal redundancy. Indeed, some of these processes are 177 fundamentally equivalent when viewed as stemming from an individual-based process (Fig. 2B). 178 Stochastic individual demographics results in both genetic drift (random changes in allele 179 frequencies in a population) and ecological drift (random changes in community composition). Thus, 180 there is only a single underlying process of stochastic demography (called "drift" here), and 181 population genetics primarily focuses on the genetic consequences, while community ecology 182 focuses on the organismal consequences. Natural selection likewise acts on individuals (Darwin & 183 Wallace, 1858). Selection within a community, defined by Vellend (2016) as "the deterministic

fitness difference between individuals of different species", is therefore only a summation of natural selection for a given species (net outcome of selection integrated across all individuals within each species' population), and not a separate process.

187 Merging the remaining processes (gene flow, mutation, dispersal, and speciation) is not as direct 188 (Fig. 2B). Aspects of gene flow caused by movement can be merged with dispersal because they both 189 result from the same process: movements of individuals. When an individual moves into a 190 community, population genetics perceives this as a change in genetic composition of the population 191 of that species, while community ecology perceives this as a change in community structure based 192 on the species identity and traits of the individual. However, gene flow within populations also 193 includes consequences from gene transfer between individuals. Gene transfer cannot be directly 194 merged with the community level processes and I therefore propose to treat it separately. 195 Speciation (evolution of reproductive isolation) is treated phenomenologically by Vellend (2010, 196 2016) and therefore cannot be directly merged with one of the population genetic processes. 197 Vellend (2010, 2016) treats speciation as the appearance of new variants at the community level (i.e. 198 species) as an analogy to mutation which results in appearance of new variants at the population 199 level (i.e. genotypes). In the new framework described here, speciation is treated mechanistically as 200 a step in the individual-based eco-evolutionary process. Specifically, speciation results from the 201 interaction of some or all the five basic processes (e.g., disruptive selection, lack of dispersal, or 202 incompatibility caused by drift, non-random gene-transfer or mutation). Finally, mutation does not 203 have a direct mechanistic counterpart among community level processes. Thus, all dynamics of 204 organismal life can be described using interactions of only five basic processes: natural selection, 205 drift, dispersal, gene transfer, and mutation (Fig. 2C). These basic processes interact with each other 206 to produce the eco-evolutionary process.

207

# (A) Analogous theories



209 Fig. 2. Development of the framework. (A) Basic processes specified in the Theory of Ecological 210 Communities (Vellend, 2016) and population genetics theory (Hartl & Clark, 1997). (B) Pairs of 211 community and population level processes that are redundant between the two theories when 212 considered to be a consequence of the same individual-based process are colored the same. Gene 213 flow at the population level is the product of up to two basic processes: movement of individuals and 214 gene transfer between individuals. Speciation is a step in the eco-evolutionary process and thus results from up to all the five basic processes. (C) Overview of the new unified conceptual eco-215 216 evolutionary framework that incorporates five individual-based basic processes to sufficiently and 217 fully describe the dynamics of organismal life.

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From the viewpoint of evolutionary theory, the new framework might be seen as a minor tweak to population genetic framework (shifting focus to the individual level and splitting one process). This is encouraging, because it means that it is possible to integrate ecological and evolutionary theory with a simple framework. It shows how close ecology and evolutionary biology really are. Yet, the focus 223 on individual level is important because it allows a mechanistic integration of processes at 224 population and community levels, as well as ecological and evolutionary views. The framework 225 therefore goes beyond a semantic and phenomenological integration that would only use more 226 general terms for similar processes operating at different levels (e.g., by calling both "genetic drift" 227 and "ecological drift" generally just "drift" without mechanistically integrating them). Rather, the 228 new framework shows that both aspects of drift result from the same process of stochastic 229 individual-level demographics. Further, the split of gene flow into dispersal and gene transfer allows 230 the integration of ecological theories (which often consider dispersal but never gene transfer) with 231 modern evolutionary synthesis.

In the following section I redefine the processes at individual level to clarify their meaning within the
new framework. Only in the case of natural selection this is not necessary because it has already
been defined at individual level.

