

1 **Title**

2 Resprouting responses to light environment and cutting season differ across resprouting stages and
3 leaf habit in a heavy-snow Japanese beech forest.

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14

15 **Abstract**

16 Resprouting is a key mechanism of recovery after aboveground damage and strongly influences forest
17 regeneration and dynamics. This is also true in heavy-snow Japanese beech forests, where canopy-gap
18 formation and snow pressure damage woody species. Understanding how light environment and
19 disturbance season shape resprouting is therefore essential for interpreting life-history strategies and
20 informing forest management. To address this, we tested two hypotheses: (1) the stage-shift hypothesis,
21 which predicts that the relative importance of ecological drivers shifts across resprouting stages, and
22 (2) the leaf habit hypothesis, which predicts that the magnitude of seasonal effects on resprouting
23 differs between evergreen and deciduous species.

24 We conducted winter and spring cutting experiments on woody species in the understory of a
25 secondary Japanese beech forest and quantified resprout initiation and growth over two years. We
26 tested the effects of stump size, light environment, and cutting season on resprouting responses and
27 whether seasonal effects differed between leaf habits.

28 Resprout initiation was driven by stump size, whereas light environment and cutting season had little
29 influence. In contrast, first-year growth was enhanced by stump size and winter cutting, while second-
30 year growth was more strongly associated with post-cutting light environment, indicating a shift in
31 resource dependence across resprouting stages. Winter cutting tended to have a stronger positive effect
32 in deciduous than in evergreen species. Overall, the importance of ecological drivers changed across
33 resprouting stages and between leaf habits, highlighting light availability and disturbance season as
34 key determinants of resprout growth for forest management.

35

36 **Keyword**

37 Resprouting; Light environment; Cutting season; Leaf habit; Forest management

38

39 **1.Introduction**

40 Resprouting is the ability of plants to recover aboveground parts after disturbance and is generally
41 faster than regeneration from seeds, largely relying on stored belowground reserves (Bellingham and
42 Sparrow, 2000; Del Tredici, 2001). Resprouting therefore plays an important role in forest recovery.
43 Resprouting ability varies among species in relation to life-history strategies (Shibata et al., 2014).
44 However, the factors driving intra- and interspecific variation in resprouting ability remain debated.
45 Improving our understanding of these factors is crucial for predicting forest dynamics and informing
46 forest management (Bellingham and Sparrow, 2000; Bond and Midgley, 2001; Weigel and Peng, 2002).

47 Resprouting ability can be classified into several stages along the resprouting process, and the factors
48 driving intra- and interspecific variation may differ among these stages (Moreira et al., 2012). Resprout
49 initiation (i.e., the probability and number of resprout shoots produced following disturbance) depends
50 largely on the availability of bud tissues on stems, roots, and rhizomes (Clarke et al., 2013; Pausas et
51 al., 2016; Ott et al., 2019). In contrast, early resprout growth (i.e., the amount of resprout biomass
52 produced shortly after disturbance) is primarily driven by stored belowground reserves (Kobe, 1997;
53 Vesk and Westoby, 2004). Thus, stump size, which reflects both bud availability and belowground
54 reserves, is a key determinant of resprout initiation and early resprout growth (Lévesque et al., 2011;
55 Shibata et al., 2014; Matula et al., 2019; Zhang et al., 2021).

56 Beyond stump size, pre-disturbance light environment and disturbance season can also influence early
57 resprout growth. Individuals growing under higher light availability are expected to exhibit stronger
58 early resprout growth because greater photosynthetic carbon gain prior to disturbance can enhance the
59 accumulation of non-structural carbohydrates, a major source of stored reserves (Gansert and Sprick,
60 1998; Hoch et al., 2003). In addition, early resprout growth is often higher following winter (dormant-

61 season) disturbance than spring disturbance (Konstantinidis et al., 2005; Pelc et al., 2011; Robertson
62 and Hmielowski, 2014; Vecchio et al., 2025), likely because stored reserves are largely retained to
63 support maintenance respiration during winter dormancy, but are partially depleted in spring to support
64 leaf flush and early growth (Kays and Canham, 1991; Cruz and Moreno, 2001; Barbaroux and Bréda,
65 2002). As resprouts develop leaves and become increasingly reliant on current photosynthesis,
66 subsequent resprout growth is expected to depend more strongly on newly acquired photosynthate
67 (Bowen and Pate, 1993; Schutz et al., 2009), thereby increasing the importance of the post-disturbance
68 light environment. Taken together, these considerations suggest that the relative importance of
69 ecological drivers shifts across stages of the resprouting process.

70 Evergreen and deciduous species represent contrasting life-history strategies in seasonal environments
71 and may therefore differ in how disturbance season affects resprouting ability. Seasonal dynamics of
72 carbohydrate storage differ between evergreen and deciduous leaf habits (Kozłowski, 1992; Furze et
73 al., 2019). Deciduous species typically show pronounced seasonal changes associated with winter
74 dormancy and spring leaf flush (Barbaroux and Bréda, 2002), whereas evergreen species often exhibit
75 less seasonal variation (Mooney and Hays, 1973), although exceptions have been reported (Palacio et
76 al., 2018). Consequently, the effect of disturbance season on early resprout growth is expected to be
77 stronger in deciduous than in evergreen species.

78 Resprouting in woody species has been widely studied in regions experiencing frequent large
79 disturbances, such as wildfires and droughts, where it is recognized as a key persistence strategy
80 (Pausas and Keeley, 2014; Vilagrosa et al., 2014; Zeppel et al., 2015; Pausas et al., 2016). In contrast,
81 resprouting has received less attention in relatively stable forest ecosystems where large disturbances
82 are rare, including forests in snowy environments. Nevertheless, in such ecosystems resprouting can
83 serve as an effective life-history strategy in response to snow damage and gap formation, which

84 constitute the dominant disturbance regime (Kamitani, 1986; Hara, 1987; Homma, 1997). Therefore,
85 examining how light environment and disturbance season influence stage-specific resprouting is
86 essential for evaluating the generality of its ecological drivers across disturbance regimes.

87 In this study, we investigated how light environment and disturbance season affect resprouting ability
88 in woody species occurring in *Fagus crenata* forests in a heavy-snowfall region where large
89 disturbances such as wildfire are infrequent. We classified the resprouting process into three stages—
90 resprout initiation, first-year resprout growth, and second-year resprout growth—corresponding to the
91 response variables summarized in Table 1. We then examined how the effects of light environment
92 and disturbance season vary among resprouting stages, leaf habits, and species, thereby testing stage-
93 dependent shifts in ecological drivers of resprouting and their implications for life-history strategies
94 of constituent species in stable beech forests. Based on these considerations, we tested the following
95 hypotheses.

96 Stage-shift hypothesis

97 The relative importance of ecological drivers shifts across stages of the resprouting process.

98 H1: Resprout initiation (i.e., the probability of resprouting and the number of resprouts produced) is
99 primarily determined by stump size, reflecting bud availability, and is expected to be less sensitive to
100 light environment and disturbance season.

101 H2: First-year resprout growth (i.e., total basal area and maximum height after the first growing
102 season) is influenced by stump size, pre-disturbance light environment, and disturbance season, as
103 early growth depends largely on stored belowground reserves accumulated prior to disturbance.

104 H3: Second-year resprout growth (i.e., total basal area and maximum height after two growing

105 seasons) is influenced more strongly by post-disturbance light environment than by pre-disturbance
106 conditions, as growth at this stage increasingly depends on photosynthesis by newly developed leaves.

107 Leaf habit hypothesis

108 The magnitude of seasonal effects on resprouting differs between leaf habits.

109 H4: The effect of disturbance season on early resprout growth is expected to be stronger in deciduous
110 than in evergreen species, reflecting greater seasonal variation in stored belowground reserves in
111 deciduous species.

