

1 Thermodynamic pedodiversity patterns reveal  
2 higher-order soil organization in indigenous  
3 agroecosystems of the U.S. Southwest

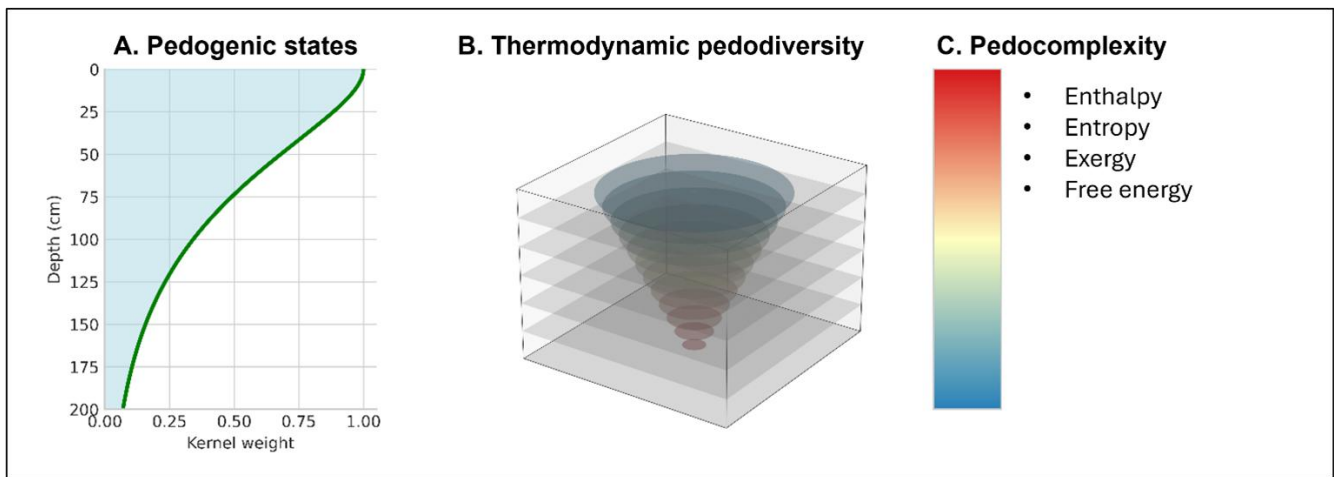
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## 13 Abstract

14 Patterns of soil spatial heterogeneity and diversity support the stability and productivity of food  
15 systems, yet their multidimensional structure remains difficult to quantify at spatial scales  
16 relevant to agricultural resilience. A process-based framework grounded in fundamental physical  
17 principles is therefore needed to describe these spatial processes across landscapes. The aim of  
18 this study was to develop a mechanistic three-dimensional thermodynamic pedodiversity index  
19 constrained by physical continuity. The framework was applied to ancestral and contemporary  
20 indigenous agroecosystems of the U.S. Southwest. Eight gridded soil properties were transformed  
21 into thermodynamic exergy states using a three-dimensional biweight multi-pass convolutions  
22 applied across spatial directions, with the surface layer subject to atmospheric forcing. Local  
23 inverse Moran's I was then calculated to quantify the pedodiversity patterns. Linking  
24 thermodynamic principles to the landscape scale revealed that long-term crop production aligns  
25 with structured pedodiversity patterns rather than with pedodiversity magnitude alone. Persistent  
26 Hopi dryland agriculture corresponded with moderately to highly organized pedodiversity patterns,  
27 whereas more specialized Navajo pastoral systems occurred in landscapes characterized by  
28 lower pedodiversity organization. These results suggest that pedodiversity represents only one  
29 component of soil resilience and multifunctionality. Higher-order spatial processes, interpreted  
30 alongside indigenous ecological knowledge, are necessary to understand how soil supports  
31 sustained agroecosystems. Integrating process-based applied mathematics with Indigenous  
32 knowledge systems may therefore provide a pathway toward identifying higher-order functions  
33 such as pedocomplexity, capable of inferring soil resilience and multifunctionality.

34 **Keywords:** Exergy, Indigenous knowledge, Soil resilience, Soil multifunctionality, Quantitative  
35 pedology

## 36 1. Introduction

37 Soil is an inherently complex system shaped by the interaction of biological, chemical, physical  
38 (Jenny, 1941) and anthropogenic processes that operate across multiple spatial, depth and  
39 temporal scales simultaneously (Dearing et al., 2014). These processes generate a remarkable  
40 degree of variability both across the landscape and through the soil profile that are essential for  
41 ecosystem services such as water regulation, carbon storage and nutrient cycling (McBratney and  
42 Minasny, 2007). Understanding soil multifunctionality and resilience requires capturing the  
43 variability, a task that remains conceptually and methodologically challenging. The field of  
44 pedodiversity emerged to provide a quantitative framework for describing this heterogeneity and  
45 connecting it to ecological and pedogenic dynamics (Ibáñez et al., 1995).