235 Defining the five basic processes at the individual level:

236 Natural selection maintains its original meaning in the proposed framework and is defined at the 237 individual level by Darwin and Wallace (Darwin & Wallace, 1858). Natural selection is the differential 238 survival and reproduction of individuals as a result of their traits, and consequently represents 239 deterministic demography. Natural selection is a very broad process, encompassing interactions of 240 individuals with the environment, as well as all types of interactions (trophic, competitive, 241 mutualistic, and cooperative) with conspecifics and heterospecifics. Natural selection usually results 242 from multiple selection pressures acting simultaneously on multiple phenotypic traits of an 243 individual (Toju et al., 2017).

Drift is defined here as the stochastic survival and reproduction of individuals that concurrently
results in genetic drift (stochastic changes in allele frequencies) and ecological drift (stochastic
changes in community composition). A stochastic death of an individual can thus lead to a loss of an
allele from a population but also potentially to a loss of entire species from a community. Drift is

248 particularly important in small, isolated populations and communities that are thus also often 249 endangered. Drift is the stochastic counterpart of deterministic natural selection and plays an 250 important role in understanding neutral dynamics and stochasticity (Hubbell, 2001; Kimura, 1983; 251 Nosil et al., 2020). See Box 2 for discussion on alternative treatments of drift within the framework. 252 Dispersal is defined here as the movement of individual organisms which concurrently results in 253 change in genetic diversity at the population level and in species composition at the community 254 level. Dispersal is closely associated with landscape structure, and the extent of dispersal results in 255 complex consequences for eco-evolutionary dynamics (Urban et al., 2020). The importance of 256 spatially explicit treatments of organismal dynamics is emphasized in metapopulation and 257 metacommunity ecology (Leibold & Chase, 2018; M. Urban et al., 2008) and geographic mosaic

theory (Thompson, 2005; Toju et al., 2017).

259 Gene transfer is defined here as the transfer of genetic information from one individual to another 260 and can occur both vertically and horizontally. Vertical gene transfer through heredity from parent 261 to offspring is tied with reproduction (a component of natural selection). Practically, it makes sense 262 to discuss gene transfer as a separate process when offspring genome is different from parent, i.e. 263 when reproduction is not clonal. Horizontal gene transfer is separate from reproduction and is most 264 relevant in microorganisms where it can lead to transfer of genetic information between individuals 265 (Thomas & Nielsen, 2005) and thus to spread of an important trait such as antibiotic resistance in a 266 population or community. Differences in gene transfer mechanisms are key for understanding 267 speciation among different taxa and largely determine how well-defined units are formed by 268 organisms (e.g., species, varieties, and strains).

269 *Mutation* is defined here as the change in the genome of an individual and therefore can be
270 regarded as an individual-based process with population and community level consequences. The
271 range of possible mutation types is very broad and can include single nucleotide changes, structural
272 changes comprising insertions, deletions, gene duplications, and chromosomal rearrangements, in

- addition to whole genome duplications and epigenetic changes (e.g., DNA methylation). Mutations
- are typically heritable, but not in all instances. For example, mutations in somatic cells of
- 275 multicellular organisms with germ line (e.g., animals) are not heritable.
- 276 Many aspects of eco-evolutionary dynamics result from interactions among multiple basic processes.
- 277 For example, sexual reproduction and sexual selection arise from the interactions between natural
- selection and gene transfer processes. Similarly, gamete movement (e.g., pollen dispersal) results
- 279 from the interaction of dispersal and gene transfer processes. Further, selection in a
- 280 metacommunity involves interactions between natural selection and dispersal processes.
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# 282 Applying the framework

The new framework described here integrates ecological and evolutionary theories through focus on a single underlying individual-based eco-evolutionary process. The framework thus: i) allows comparison of ecological, evolutionary and eco-evolutionary concepts, ii) facilitates the design and increases reach of empirical studies and mathematical models, iii) connects processes in biology across scales and levels of biological organization, and iv) fosters a holistic perspective of ecology & evolution.