112

113 **2. Materials and Methods**

114 **2.1. Study site**

115 The study was conducted in a secondary beech (*Fagus crenata*) forest in Oshirakawa, Uonuma City,
116 Niigata Prefecture, Japan (Fig. 1). This forest has been managed by local communities for timber
117 production through overstory thinning of beech trees and understory vegetation clearing. Based on
118 records from the Sumon Local Meteorological Observatory, the 30-year means (1996–2025) of mean
119 annual temperature and precipitation are 11.4°C and 3,057 mm, respectively. Mean annual cumulative
120 snowfall is 1,124 cm, with snow cover typically persisting from December to May and occasionally
121 until June in years of late melt. Elevation ranges from 530 to 710 m a.s.l. The canopy layer is
122 dominated by *F. crenata* (>90%), with minor contributions from *Acer pictum* subsp. *mayrii*. The shrub
123 layer is well developed and consists primarily of deciduous shrubs, including *Lindera praecox*,
124 *Lindera umbellata* var. *membranacea*, and *Clethra barbinervis*. Evergreen shrubs adapted to heavy
125 snow conditions, such as *Camellia rusticana*, *Daphniphyllum macropodum* subsp. *humile*, and *Aucuba*
126 *japonica* var. *borealis* are also present (Ino et al., 2003).

127 **2.2. Study design and datasets**

128 To examine how pre- and post-disturbance light environments and disturbance seasons jointly shape
129 stage-specific resprouting, we conducted cutting experiments under contrasting light conditions and
130 seasons. To capture different aspects of this process, we compiled two complementary datasets that
131 differed in taxonomic breadth, light metrics, and monitoring duration.

132 Dataset 1 focused on stage-dependent shifts in resprouting over two growing seasons. It included eight
133 common woody species occurring in the shrub layer of beech forests (seven deciduous and one

134 evergreen; Table 2). Individuals were sampled from three stands representing contrasting stand-level
135 light environments (Fig. 1c). Of the three stands, the recently thinned stand experienced canopy
136 thinning immediately before the cutting treatment, generating a pronounced shift from pre-disturbance
137 to post-disturbance light conditions. This design allowed us to test whether first-year resprout growth
138 was more strongly influenced by pre-disturbance light availability, whereas second-year resprout
139 growth reflected post-disturbance light environments. Cutting was conducted in winter (December
140 2023, immediately after complete leaf fall in deciduous species) and in spring (June 2024, immediately
141 after full leaf expansion). Resprouting responses were measured in late September 2024 (one growing
142 season after cutting) and again in late September 2025 (two growing seasons after cutting).

143 Dataset 2 expanded taxonomic coverage to 16 species (11 deciduous and 5 evergreen; Table 2) to
144 enable explicit tests of leaf habit differences. In addition, post-disturbance light availability was
145 quantified at the individual level, allowing a finer-scale assessment of light-resprouting relationships.
146 Cutting was conducted in November 2024 and June 2025, and resprouting responses were measured
147 in late September 2025 (one growing season after cutting).

148 Although three canopy-tree species (*F. crenata*, *A. pictum* subsp. *mayrii*, and *Magnolia obovata*) were
149 included, only juvenile individuals occurring in the understory were selected (basal diameter \leq 6.58
150 cm, 6.81 cm, and 9.50 cm, respectively), ensuring comparability with shrub-layer species.

151 **2.2.1. Individual measurements and cutting treatment**

152 For each individual, stem size was measured approximately 3 cm above ground using a digital caliper
153 (0.01 mm precision). Two perpendicular diameters were recorded, and basal area (mm²) was
154 calculated assuming an elliptical cross-section. This value was used as an index of stump size
155 (hereafter, stump basal area). Individuals were labeled and cut at approximately 3 cm above ground

156 using hand saws or pruning shears, leaving a stump. To encompass a broad size range, individuals
157 were stratified into five stem-diameter classes (0–5, 5–10, 10–20, 20–40, and ≥ 40 mm), with at least
158 one individual per class per species sampled whenever possible. For multi-stemmed individuals, all
159 stems that were visually identifiable as belonging to the same aboveground clump were cut.
160 Subsequent measurements restricted to the largest stem and to resprouting shoots originating from that
161 stem.

162 **2.2.2. Resprouting measurements**

163 Resprouting responses were assessed in late September following each growing season (Dataset 1:
164 2024 and 2025; Dataset 2: 2025).

165 For each resprout shoot, two perpendicular diameters at the base were measured with a digital caliper
166 (0.01 mm precision), and shoot basal area (mm^2) was calculated assuming an elliptical cross-section.
167 Total basal area of resprouts per individual, calculated as the sum of all shoots originating from the
168 largest stem, was used as an index of resprout biomass production. Maximum resprout height was
169 defined as the height of the tallest shoot measured from the stump base (1 cm precision). In some
170 species (e.g., *Chengiopanax sciadophylloides*), resprouts occasionally consisted of leaves emerging
171 directly from the stump without shoot elongation. Such resprouts (< 1 cm in length) were included in
172 the number of resprouts but excluded from calculations of total basal area and maximum height.
173 Accordingly, these metrics were calculated only for resprouts ≥ 1 cm in length.

174 **2.2.3. Light environment measurements**

175 In Dataset 1, we quantified stand-level light environments across three stands differing in thinning
176 history, thereby capturing variation in canopy openness (Fig. 1c). The stands comprised: (1) an
177 unthinned stand with a closed canopy and no canopy cutting for at least 30 years; (2) a recently thinned

178 stand, where canopy thinning was conducted in autumn 2023 approximately one month prior to cutting
179 treatment, resulting in an abrupt shift from pre- to post-disturbance light conditions; and (3) a
180 previously thinned stand, where canopy thinning had been conducted during 2019–2021 (i.e., 2–4
181 years prior to cutting). Thinning in 2019 was carried out in June, whereas thinning in 2020, 2021, and
182 2023 was conducted from October to November. To quantify the light environment, we measured
183 integrated photosynthetic photon flux density (PPFD; $\text{mol m}^{-2} \text{ day}^{-1}$) using a light-sensitive
184 photodegradable film (OptLeaf R-3D; Taisei Fine Chemical Co., Ltd., Japan). At each stand, films
185 were installed horizontally 15 cm above the forest floor at 16 points and exposed for 8–11 days in
186 summer (27 July–4 August 2023; 23 July–3 August 2024; 25 August–4 September 2025). Film
187 absorbance was measured before and after exposure using a dedicated reader (D-meter; Taisei Fine
188 Chemical Co., Ltd., Japan), and PPFD was calculated from the degree of fading using the calibration
189 curve provided in the manufacturer’s manual. Mean PPFD was calculated for each stand by averaging
190 values across the 16 measurement points.

191 In Dataset 2, light availability was quantified at the individual level to capture fine-scale variation in
192 canopy structure. Because no additional canopy thinning occurred, the light environment was assumed
193 to remain stable between pre- and post-disturbance periods; therefore, only post-disturbance light
194 availability was assessed. Hemispherical photographs were taken above each individual in early
195 September under leaf-on conditions using a compact digital camera (TG-7; OLYMPUS) equipped
196 with a conversion lens (FCON-T02; OLYMPUS). The camera was mounted on a tripod at 0.5 m above
197 ground and oriented vertically upward. Mean canopy openness was calculated using Canopon2
198 (developed by Akio Takenaka; Version 2.03). When direct sunlight appeared in images, overexposed
199 areas were masked prior to analysis.

200 **2.3. Data analysis**

201 **2.3.1. Testing the stage-shift hypothesis (Dataset 1)**

202 In Dataset 1, we tested the stage-shift hypothesis by examining how the effects of stump size, pre- and
203 post-disturbance light environments, and cutting season varied across resprouting stages. Resprouting
204 responses were analyzed after one and two growing seasons following cutting. We fitted Bayesian
205 generalized linear mixed models (GLMMs) for four response variables after one growing season
206 (probability of resprouting, number of resprouts, total basal area of resprouts, and maximum resprout
207 height) and for two response variables after two growing seasons (total basal area of resprouts and
208 maximum resprout height).

209 The model structure was:

210 Resprouting response \sim $\log_{10}(\text{stump basal area}) + \text{pre-cutting PPFD} + \text{post-cutting PPFD} + \text{cutting}$
211 $\text{season} + (1 + \log_{10}(\text{stump basal area}) + \text{pre-cutting PPFD} + \text{post-cutting PPFD} + \text{cutting season} \parallel$
212 $\text{species})$

213 Resprouting probability was modeled using a binomial distribution with a logit link. Number of
214 resprouts (count data ≥ 0) was modeled using a Poisson distribution with a log link. Total basal area
215 of resprouts and maximum resprout height were \log_{10} -transformed and modeled assuming Gaussian
216 errors with an identity link.