46 Classically, pedodiversity has been quantified through the diversity of soil types (taxonomic), soil  
47 horizons (genetic) or soil productivity under different environmental changes (functionality)(Ibáñez  
48 and Bockheim, 2013). While valuable, these methods are constrained by their reliance on discrete  
49 taxonomic boundaries (Zhu, 1997) or rely on one purpose and thus fail to capture the continuous  
50 and multidimensional nature of soil variation, the interactions among soil attributes or rely on one  
51 attribute which does not reflect the true multifunctionality of soil (Toomanian and Esfandiarpour,  
52 2010). Accordingly, there is a need to calculate pedodiversity by recognizing that soil varies not  
53 only vertically with depth but also laterally across the landscape, and that processes occurring

54 within one profile can influence the functionality of neighbouring soil and horizons (Matheron,  
55 1963).

56 In the U.S. Southwest, soil preserves a long record of interactions between people, agriculture and  
57 climate. The Ancestral Pueblo, Navajo and Hopi (among many others) agricultural systems  
58 developed under extreme climatic constraints, relying on detailed ecological knowledge and a  
59 profound understanding of soil heterogeneity to sustain crop production over centuries during  
60 extreme climatic events. For instance, the cultural and trading center of Chaco Canyon likely  
61 imported food from the Chuska Mountains to the west, where maize, beans and squash could be  
62 cultivated (Benson et al., 2003). In Mesa Verde, agriculture was primarily conducted on the mesa  
63 tops and extended northward into the Great Sage Plains, which were extensively cultivated by the  
64 late era of the Ancestral Pueblo (Benson, 2011). Yet, it remains unclear whether these lands were  
65 chosen for their extensive homogeneous, high-quality soil or for their spatially heterogeneous  
66 variation, which may have offered greater adaptive opportunities under varying climatic  
67 conditions.

68 The Hopi, considered possible cultural descendants of the Ancestral Pueblo have practiced  
69 dryland farming for at least nine centuries, since around 1,100 A.D. (Bocinsky and Varien, 2017),  
70 possibly following the decline of Chaco Canyon. Their agricultural system exemplifies an enduring  
71 strategy that capitalizes on pedodiversity, intentionally seeking sites that differ from the  
72 surrounding landscape to maximize functional diversity and resilience. The Hopi territory  
73 represents one of North America's longest-inhabited agricultural landscapes (Johnson, 2023) and  
74 a recognized biodiversity hotspot (Nankar and Pratt, 2021), shaped by cultural practices that  
75 exploit soil variability, crop diversity through selective breeding and has lasted through extreme  
76 drought. For example, the Hopi cultivate 17 distinct drought-resistant nutrient dense maize

77 varieties, an exceptional adaptation given maize's typical dependence on abundant water (Soleri  
78 and Cleveland, 1993).