289 The new framework takes inspiration from Vellend's (2010, 2016) framework which proved to be 290 useful in improving communication and comparing theories within community ecology. The exact 291 number of the basic processes in the framework is arbitrary to some extent, but I chose to focus on 292 integrating the processes which are already widely considered at the population and community 293 levels (Fig. 2). Like Vellend's (2010, 2016) framework, the framework presented here is not 294 predictive - it is a tool for discussing, comparing, organizing, clarifying and developing concepts, and 295 for communicating research. Just the scope of the new framework is much wider, encompassing 296 ecology and evolutionary biology. The new framework only assumes that population and community

level processes result from an underlying individual-based process. Vellend (2010, 2016) specified
his framework for horizontal communities (i.e. one trophic level), but the framework presented here
does not have this restriction and can be applied to interactions between multiple trophic levels,
which are an important aspect of eco-evolutionary dynamics.

301 Comparison of concepts

302 The proposed framework allows, for the first time, comparison of all theories and models across 303 ecology and evolutionary biology using five basic eco-evolutionary processes, thereby making 304 explicit how existing concepts relate to one another. A first step in this synthesis is the comparison of 305 the basic processes considered in existing theories and models. In Table 1, I illustrate such a 306 comparison for an arbitrary selection of ecological, evolutionary, and eco-evolutionary theories and 307 models, but others could be easily added. From the comparison it appears that ecological theories 308 tend to include fewer basic processes, but in greater detail. In contrast, existing evolutionary theory 309 includes all five basic processes, but with a less comprehensive treatment of interaction networks (a 310 component of natural selection) and spatial considerations (a component of dispersal). Most eco-311 evolutionary theories and models include most basic processes, at least in simple forms, although 312 some do not consider gene transfer. A second step in the synthesis is a comparison of how each 313 basic process is considered in theories and models and how individuals are considered. A detailed 314 comparison of selected eco-evolutionary theories and models is presented in Table 2. For example, 315 more general theories consider natural selection as multiple types of interactions acting on multiple 316 traits of an individual (Coulson, 2021; Thompson, 2005), while specific models typically consider 317 natural selection acting on a single trait like competitive ability (Rosindell et al., 2015). Dispersal is 318 implemented in models with varying complexity from one dimensional gradient (Pontarp et al., 319 2019) to spatially explicit metacommunities (Toju et al., 2017). In theories, dispersal is given a 320 varying degree of focus, ranging from brief consideration (Coulson, 2021) to a strong emphasis

321 (Thompson, 2005). Models generally focus on the organismal characteristics of individuals (Pontarp 322 et al., 2019; Rosindell et al., 2015), their genetics (Quilodrán et al., 2020), or both (Coulson, 2021). 323 The comparison in Tables 1 and 2 reveals that theories and models often don't consider the same 324 processes, or consider processes differently. Such a comparison makes assumptions clearer; when a 325 theory or model does not consider a process, it assumes that this process is not important for the 326 resulting dynamics. Thus, a comparison of concepts through the lens of the five basic processes can 327 help researchers from different backgrounds and subfields better understand results from other 328 subfields where different theories are likely used to present results. The framework also provides an 329 accessible point of entry into organismal biology for students and researchers in other disciplines by 330 clarifying the relationships between existing concepts.