217 Stump size was represented by \log_{10} -transformed stump basal area. Light environment was quantified
218 as stand-level mean integrated photosynthetic photon flux density (PPFD; $\text{mol m}^{-2} \text{day}^{-1}$). Pre-
219 disturbance light environment was represented by PPFd measured in 2023, whereas post-disturbance
220 light environment was represented by PPFd measured in 2024 for first-year analyses and by the mean
221 PPFd across 2024–2025 for second-year analyses, reflecting consistent relative differences among
222 stands. All continuous predictors were standardized (z-scored) prior to analysis. Cutting season was

223 treated as a two-level factor (winter vs spring; spring as reference). Species was included as a random
224 effect with uncorrelated random intercepts and slopes to improve convergence and avoid
225 overparameterization.

226 **2.3.2. Testing the leaf habit hypothesis (Dataset 2)**

227 In Dataset 2, we evaluated the effects of stump size, individual-level light environment, cutting season,
228 and leaf habit (deciduous vs evergreen) on resprout initiation and first-year resprout growth. To test
229 whether seasonal effects differed between leaf habits, we included an interaction between cutting
230 season and leaf habit. We fitted Bayesian GLMMs for four response variables: probability of
231 resprouting, number of resprouts, total basal area of resprouts, and maximum resprout height.

232 The model structure was:

233 Resprouting response $\sim \log_{10}(\text{stump basal area}) + \text{canopy openness} + \text{cutting season} \times \text{leaf habit} + (1$
234 $+ \log_{10}(\text{stump basal area}) + \text{canopy openness} + \text{cutting season} \parallel \text{species})$

235 Log₁₀-transformed stump basal area and canopy openness were standardized (z-scored) prior to
236 analysis. Light environment was represented by canopy openness derived from hemispherical
237 photographs. Cutting season (winter vs spring; spring as reference) and leaf habit (deciduous vs
238 evergreen; evergreen as reference) were treated as two-level factors. Distributional assumptions and
239 link functions followed those used in Dataset 1. Species was included as a random effect with
240 uncorrelated random intercepts and slopes to improve convergence and avoid overparameterization,
241 whereas leaf habit and its interaction with cutting season were included only as fixed effects.

242 **2.3.3. Model fitting**

243 All Bayesian models were fitted in R (version 4.4.1; R Core Team, 2024) using the *brms* package
244 (Bürkner, 2017). Four chains were run with 6,000 iterations each (5,000 warm-up), using `adapt_delta`
245 = 0.97, and `max_treedepth` = 15; all other settings were kept at defaults. Convergence was assessed
246 using $\hat{R} \leq 1.01$ (Vuong et al., 2020).

247

248 **3. Results**

249 **3.1. Testing the stage-shift hypothesis (Dataset 1)**

250 Drivers of resprouting responses varied markedly across stages following cutting. At the resprout
251 initiation stage, stump basal area had a significant positive effect on the number of resprouts, but not
252 on the probability of resprouting (Table 3; Fig. 2a, b). In contrast, neither pre- nor post-cutting PPFD
253 nor cutting season had significant effects on either response (Table 3; Fig. 3a, b; Fig. 4a, b).

254 For first-year resprout growth, stump basal area had significant positive effects on both total basal area
255 of resprouts and maximum resprout height (Table 3; Fig. 2c, d). Neither pre- nor post-cutting PPFD
256 had significant effects on either growth response (Table 3; Fig. 3c, d). In contrast, winter cutting was
257 associated with significantly greater total basal area of resprouts and maximum resprout height than
258 spring cutting (Table 3; Fig. 4c, d).

259 For second-year resprout growth, stump basal area remained a significant positive predictor of both
260 total basal area of resprouts and maximum resprout height, whereas post-cutting PPFD had a
261 significant positive effect only on total basal area of resprouts (Table 3; Fig. 2e, f; Fig. 3e, f). In contrast,
262 pre-cutting PPFD and cutting season had no significant effects on either response (Table 3; Fig. 2e, f;
263 Fig. 4e, f).

264 **3.2. Testing the leaf habit hypothesis (Dataset 2)**

265 In Dataset 2 (16 species), stump size had a significant positive effect on resprout initiation and first-
266 year resprout growth (Table 4; Fig. 5). Mean canopy openness and winter cutting also had significant
267 positive effects on total basal area of resprouts, indicating greater biomass production in brighter
268 environments and under winter cutting. The interaction between cutting season and leaf habit was not

269 statistically significant for any resprouting response, indicating no clear evidence that seasonal effects
270 differed between deciduous and evergreen species. Nevertheless, response patterns differed between
271 leaf habits. For both the probability of resprouting and the number of resprouts, winter cutting showed
272 positive but non-significant effects in both evergreen and deciduous species (Fig. 5a, b). For total basal
273 area of resprouts, winter cutting had a significant positive effect in both leaf habits, with a tendency
274 toward a larger effect in deciduous species (Fig. 5c). For maximum resprout height, winter cutting had
275 a significant positive effect in deciduous species, whereas the effect in evergreen species was positive
276 but not significant (Fig. 5d). This pattern indicates that the combined effect of the main winter-cutting
277 coefficient and its interaction resulted in a significant response only in deciduous species. Overall,
278 although statistical support for the interaction was lacking, the consistently larger effect sizes and the
279 asymmetric significance patterns suggest that seasonal effects on early resprout growth may be
280 stronger in deciduous than in evergreen species, consistent with the leaf habit hypothesis.

281 Consistent patterns were also evident when species-specific effects of winter cutting were examined,
282 incorporating the fixed effect of winter cutting, its interaction with leaf habit, and species-level random
283 effects. For total basal area of resprouts, all 11 deciduous species showed significant positive effects
284 of winter cutting. In contrast, one of the five evergreen species showed no significant effect, and the
285 remaining four showed significant positive effects, with a smaller mean effect size than in deciduous
286 species (Fig. 6a). For maximum resprout height, 9 out of 11 deciduous species showed significant
287 positive effects of winter cutting. In contrast, none of the evergreen species showed significant effects,
288 despite consistently positive estimates (Fig. 6b). These species-level patterns further support the
289 interpretation that seasonal effects on early resprout growth tend to be stronger in deciduous than in
290 evergreen species.

291 **4. Discussion**

292 **4.1. Drivers of resprout initiation (H1)**

293 H1 predicted that resprout initiation is primarily determined by stump size and less sensitive to light
294 environment and disturbance season. Our results were broadly consistent with this prediction. Stump
295 basal area had a significant positive effect on the number of resprouts, but not on the probability of
296 resprouting (Table 3; Fig. 2a, b), indicating that larger individuals tend to produce more resprout
297 shoots but are not necessarily more likely to resprout. The positive relationship between stump size
298 and resprout number, as reported in previous studies (Hytönen, 2019; Dinh et al., 2019), likely reflects
299 greater availability of bud-bearing tissues and a higher capacity to support multiple resprout shoots,
300 consistent with the role of the bud bank in resprouting dynamics (Klimešová and Klimeš, 2007; Ott et
301 al., 2019).

302 In contrast, the high overall probabilities of resprouting observed in the studied species (64–100%)
303 suggest that the probability of resprouting is largely governed by species-specific traits rather than
304 variation in stored reserves or stump size. This pattern is consistent with the high resprouting capacity
305 reported for understory shrub species in *Fagus crenata* forests (Hara et al., 2004).

306 Neither light environment nor cutting season had significant effects on resprout initiation, indicating
307 that external environmental conditions play a limited role at this stage. Overall, these results suggest
308 that resprout initiation is constrained more by intrinsic biological capacity (i.e., bud availability) than
309 by external environmental conditions.

310 **4.2. Drivers of first-year resprout growth (H2)**

311 H2 predicted that first-year resprout growth is influenced by stump size, pre-disturbance light
312 environment, and disturbance season. Our results partially supported this prediction. Stump basal area
313 had significant positive effects on both total basal area of resprouts and maximum resprout height,
314 indicating that larger individuals showed greater first-year resprout growth (Table 3; Fig. 2c, d). This
315 supports the idea that stump size reflects belowground reserve availability and the capacity to sustain
316 early resprout development (Lévesque et al., 2011; Shibata et al., 2014; Matula et al., 2019).