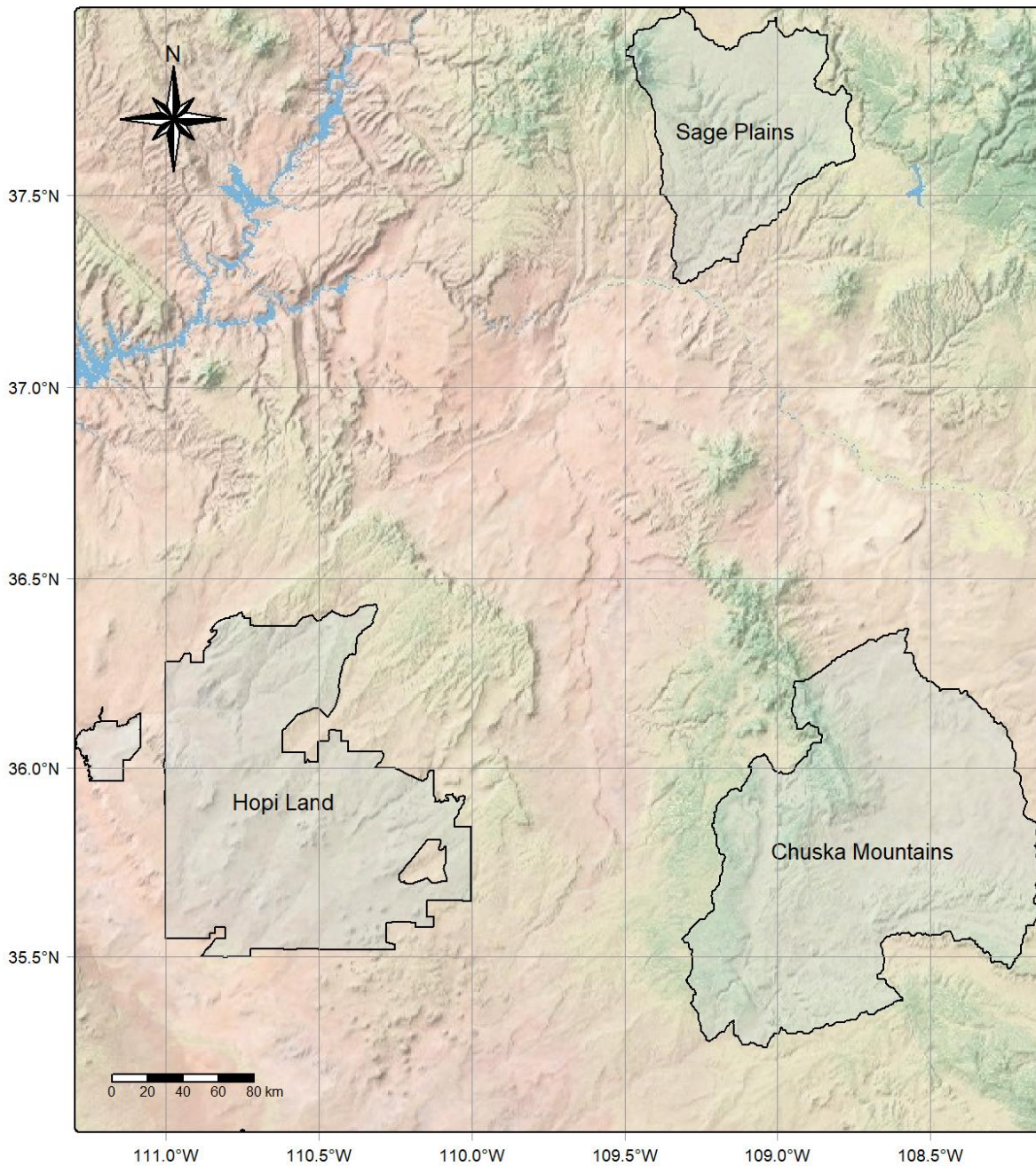
79 By continuously quantifying pedodiversity, we can reveal how this intrinsic, multidimensional soil  
80 complexity supports the persistence of traditional dryland farming systems (Mikhailova et al.,  
81 2021). High vertical pedodiversity reflects the development of layered soil profiles or profiles with  
82 discrete boundaries, whereas spatial pedodiversity represented the by spatial heterogeneity (i.e.,  
83 squared differences) of niches historically exploited for distinct crops, breeding and management  
84 strategies. Mapping these interconnected domains simultaneously shows landscapes where  
85 agricultural traditions have upheld ecological balance and soil functionality across generations  
86 (Costantini and L'Abate, 2016). Conversely, such analyses may also reveal agricultural strategies  
87 that favored lower pedodiversity and greater soil homogeneity in areas possessing inherently  
88 favorable soil and moisture regimes.

89 The aim of this study was to quantify a process-based, numerical second-order voxel index to  
90 characterize the pedodiversity of soil thermodynamic states, providing insight into community-  
91 level soil behavior. Applied across Ancestral Pueblo agricultural landscapes and multigenerational  
92 dryland farming regions of the U.S. Southwest, we show that thermodynamic pedodiversity or soil  
93 system organization, forms part of a framework for assessing soil resilience and societal  
94 adaptation to environmental stress. By capturing physical properties, spatial structure and depth  
95 organization, this approach offers a rigorous measure of soil arrangements and an ecological-  
96 cultural perspective for understanding how human management practices have persisted,  
97 adapted and transformed under variable environmental conditions.

## 98 2. Methods and materials

### 99 2.1 Sites

100 The Four Corners region of the U.S. southwest and the three sites chosen were selected because  
101 they represent the agricultural and cultural legacies of the Ancestral Pueblo, Navajo and Hopi  
102 peoples (Brooks, 2020) whose histories are interrelated through geography yet are culturally  
103 distinct (Figure 1). Although the Navajo and Hopi occupy regions that overlap with former Ancestral  
104 Pueblo territories and each other, their languages, cultural practices and agricultural systems  
105 differ significantly (Dongoske et al., 1997; Kahn-John (Diné) and Koithan, 2015). For this reason,  
106 and in recognition of their unique cultural identities and histories, the Navajo and Hopi are distinct  
107 from the Ancestral Pueblo. The cultural history of the region is further complicated by overlapping  
108 territorial boundaries; for example, Hope Land lies within the Navajo Nation, yet the two peoples  
109 maintain separate cultural traditions. Additionally, the term “Anasazi”, historically used in  
110 archaeological literature to refer to the Ancestral Pueblo is now avoided due to its derogatory  
111 meaning, “ancient enemy,” (McBrinn and Cordell, 2016) in Diné Bizaad (the Navajo language).  
112 Whether this term originated as a Western construct or was relayed to archaeologist Richard  
113 Wetherill, who popularized it, remains uncertain, but its use highlights the importance of cultural  
114 sensitivity and self-identification in interpreting the human history of the U.S. Southwest.