Basic processes											
Theory or Model	Reference	NS	Dr	Di	GT	Mu	Notes				
Ecological							See Vellend 2016 for extensive table of community ecology theories				
Theory of ecological communities	Vellend 2016	NS	Dr	Di	GT	Mu	Explains community patterns. GT and Mu part of Speciation (see Fig.2)				
Metacommunity ecology	Leibold & Chase 2018	NS	Dr	Di			Metacommunities and scale as overreaching concepts in ecology				
Unified neutral theory of biodiversity and biogeography	Hubbell 2001		Dr	Di		Mu	Community assembly through neutral processes without selection				
The theory of island biogeography	MacArthur & Wilson 1967		Dr	Di			Explains community structure by colonization and extinction				
Niche theory	Chase and Leibold 2003	NS					Explains trait differences in a community				
Metabolic theory of life history	Burger et al. 2019	NS					Explains life-history trade-offs using metabolism and demography				
Allometric trophic networks	Martinez 2020	NS					Explains community structure using trophic level and body size				
Evolutionary							See e.g. Hartl & Clark 1997 for specific population genetic models				
Population genetic theory	Hartl & Clark 1997	NS	Dr	Di	GT	Mu	Dispersal and gene transfer treated together as gene-flow				
Neutral theory of molecular evolution	Kimura 1983		Dr			Mu	Focus on molecular evolution				
Modern evolutionary synthesis	Huxley 1942, Mayr 1993	NS	Dr	Di	GT	Mu	Not integrated with ecological theory				
Eco-evolutionary											
Geographic mosaic of coevolution	Thompson 2005	NS	Dr	Di	GT	Mu	Verbal synthetic framework, predictions for different interaction types				
Co-evolution in metacommunities	Toju et al. 2017	NS	Dr	Di	GT	Mu	Species rich interaction networks in spatially explicit context				
Evolving meta-communities	Urban et al. 2008	NS	Dr	Di	GT	Mu	Verbal synthetic framework strongly emphasizing role of dispersal				
Species range: maladapted gene flow	Kirkpatrick & Barton 1997	NS		Di			Gene flow can prevent species range expansion				
Unified theory of ecology and macroevolution	Rosindell et al. 2015	NS	Dr			Mu	Focus on macro scale diversity patterns				
Mechanistic eco-evolutionary model	Pontarp et al. 2019	NS	Dr	Di		Mu	Focus on explaining latitudinal diversity gradient				
Population genetics and community ecology	Overcast et al. 2019		Dr	Di		Mu	Double neutral model				
Species abundance, genetic and functional diversity	Overcast et al. 2021	NS	Dr	Di	_	Mu	Focus on functional diversity in a community				
Genome evolution: population genetics + demography	Quilodrán et al. 2020	NS	Dr	Di	GT	Mu	Focus on evolution of genomic islands of divergence				
Eco-evo equilibria and transitions between them	Coulson 2021	NS	Dr	Di	GT	Mu	Synthetic modelling framework				
Species interactions and eco-evolutionary dynamics	Åkesson et al. 2021	NS		Di	GT		Biotic interactions mitigate impact of climate change				

# **Table 1. Overview of selected theories according to the basic processes that they consider.** Processes that are emphasized in a given theory are shown in

bold. NS: Natural selection, Dr: Drift, Di: Dispersal, GT: Gene transfer, Mu: Mutation.

#### 335 Framing individual studies

Some researchers already comfortably embrace both ecological and evolutionary theory, but many 336 337 do not yet do so. The new framework allows conveying ecological, evolutionary, and eco-338 evolutionary studies to all organismal biology researchers through the five basic processes. Adopting 339 a general eco-evolutionary framework will thus make studies more widely accessible. Using basic 340 processes for this purpose is intuitive, since they have long been applied in population genetics 341 (Hartl & Clark, 1997) and following the publication of Vellend's (2010, 2016) framework commonly 342 also in community ecology. As one example from hundreds of studies, Pontarp et al. (2019) used 343 Vellend's framework to compare hypotheses about latitudinal gradient of diversity in communities. 344 A similar study could now use the new framework presented here, taking the advantage of the fewer 345 assumptions and more explicit link with population level processes. The entries in Tables 1 & 2 346 illustrate how the framework can be used to present a study: first, in general, in the terms of the 347 basic processes considered (Table 1), and then more specifically, explaining how each process is 348 considered (Table 2). When planning empirical studies, it is useful to have such a framework that 349 lists all the basic processes, as it helps to identify which processes should be considered in a given 350 study and at what level of detail.

#### 351 Mathematical models

The proposed framework is conceptual, which allows it to be based on a single assumption of an individual basis of eco-evolutionary processes. But, predictive mathematical models of ecoevolutionary dynamics can be developed and described with the help of the framework, considering which processes to include and in what form, along with justifying any additional assumptions. The framework can also easily accommodate models that have been previously described using the concept of eco-evolutionary feedbacks, as demonstrated by a comparison of selected ecoevolutionary theories and models in Table 2.