317 In contrast, pre-cutting light environment had no significant effect on either first-year resprout growth
318 variable. Although higher light availability before disturbance was expected to enhance the
319 accumulation of non-structural carbohydrates (NSC) and thereby promote early resprout growth, our
320 results do not support a strong linkage between pre-disturbance light environment and first-year
321 resprout growth. This is consistent with previous findings showing that NSC levels can be maintained
322 even under low light environments (Weber et al., 2019), suggesting that the relationship between light
323 availability and reserve accumulation may be weaker than commonly assumed.

324 Winter cutting, by contrast, significantly enhanced both total basal area of resprouts and maximum
325 resprout height (Table 3; Fig. 4c, d), indicating that cutting season strongly influenced early resprout
326 growth, consistent with previous studies (Robertson and Hmielowski, 2014; Vecchio et al., 2025).
327 Because winter at our study site corresponds to a dormant period, stored reserves are likely to be
328 relatively high, whereas in spring they may be partially depleted by leaf flush. This seasonal difference
329 in reserve availability provides a plausible explanation for the observed pattern.

330 Overall, H2 was partially supported: stump size and disturbance season influenced first-year resprout
331 growth, whereas the predicted effect of pre-disturbance light environment was not detected.

332

333 **4.3. Drivers of second-year resprout growth and stage-dependent shifts (H3)**

334 H3 predicted that second-year resprout growth would be more strongly influenced by post-disturbance
335 light environment than by pre-disturbance conditions. Our results were broadly consistent with this
336 prediction. After two growing seasons, post-cutting light environment had a significant positive effect
337 on total basal area of resprouts, whereas pre-cutting light environment and winter cutting did not
338 (Table 3; Fig. 3e; Fig. 4e). In contrast, none of these factors significantly affected maximum resprout
339 height.

340 A comparison across growth stages revealed a clear shift in the relative importance of ecological
341 drivers. After one growing season, winter cutting significantly enhanced total basal area of resprouts,
342 whereas post-cutting light environment had no significant effect (Fig. 3c; Fig. 4c). By the second
343 growing season, this pattern was reversed: the effect of cutting season disappeared, while the effect of
344 post-cutting light environment became significant (Fig. 3e; Fig. 4e).

345 This stage-dependent shift is consistent with a transition in resource dependence during resprout
346 development. Early resprout growth is likely supported primarily by stored belowground reserves,
347 whereas subsequent growth depends increasingly on photosynthates produced by newly developed
348 leaves (Schutz et al., 2009). The lack of significant effect of post-cutting light environment on
349 maximum resprout height suggests that height growth may be more strongly constrained by structural
350 or developmental factors established during early growth, such as stump size and initial resprout
351 development, rather than by environmental conditions at this stage. This interpretation is consistent
352 with previous findings that early growth strongly predicts subsequent height growth (Swaim et al.,
353 2016). Accordingly, the positive effect of winter cutting on first-year resprout growth may have
354 indirectly influenced subsequent height development, potentially obscuring the direct effect of post-
355 disturbance light environment.

356 Overall, these results demonstrate a stage-dependent shift in the drivers of resprout growth, from
357 reserve-related factors to light-dependent processes, consistent with the stage-shift hypothesis.

358 **4.4. Leaf-habit hypothesis (H4)**

359 H4 predicted that the effect of disturbance season on early resprout growth is expected to be stronger
360 in deciduous than in evergreen species. Our results provided partial but consistent support for this
361 prediction. Although the interaction between cutting season and leaf habit was not statistically
362 significant, multiple lines of evidence indicated that seasonal effects on early resprout growth tended
363 to be stronger in deciduous than in evergreen species.

364 At the model level, winter cutting had a significant positive effect on total basal area of resprouts,
365 whereas the interaction term remained non-significant (Table 4). However, comparisons with leaf
366 habits revealed that deciduous species tended to show larger increases in total basal area of resprouts
367 and maximum resprout height under winter cutting than evergreen species (Fig. 5c, d).

368 This pattern was further reinforced by species-level estimates incorporating both fixed and random
369 effects. For total basal area of resprouts, all deciduous species showed significant positive responses
370 to winter cutting, whereas fewer evergreen species did so and with smaller effect sizes (Fig. 6a). For
371 maximum resprout height, significant positive effects were detected only in deciduous species (Fig.
372 6b).

373 The lack of statistical significance in the interaction term may partly reflect limited statistical power,
374 as evergreen species were represented by only five species in our dataset. In *Fagus crenata* forests,
375 the diversity of evergreen woody species is inherently low, making it difficult to increase sample size
376 within this system. Therefore, further tests of this hypothesis in forest ecosystems where deciduous

377 and evergreen species coexist more evenly will be necessary to robustly evaluate the generality of leaf
378 habit-dependent seasonal effects.

379 In addition, the underlying mechanism assumed in H4—that seasonal variation in belowground stored
380 reserves is greater in deciduous than in evergreen species—remains to be explicitly tested in this study
381 system. Previous studies have reported inconsistent patterns in seasonal dynamics of belowground
382 storage between leaf habits, depending on species, organs and environmental context (Martínez-
383 Vilalta et al., 2016; Palacio et al., 2018). Direct measurements of seasonal changes in stored reserves
384 will therefore be necessary to determine whether deciduous species indeed exhibit greater seasonal
385 variability than evergreen species at this site.

386 **4.5. Implications for forest management**

387 In the study site, overstory thinning is conducted to harvest canopy beech trees and improve light
388 availability at the forest floor, thereby promoting beech regeneration. However, rapid recovery of
389 shrub-layer species via resprouting restores shading at the forest floor, offsetting the intended effects
390 of thinning. As a result, repeated understory cutting is required to maintain favorable light conditions
391 and reduce competition with regenerating beech seedlings, which imposes substantial labor and
392 economic costs (Yildiz et al., 2007; De Lombaerde et al., 2021). These challenges highlight the
393 difficulty of shrub-layer management and underscore the need for more efficient strategies.

394 Our results indicate that resprout initiation is difficult to control: the probability of resprouting was
395 high across species, and neither light environment nor cutting season had significant effects on
396 resprout initiation (Table 3; Fig. 2). In contrast, resprout growth was significantly affected by both
397 post-disturbance light environment and cutting season, suggesting that management interventions
398 should focus on suppressing resprout growth rather than preventing resprout initiation.

399 Specifically, reducing overstory thinning intensity may limit light availability at the forest floor and
400 thereby suppress resprout growth, particularly in stands dominated by light-demanding deciduous
401 species. In addition, conducting understory cutting shortly after leaf-out may reduce resprout growth
402 compared with winter or autumn cutting. In contrast, seasonal effects were weaker in evergreen
403 species, suggesting that cutting season may be less critical in stands dominated by evergreen shrubs.

404 Beyond suppression, these findings also have implications for the utilization of resprouting species.
405 For example, *Lindera umbellata*, which is used for essential oils and medicinal purposes (Maeda et
406 al., 2013, Kusumoto et al., 2022), may achieve higher biomass production through resprouting by
407 optimizing light conditions and cutting season.

408 Overall, our findings highlight that controlling light availability and optimizing cutting season are key
409 levers for regulating resprout growth. These approaches may contribute not only to more efficient
410 understory management in beech forests but also to the management and utilization of resprouting
411 species in seasonal forest ecosystems more broadly.

412

413 **5. Conclusions**

414 In this study, resprout initiation and subsequent growth of woody species in a snowy beech forest were
415 governed by different ecological drivers across stages of the resprouting process. Resprout initiation
416 was influenced mainly by stump size, whereas light environment and cutting season had little effect,
417 providing broad support for H1 and indicating that resprout initiation is difficult to control through
418 management interventions.

419 First-year resprout growth was enhanced by stump size and winter cutting, whereas pre-disturbance
420 light environment showed no clear effect, indicating partial support for H2. In contrast, second-year
421 resprout growth was more strongly associated with post-disturbance light environment than with pre-
422 disturbance conditions, supporting H3 and suggesting a shift in resource dependence from stored
423 reserves to newly acquired photosynthates as resprouts developed.

424 A tendency was observed for winter cutting to have a stronger positive effect on early resprout growth
425 in deciduous than in evergreen species, although support for H4 was limited and requires further
426 testing under broader species compositions.

427 Overall, these results indicate that the relative importance of ecological drivers changes across resprout
428 growth stages and differs among leaf habits. From a management perspective, our findings suggest
429 that interventions should focus on regulating resprout growth rather than controlling resprout initiation.
430 In particular, light availability and cutting season are key determinants of resprout growth. This
431 framework may contribute not only to more efficient understory management but also to enhancing
432 biomass production of resprouting species in seasonal forest ecosystems.

433

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437 . **CRedit authorship contribution statement**

438 **Kotaro Masuda:** Writing – review & editing, Writing – original draft, Conceptualization,
439 Methodology, Software, Validation, Formal analysis, Investigation, Data curation, Visualization. **Rei**
440 **Shibata:** Writing – review & editing, Writing – original draft, Conceptualization, Methodology,
441 Validation, Investigation, Data curation, Visualization, Supervision, Project administration, Funding
442 acquisition.

443 . **Declaration of Generative AI and AI-assisted** 444 **technologies in the writing process**

445 During the preparation of this work, the authors used ChatGPT (OpenAI) for English editing to
446 improve the clarity and readability of the manuscript. After using this tool, the authors carefully
447 reviewed and edited the content as needed and take full responsibility for the content of the published
448 article.

449 . **Declaration of Competing Interest**

450 The authors declare that they have no known competing financial interests or personal relationships
451 that could have appeared to influence the work reported in this paper.

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457 fieldwork.

458 . **Data availability**

459 Data are available at Zenodo (DOI: xxxxxxxx), and will be made publicly available upon acceptance.

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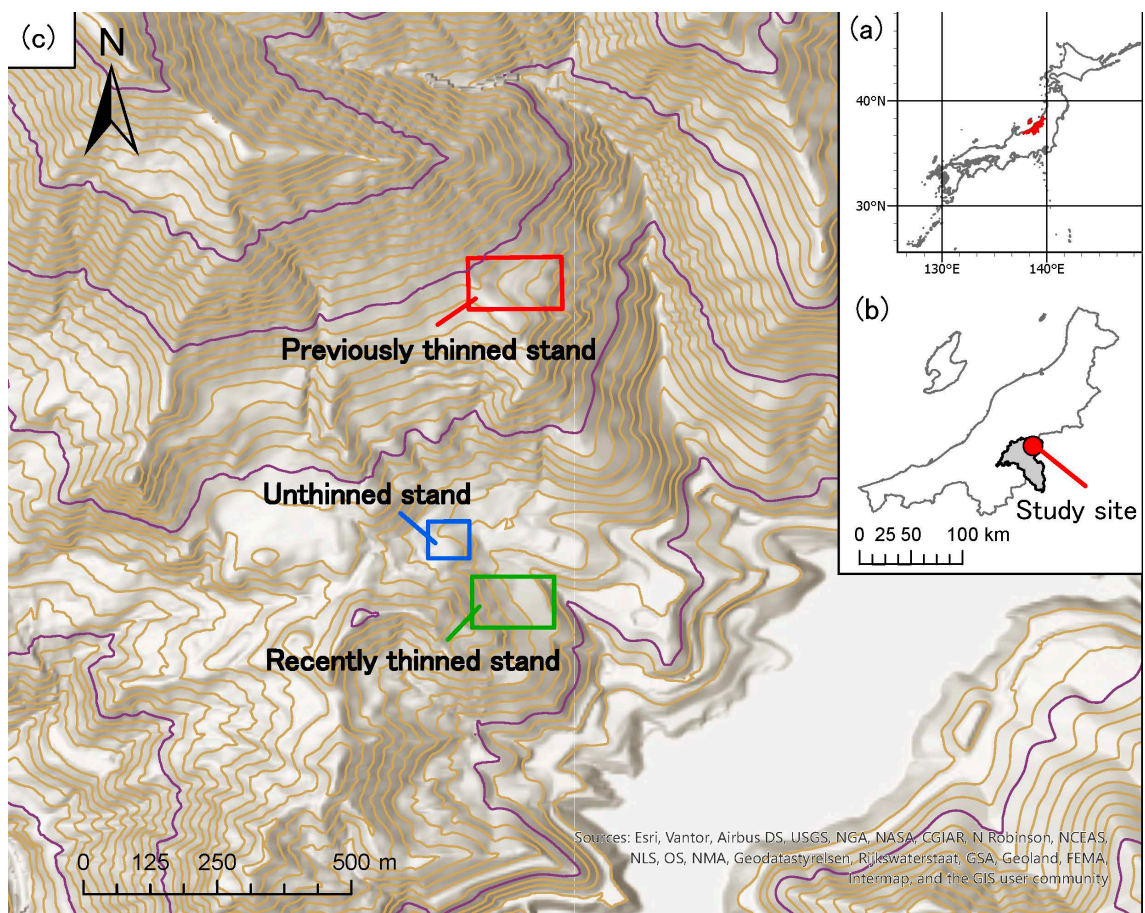
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596

597 **. Figures**

598 **Fig. 1:** Study location. (a) Niigata Prefecture, Japan, shown in red. (b) Oshirakawa (red circle) in
599 Uonuma City (gray), Niigata Prefecture. (c) Three study stands in Oshirakawa: an unthinned stand
600 (blue), a recently thinned stand (thinned approximately one month prior to cutting; green), and a
601 previously thinned stand (thinned 2–4 years prior to cutting; red).



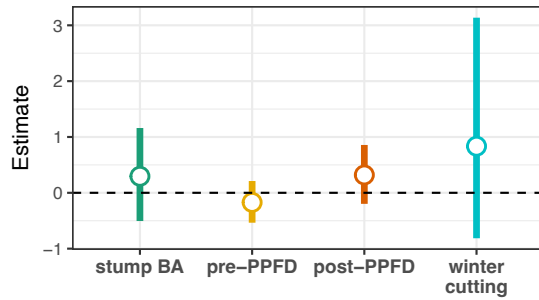
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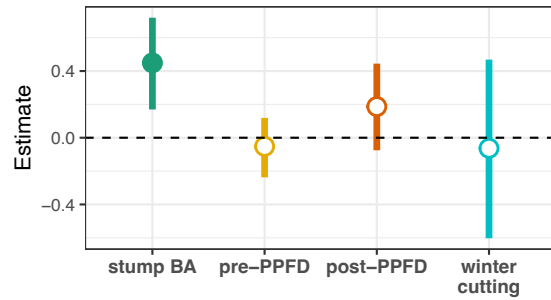
604 **Fig. 2:** Estimated effects of stump basal area (stump BA, \log_{10} -transformed), pre-cutting PPFD (pre-
605 PPFD), post-cutting PPFD (post-PPFD), and cutting season (winter cutting vs spring; spring as
606 reference) on resprouting responses in Dataset 1. Bayesian generalized linear mixed model estimates
607 are shown for resprout initiation: (a) probability of resprouting; (b) number of resprouts; first-year
608 resprout growth: (c) total basal area of resprouts; (d) maximum resprout height; second-year resprout
609 growth: (e) total basal area of resprouts; (f) maximum resprout height. Points represent posterior means,
610 and vertical lines represent 95% credible intervals. Filled circles indicate significant effects whose
611 95% credible intervals do not include zero, whereas open circles indicate non-significant effects. The
612 dashed line indicates zero effect.