359 Linking scales and levels of organization

360 The framework is based on the assumption that all processes observed (perceived) at higher levels 361 of organization (i.e., populations and communities) can be viewed as resulting from an individual-362 based eco-evolutionary process. Individual-based models consequently naturally fit the framework, 363 and these modelling approaches are quickly becoming accessible as computational power increases. 364 However, theories and models at the population (e.g., Åkesson et al., 2021) and community (e.g., 365 Vellend, 2016) levels are equally useful when the underlying assumptions are carefully compared 366 with expected individual-based processes. The framework further implies that all processes at large 367 spatial and temporal scales result from an individual-based eco-evolutionary process. Any process 368 perceived at the macro eco-evolutionary level (e.g., diversification) is consequently a summation of 369 underlying micro eco-evolutionary processes that have occurred over long periods of time over large 370 geographic areas (Kutschera & Niklas, 2004). Nevertheless, huge differences in scale lead to 371 macroecology and macroevolution being mostly studied with species as the focal unit, and without a 372 direct connection to micro-scale processes that operate at the level of populations and individuals 373 (McGill et al., 2019). Indeed, the gap between studies of micro and macro-scale processes has been 374 suggested to be larger than that between ecology and evolutionary biology (McGill et al., 2019). 375 However, emerging models that explicitly incorporate micro-scale eco-evolutionary processes 376 provide promising insights into patterns observed at larger scales, for example by explaining 377 mechanisms that lead to the latitudinal diversity gradient (Pontarp et al., 2019).

The newly proposed framework connects organismal ecology and evolution with other biological disciplines. For example, processes operating below the individual level (e.g., at the organellar or cellular level) are intrinsically associated with the framework via their effects on fitness that are evaluated at the individual level. The framework can also be linked to developmental biology through trait changes of individuals during their development (see e.g. Smallegange, 2022). In addition, ecosystem and environmental sciences are connected with the framework through the individual-based eco-evolutionary process interacting with the environment, either through

environmental influences or by modifying the environment (Barker & Odling-Smee, 2014; Matthews
et al., 2014).

387

### 388 A holistic approach to ecology & evolution

389 Through the comparative and organizing function described above, the framework fosters a holistic 390 approach to ecology & evolution. Diversity and stability are examples of fundamental topics which 391 have typically been separately addressed in ecology and evolutionary biology, despite being 392 mechanistically connected. This is because we lacked a framework clarifying the connection 393 between the two fields. The framework presented here makes a first step towards integrating these 394 concepts by linking ecological and evolutionary theory. Maintenance of diversity in communities and 395 of phenotypic and genetic diversity in populations are mechanistically connected by eco-396 evolutionary dynamics in the short term, and by speciation in the long term. Therefore they should 397 be considered together in a holistic view of biodiversity maintenance (Cannon & Lerdau, 2022; Des 398 Roches et al., 2018; Raffard et al., 2019; Smee et al., 2021; Theodoridis et al., 2020). Similarly, the 399 concepts of ecological stability (Kéfi et al., 2019) and evolutionarily stable strategies would benefit 400 from integration that would concurrently consider changes in population sizes and trait evolution. 401 The framework thus provides an environment for integrating concepts from the separate fields. 402 Ecology can contribute well-developed concepts to the proposed synthesis, including metabolic 403 theory, interaction networks, and metacommunities. For example, the Metabolic Theory of Ecology, 404 together with its extensions to life history (Burger et al., 2019) and trophic networks (Martinez, 405 2020) are predictive theories that currently only consider natural selection. Consequently, the theory 406 could become more comprehensive if its assumptions of metabolic constraints were extended to all 407 five basic eco-evolutionary processes identified above. The proposed framework thus provides an 408 environment for formulating predictive verbal and mathematically formalized theories via additional 409 assumptions, and could ultimately catalyze the merging of ecological and evolutionary theory. The

410 proposed framework could also help connect general theory with more specialized disciplines like

411 epidemiology (Grenfell, 2004; Lion & Metz, 2018) by considering interactions between very different

412 organisms (e.g., between animals and microorganisms) within a single framework.

413

# 414 Conclusion

415 The new framework presented here brings a mechanistic link between population and community 416 processes through the extension of the individual basis of natural selection (Darwin & Wallace, 1858) 417 to all basic ecological and evolutionary processes. The framework clarifies relationships between 418 existing theories (Tables 1 & 2) and allows simple descriptions and comparisons of naturally complex 419 dynamics. A further unification of ecological and evolutionary biology will require updating 420 important concepts within an eco-evolutionary framework. The arrangement of basic processes 421 might also keep developing. Yet, even the demonstration that a single framework can be built for 422 ecology and evolutionary biology encompassing all particular theories and models is in itself an 423 important step towards better communication between the fields. The integrative approach is likely 424 to become increasingly useful as genomic tools for non-model organisms will become even more 425 widely accessible. Ultimately, combined insights from ecology and evolution will help us better 426 understand and predict complex processes occurring in nature.