Resprout initiation

(a) Probability of resprouting

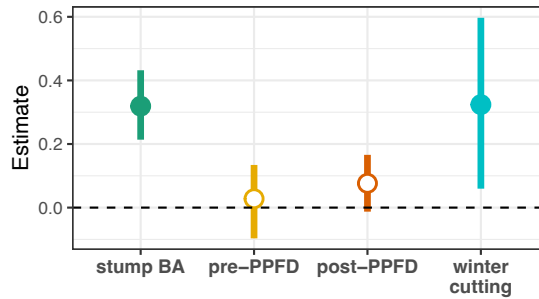


(b) Number of resprouts

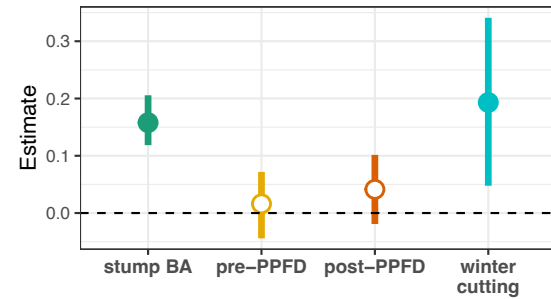


First-year resprout growth

(c) Total basal area of resprouts

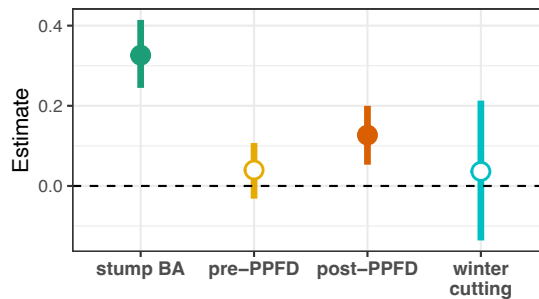


(d) Maximum resprout height

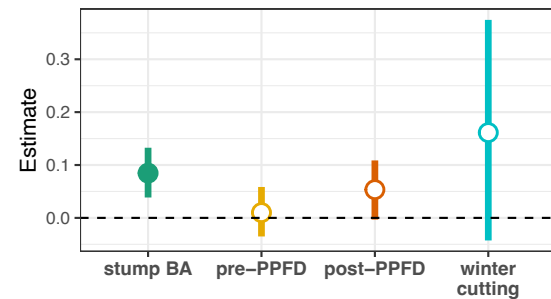


Second-year resprout growth

(e) Total basal area of resprouts



(f) Maximum resprout height



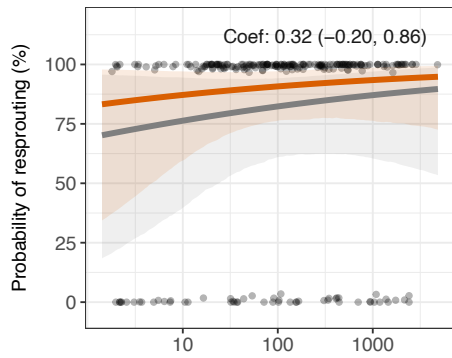
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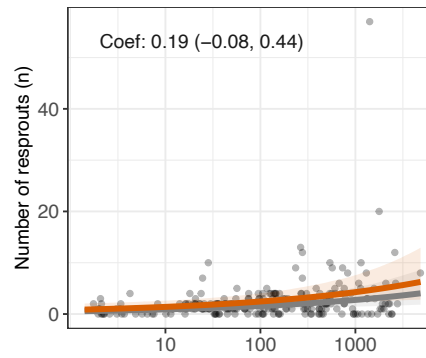
615 **Fig. 3:** Effects of post-cutting PPFD on the relationships between stump basal area and resprouting
616 responses across growth stages in Dataset 1. Resprout initiation: (a) probability of resprouting; (b)
617 number of resprouts; first-year resprout growth: (c) total basal area of resprouts; (d) maximum resprout
618 height; second-year resprout growth: (e) total basal area of resprouts; (f) maximum resprout height.
619 Points represent observed values for spring-cut individuals. Lines represent posterior mean predictions
620 from Bayesian generalized linear mixed models, and shaded bands represent 95% credible intervals.
621 Predictions were generated with cutting season fixed at spring, and colors denote the lowest and
622 highest observed post-cutting PPFD values. Total basal area of resprouts, maximum resprout height,
623 and stump basal area are shown on a log₁₀ scale. Numerical values in each panel show posterior means
624 and 95% credible intervals for the effect of post-cutting PPFD; asterisks (*) indicate significant effects
625 whose 95% credible intervals do not include zero.

Resprout initiation

(a) Probability of resprouting

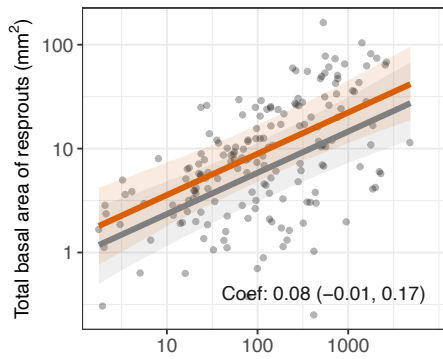


(b) Number of resprouts

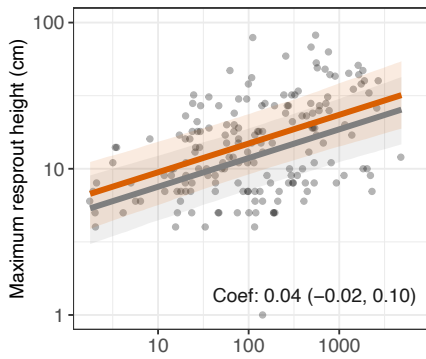


First-year resprout growth

(c) Total basal area of resprouts



(d) Maximum resprout height



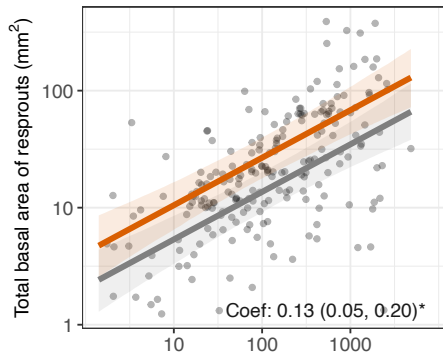
Post-cutting PPFD

— Lowest PPFD

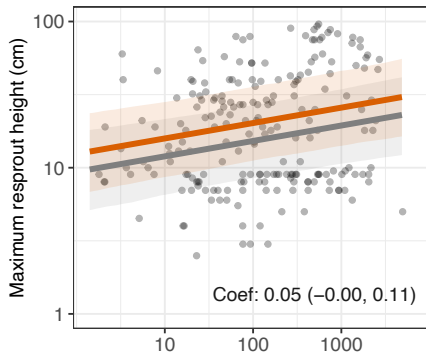
— Highest PPFD

Second-year resprout growth

(e) Total basal area of resprouts



(f) Maximum resprout height



Stump basal area (mm²)

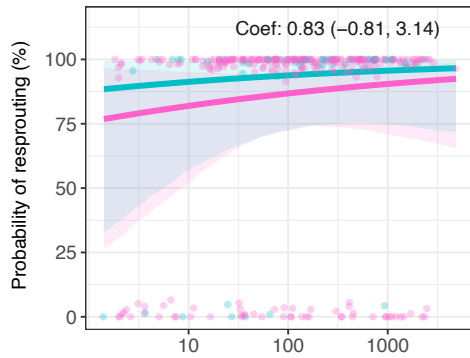
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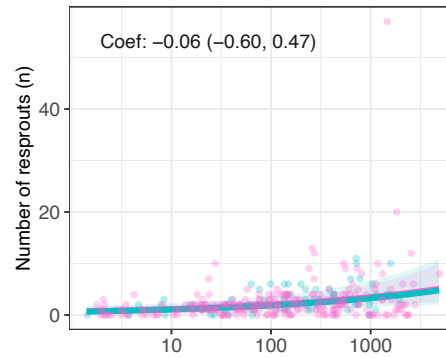
628 **Fig. 4:** Effects of cutting season on the relationships between stump basal area and resprouting
629 responses across growth stages in Dataset 1. Resprout initiation: (a) probability of resprouting; (b)
630 number of resprouts; first-year resprout growth: (c) total basal area of resprouts; (d) maximum resprout
631 height; second-year resprout growth: (e) total basal area of resprouts; (f) maximum resprout height.
632 Points represent observed values for individuals. Lines represent posterior mean predictions from
633 Bayesian generalized linear mixed models for each cutting season, and shaded bands represent 95%
634 credible intervals. Colors denote cutting season (winter vs spring). Total basal area of resprouts,
635 maximum resprout height, and stump basal area are shown on a log₁₀ scale. Numerical values in each
636 panel show posterior means and 95% credible intervals for the effect of winter cutting (spring as
637 reference); asterisks (*) indicate significant effects whose 95% credible intervals do not include zero.

Resprout initiation

(a) Probability of resprouting

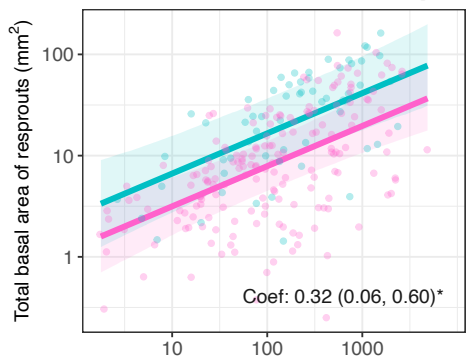


(b) Number of resprouts

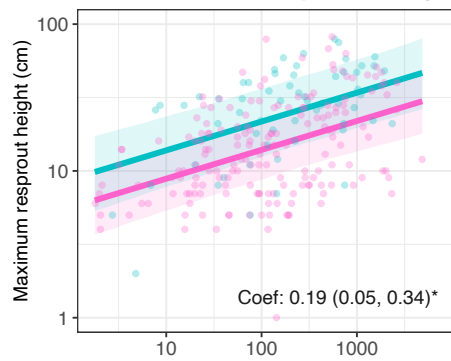


First-year resprout growth

(c) Total basal area of resprouts



(d) Maximum resprout height

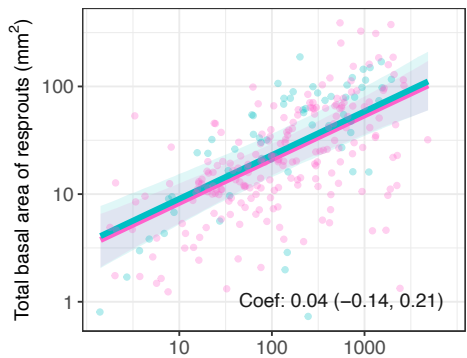


Cutting season

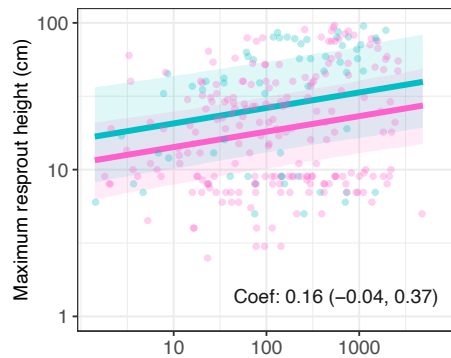
Spring
Winter

Second-year resprout growth

(e) Total basal area of resprouts



(f) Maximum resprout height



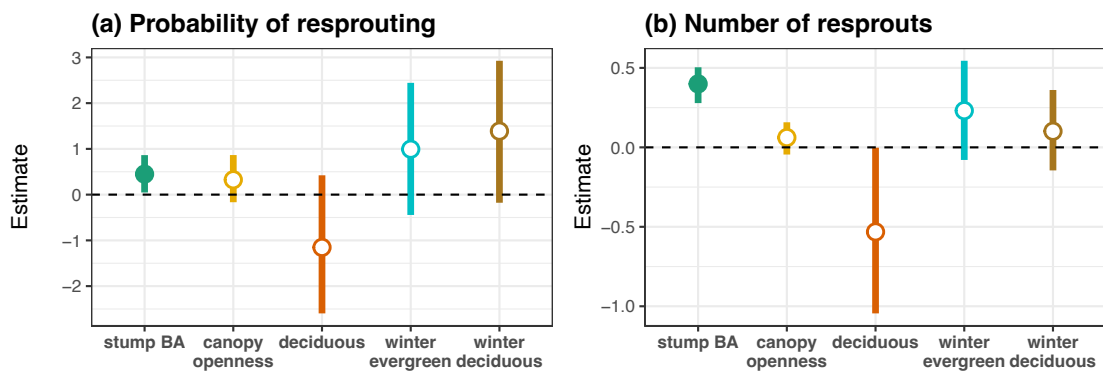
Stump basal area (mm²)

638

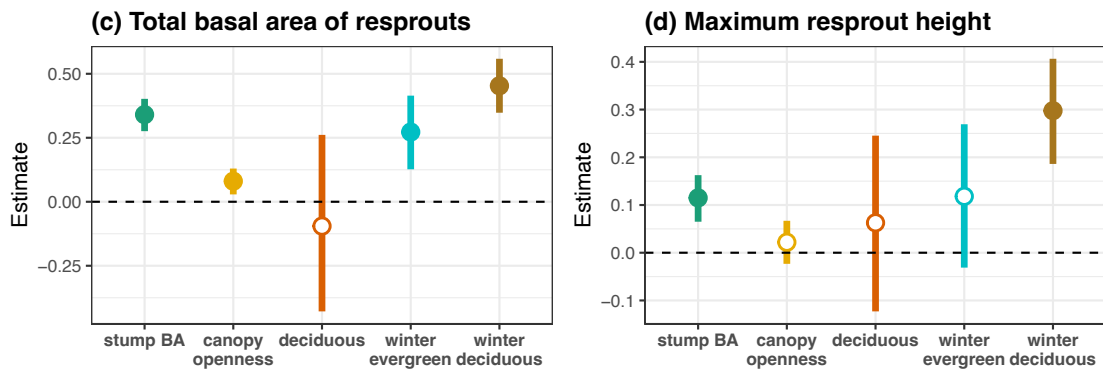
639

640 **Fig. 5:** Estimated effects of stump basal area (stump BA, log₁₀-transformed), canopy openness, leaf
641 habit (deciduous), and winter cutting effects by leaf habit (winter evergreen; winter deciduous) on
642 resprouting responses in Dataset 2. Here, “winter evergreen” and “winter deciduous” represent winter
643 cutting effects in evergreen and deciduous species, respectively. Bayesian generalized linear mixed
644 model estimates are shown for resprout initiation: (a) probability of resprouting; (b) number of
645 resprouts; first-year resprout growth: (c) total basal area of resprouts; (d) maximum resprout height.
646 Points represent posterior means and vertical lines represent 95% credible intervals. Filled circles
647 indicate significant effects whose 95% credible intervals do not include zero, whereas open circles
648 indicate non-significant effects. The dashed line indicates zero effect.

Resprout initiation



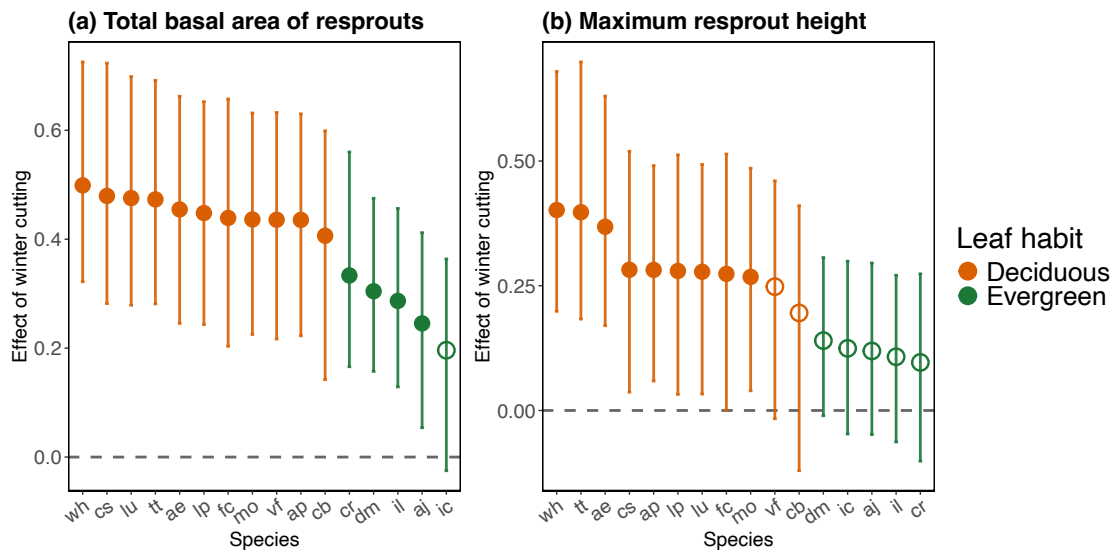
First-year resprout growth



649

650

651 **Fig. 6:** Species-specific effects of winter cutting on first-year resprout growth (Dataset 2). Bayesian
 652 generalized linear mixed model estimates are shown for (a) total basal area of resprouts and (b)
 653 maximum resprout height. Points represent posterior means, and vertical lines represent 95% credible
 654 intervals. Filled circles indicate species with significant effects whose 95% credible intervals do not
 655 include zero, whereas open circles indicate species with non-significant effects. The dashed line
 656 indicates zero effect. Colors denote leaf habit (deciduous vs evergreen). Abbreviations of species
 657 names are shown in Table 2.