427

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#### 680 Text boxes

### 681 Box 1: Individuals in symbiosis.

682 Defining individuals can be complicated when individuals are not physically separated from others 683 and this situation is common in nature (e.g., endosymbiotic bacteria and viruses, parasites, lichens, 684 endophytic fungi, and mycorhysis). Nevertheless, these symbioses only represent strong interactions 685 between individual organisms and whether symbiotic individuals need to be treated as individual 686 organisms or whether a simplified assumption of unity could be applied would depend on the 687 specific research question. Indeed, these distinctions have always been necessary, although the new framework attracts attention to them by focusing at individual level processes. It is often useful to 688 689 consider multiple organisms as a single individual when they are not physically separable, such as 690 aphids and their obligate nutritional symbiotic bacteria. It should be noted that this simplification 691 will not hold over time scales of millions of years, as even obligate symbionts could be eventually 692 replaced by other symbionts (Bennett & Moran, 2015). Similarly, mitochondria were separate 693 individuals 1.5–2 billion years ago (Martin et al., 2015), and most studies treat them as components 694 of eukaryotic individuals. Yet, studies of eukaryotic taxa where some lineages have lost mitochondria 695 (Karnkowska et al., 2016), or those that study conflicts between nuclear and mitochondrial genome 696 could meaningfully treat mitochondria as separate individuals.

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### 698 Box 2: Stochasticity.

In the main text, the meaning of drift close to common usage is maintained for easier comparison of existing theories and models (Table 1). However, it should be noted that the role of stochasticity in the dynamics of organismal life is wider and would perhaps merit a more comprehensive treatment. This is especially true because the importance of stochasticity is widely debated, including the degree to which perceived stochasticity is real or only the result of an incomplete understanding of

704 deterministic processes (Nosil et al., 2020). Dispersal, gene transfer, and mutation all have 705 deterministic and stochastic components (as described in more detail below). It could consequently 706 be argued that each process warrants separation of deterministic and stochastic components into 707 separate basic processes, as is the case with natural selection and drift. Such a treatment would 708 result in eight basic processes including four deterministic processes (natural selection, dispersal, 709 gene transfer, and mutation) and four stochastic processes (drift, stochastic dispersal, stochastic 710 gene transfer, and stochastic mutation). Alternatively, all stochasticity could be represented by a 711 single basic process, but this would require extension of the common meaning of drift to include 712 stochastic aspects of dispersal, gene transfer, and mutation. A third option would be to treat drift as 713 a stochastic part of natural selection. Natural selection would thus include both deterministic and 714 stochastic processes like dispersal, gene transfer, and mutation currently do. The latter solution may 715 be the most practical option because all demographics (i.e., birth, death, and reproduction) would 716 then be included in a single basic process, in contrast to the current concept where deterministic 717 demography is natural selection and stochastic demography is drift.

Dispersal is often assumed to apply to random individuals from the population, but can be biased, for example towards larger individuals (Jenkins et al., 2007). Dispersal commonly interacts with natural selection, like when locally fitter individuals migrate (Edelaar & Bolnick, 2012; Lowe & McPeek, 2014).

Gene transfer is often thought of as stochastic process (e.g., random recombination of alleles), but
also has deterministic aspects (e.g., the preference of alleles in meiotic drive).

Mutation is primarily considered as stochastic process, but also has deterministic aspects, including differences between organisms (e.g., dependence on genome size and differences between bacterial and eukaryote cells (Lynch, 2010)) and between environments (e.g., radiation resulting in increased mutation rates).

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# Table 2. Detailed comparison of selected eco-evolutionary theories and models.