658

659

660 **Tables**

661 **Table 1.** Resprouting stages and response variables.

Resprouting stages	Resprouting responses	Unit
Resprout initiation	Probability of resprouting	Proportion (%)
	Number of resprouts	Count (N)
First-year resprout growth	Total basal area of resprouts	mm ²
	Maximum resprout height	cm
Second-year resprout growth	Total basal area of resprouts	mm ²
	Maximum resprout height	cm

662 Resprouting responses were classified into three stages of resprouting process. Units are shown for
 663 each response variable.

664

665 **Table 2.** Species included in the study and their attributes.

Species	Family	Leaf habit	Growth form	N in Dataset 1	N in Dataset 2	Abbreviation
<i>Magnolia obovata</i>	Magnoliaceae	Deciduous	Canopy	–	30	mo
<i>Lindera umbellata</i> var. <i>membranacea</i>	Lauraceae	Deciduous	Shrub	39	20	lu
<i>Lindera praecox</i>	Lauraceae	Deciduous	Shrub	42	22	lp
<i>Daphniphyllum macropodum</i> subsp. <i>humile</i>	Daphniphyllaceae	Evergreen	Sub-canopy	–	37	dm
<i>Fagus crenata</i>	Fagaceae	Deciduous	Canopy	43	21	fc
<i>Toxicodendron trichocarpum</i>	Anacardiaceae	Deciduous	Sub-canopy	38	24	tt
<i>Acer pictum</i> subsp. <i>mayrii</i>	Sapindaceae	Deciduous	Canopy	–	32	ap
<i>Camellia rusticana</i>	Theaceae	Evergreen	Shrub	40	20	cr
<i>Clethra barbinervis</i>	Clethraceae	Deciduous	Sub-canopy	37	21	cb
<i>Aucuba japonica</i> var. <i>borealis</i>	Garryaceae	Evergreen	Shrub	–	30	aj
<i>Ilex leucoclada</i>	Aquifoliaceae	Evergreen	Shrub	–	31	il
<i>Ilex crenata</i> var. <i>radicans</i>	Aquifoliaceae	Evergreen	Shrub	–	29	ic
<i>Viburnum furcatum</i>	Viburnaceae	Deciduous	Shrub	41	21	vf
<i>Weigela hortensis</i>	Caprifoliaceae	Deciduous	Shrub	–	31	wh
<i>Chengiopanax</i> <i>sciadophylloides</i>	Araliaceae	Deciduous	Sub-canopy	37	23	cs
<i>Aralia elata</i>	Araliaceae	Deciduous	Sub-canopy	–	31	ae

666 Species included in the two datasets, with family, leaf habit, growth form, sample size in each dataset,
 667 and abbreviations used in Fig. 6. Dataset 1 comprised eight species, whereas Dataset 2 comprised 16
 668 species. “–” indicates that the species was not included in the corresponding dataset.

670 **Table 3.** Fixed effects (posterior mean [95% credible interval]) from Bayesian generalized linear
 671 mixed models for resprouting responses in Dataset 1.

Resprouting stages	Resprout initiation		First-year resprout growth		Second-year resprout growth	
Explanatory variable	Probability of resprouting	Number of resprouts	Total basal area of resprouts	Maximum resprout height	Total basal area of resprouts	Maximum resprout height
Intercept	1.90 [0.97, 2.92]	0.71 [0.27, 1.13]	0.91 [0.66, 1.15]	1.15 [0.95, 1.34]	1.33 [1.18, 1.48]	1.26 [1.01, 1.50]
Stump basal area (log10)	0.30 [-0.51, 1.16]	0.45 [0.17, 0.72]	0.32 [0.21, 0.43]	0.16 [0.12, 0.21]	0.33 [0.24, 0.41]	0.08 [0.04, 0.13]
Pre-cutting PPF	-0.17 [-0.54, 0.21]	-0.05 [-0.24, 0.12]	0.03 [-0.10, 0.17]	0.02 [-0.04, 0.07]	0.04 [-0.03, 0.11]	0.01 [-0.04, 0.06]
Post-cutting PPF	0.32 [-0.20, 0.86]	0.19 [-0.08, 0.44]	0.08 [-0.01, 0.17]	0.04 [-0.02, 0.10]	0.13 [0.05, 0.20]	0.05 [-0.00, 0.11]
Cutting season (winter)	0.83 [-0.81, 3.14]	-0.06 [-0.60, 0.47]	0.32 [0.06, 0.60]	0.19 [0.05, 0.34]	0.04 [-0.14, 0.21]	0.16 [-0.04, 0.37]

672 Models were fitted for resprout initiation (probability of resprouting; number of resprouts), first-year
 673 resprout growth (total basal area of resprouts; maximum resprout height), and second-year resprout
 674 growth (total basal area of resprouts; maximum resprout height). Explanatory variables included log₁₀-
 675 transformed stump basal area, pre-cutting PPF, post-cutting PPF, and cutting season (winter vs
 676 spring; spring as reference). All continuous explanatory variables were standardized. Pre-cutting light
 677 environments were represented by integrated PPF in 2023, whereas post-cutting light environments
 678 were represented by PPF in 2024 for resprout initiation and first-year resprout growth, and the mean
 679 PPF across 2024–2025 for second-year resprout growth. Species was included as a random effect
 680 with uncorrelated random intercepts and slopes. Bold values indicate significant effects whose 95%
 681 credible intervals did not include zero.

682

683 **Table 4.** Fixed effects (posterior mean [95% credible interval]) from Bayesian generalized linear
 684 mixed models for resprouting responses in Dataset 2.

Explanatory variable	Probability of resprouting	Number of resprouts	Total basal area of resprouts	Maximum resprout height
Intercept	2.80 [1.33, 4.14]	1.02 [0.60, 1.44]	1.00 [0.69, 1.28]	0.94 [0.79, 1.10]
Stump basal area (log10)	0.45 [0.05, 0.86]	0.40 [0.28, 0.50]	0.34 [0.28, 0.40]	0.11 [0.07, 0.16]
Canopy openness	0.33 [-0.17, 0.86]	0.06 [-0.05, 0.16]	0.08 [0.03, 0.13]	0.02 [-0.02, 0.07]
Cutting season (winter)	0.99 [-0.44, 2.44]	0.23 [-0.08, 0.54]	0.27 [0.13, 0.41]	0.12 [-0.03, 0.27]
Leaf habit (deciduous)	-1.15 [-2.60, 0.42]	-0.53 [-1.04, -0.00]	-0.10 [-0.43, 0.26]	0.06 [-0.12, 0.25]
Cutting season : Leaf habit	0.40 [-1.15, 1.93]	-0.13 [-0.50, 0.25]	0.18 [0.00, 0.35]	0.18 [-0.02, 0.36]

685 Models were fitted for resprout initiation (probability of resprouting; number of resprouts) and first-
 686 year resprout growth (total basal area of resprouts; maximum resprout height). Explanatory variables
 687 included log₁₀-transformed stump basal area, canopy openness, cutting season (winter vs spring;
 688 spring as reference), leaf habit (deciduous vs evergreen; evergreen as reference), and the interaction
 689 (cutting season × leaf habit). Species was included as a random effect with uncorrelated random
 690 intercepts and slopes. Bold values indicate significant effects whose 95% credible intervals did not
 691 include zero.