		Bas	sic proc	esses	1	Indivi	dual	1	Basic processes in detail				
Eco-evolutionary Theory or Model	Reference	NS D	Dr Di	GT M	u organismal aspect	genetic aspect	genotype-phenotype link	Natural selection	Drift	Dispersal	Gene transfer	Mutation	Further notes
Geographic mosaic of coevolution	Thompson 2005	NS D	Dr Di	GT M	u multiple traits	multiple genes	dependent on biotic and abiotic environment, often polygenic	multiple selection pressures, different between local communities and environments	considered	species are "collections of genetically differentiated populations", implying limited dispersal	interacts with dispersal to reinforce population differentiation	considered	
Co-evolution in metacommunities	Toju et al. 2017	NS D	or Di	GT M	multiple traits	multiple genes	dependent on biotic and abiotic environment, often polygenic	natural selection takes place in a network of interactions	considered	explicit and discrete space - metacommunities; limited dispersal	considered	considered	focus on "Metacomunity hub" species for feasibility
Evolving meta-communities	Urban et al. 2008	NS D	or Di	GT M	u multiple traits	not detailed	not detailed	focus on how natural selection interact: with dispersal	5 considered	explicit and discrete space - metacommunities	briefly considered	considered	dispersal centered framework
Species range: maladapted gene flow	Kirkpatrick & Barton 1997	NS	Di		one trait	many loci of small effect	quantitative genetics	mortality caused by maladaptation and density dependence. Gradient of optimum phenotype across the habitat	not considered	stochastic dispersal. Continuous space	not considered	not considered	
Unified theory of ecology and macroevolution	Rosindell et al. 2015	NS D	Dr	м	single trait - competitive fitness category	single locus	absolute	Probability of reproduction proportiona to fitness category. Stength of selection set by parameter	l random death of one individual per time step	not considered	not considered	mutation rate set by parameter - probability of changing fitness category	constant community size set by parameter. Speciation is phenomenological result of mutation (grouping individuals of similar trait value)
Mechanistic eco-evolutionary model	Pontarp et al. 2019	NS D	Dr Di	м	single trait - thermal optimum	single locus	absolute	thermal adaptation and competition	population size dependent extinction risk	probabilistic dispersal to nearest region. Environment explicit as one- dimensional gradient	not considered	mutation creates species with different thermal optimum value	processes modelled at the level of species. Speciation is phenomenological result of mutation.
Population genetics and community ecology	Overcast et al. 2019	D	Dr Di	м	no traits (neutral model)	single gene	no link	not considered	random death of one individual per time step	colonization rate as a parameter. Environment is a single focal island and mainland	not considered	infinite-sites model assuming invertebrate mitochondrial divergence rate	focus on species abundance distributions and community genetic diversity. Combination of a forward time ecological model and backward time genetic coalescence model
Species abundance, genetic and functional divers	i Overcast et al. 2021	NS D	Dr Di	м	single trait - environmental filtering or competition	single gene to whole genome	ecological trait linked to single locus. Separately, genetic variation is neutral without link to phenotype	<ul> <li>environmental filtering or competition.</li> <li>Strength of selection vs. neutral processive by parameter</li> </ul>	s random death according to parameter	multiple local communities within a metacommunity	not considered	point mutation	intraspecific variation not modelled. Fixed total metacommunity size. Speciation phenomenological
Genome evolution: population genetics + demog	r Quilodrán et al. 2020	NS D	Dr Di	GT M	summary fitness phenotype, can extend to separate traits	diploid males an f females, any number of loci	d any genotype-phenotype map, takin into account the environment	g fitness function based on phenotype and density dependence	stochastic demography in fitness function	two populations which may or may not be linked	any mating system (primarily sexual)	considered in appendix	individual based forward in time model. Accomodates both deterministic and stochastic environments
Eco-evo equilibria and transitions between them	Coulson 2021	NS D	Dr Di	GT M	multiple "resource accrual" traits	diploid males an females, any number of loci	d any genotype-phenotype map, takin into account the environment	selection optimizes resource accrual traits and energy utilisation depending on biotic and abiotic environment	not explicitly mentioned, but can result from stochasticity in survival or probability density functions	mentioned, but not detailed	sexual	considered	equilibria defined as showing no persistent temporal trends. Assumes usually one dominant cause of death in a population. Does not include speciation. Considers development. Body size as key trait
Species interactions and eco-evolutionary dynamics	Åkesson et al. 2021	NS	Di	GT	temperature optimum mean and variance	many loci	quantitative genetics; variance has genetic and environmental contribution	interactions within and between trophi levels. Temperature dependent fitness and interspecific compatition	not considered	explicit and discrete space - latitudinal gradient	modelled as trait change from dispersal	not considered	does not include speciation. Mate choice not linked with trait of interest (thermal tolerance)