115

116 *Figure 1: known indigenous lands selected based on borders or basins due to water resources, which likely determined their*  
 117 *agriculture practices.*

118 Except for Hopi Land, the sites were delineated primarily from hydrological basins identified  
 119 through a digital elevation model (DEM), crossing state boundaries (Colorado, Utah, Arizona and  
 120 New Mexico). This geomorphic criterion was chosen because, although some ancestral cultures

121 constructed irrigation networks, all ultimately relied on dryland agriculture (Bellorado and  
122 Anderson, 2013). Basins or catchments, concentrate runoff and moisture and thus represent the  
123 most probable zones of Ancestral Pueblo cultivation. Site selection also considered the spatial  
124 distribution of early and late Ancestral Pueblo agricultural landscapes and their overlap with the  
125 territories of contemporary Indigenous peoples. While this spatial correspondence is inherently  
126 interpretive, it reflects well-established archaeological and ethnogeographic evidence that the  
127 Ancestral Pueblo peoples inhabited and farmed across the Four Corners region of the U.S.  
128 Southwest.

### 129 2.1.1 Chuska Mountains

130 The southern Chuska Mountains, southern basin and its piedmonts, straddling the Arizona–New  
131 Mexico border, form a volcanic highland rising above the surrounding Colorado Plateau. The range  
132 is composed primarily of Oligocene basaltic and andesitic flows (Appledorn and Wright, 1957),  
133 interbedded with volcanoclastic sandstones and Chuska Sandstone, underlain by older Mesozoic  
134 sedimentary formations such as the Navajo and Wingate Sandstones. Elevation ranges from  
135 approximately 1,800 to 2,900 m, with the southern basin averaging 2,053 m (Blagbrough, 1967).  
136 Vegetation transitions sharply from montane forests of ponderosa pine, spruce and fir at higher  
137 elevations to pinyon–juniper woodland and semi-arid grassland across the lower slopes and  
138 basins (Harris et al., 1960). The climate spans alpine to desert conditions, characterized by cool,  
139 snowy winters and warm, dry summers, with precipitation varying from  $>500 \text{ mm yr}^{-1}$  on the crest  
140 to  $<250 \text{ mm yr}^{-1}$  in the southern lowlands (Blagbrough, 1967). Culturally, this region was a critical  
141 resource and agricultural hinterland for Chaco Canyon (~900 AD), supplying timber and  
142 agricultural products to the Ancestral Pueblo (Wills et al., 2014). The same landscapes are

143 traditional herding and grazing grounds of the Navajo Nation, whose pastoral systems continue to  
144 shape soil use and vegetation patterns (Wallace et al., 2021).

### 145 2.1.2 Great Sage Plain

146 The Great Sage Plains lies north of Mesa Verde and west of the Dolores River in southwestern  
147 Colorado and southeastern Utah, forming part of the northern San Juan Basin margin. The  
148 landscape consists primarily of Cretaceous Mancos Shale overlain by Quaternary loess, alluvium  
149 and colluvial deposits, with minor outcrops of the Dakota Sandstone and Mesa Verde Group along  
150 drainage margins (Reheis et al., 2018). The average elevation is 2,050 m, with a gently undulating  
151 topography that contrasts sharply with the dissected mesas to the south (Dove et al., 2006). Soil  
152 is predominantly fine-textured loams and clay loams, developed on shale and loess parent  
153 materials that provide high moisture retention but limited infiltration (Fadem and Diederichs,  
154 2020). The regional climate is semi-arid continental, with cold winters, warm summers and mean  
155 annual precipitation of 300–400 mm, much of it derived from winter snowmelt. Vegetation is  
156 dominated by sagebrush steppe, greasewood and semi-arid grassland, interspersed with dryland  
157 agricultural fields (Wagner and Scipal, 2000). Archaeologically, this area marks the northern  
158 agricultural frontier of the Ancestral Pueblo world, cultivated most intensively between 1000 and  
159 1280 A.D., (Allison, 2010).

### 160 2.1.3 Hopi Land

161 The Hopi Mesas occupy a prominent series of east–west–oriented uplands in northeastern  
162 Arizona, rising above the northern edge of the Little Colorado River Basin. The landscape is  
163 underlain by Jurassic and Triassic sandstones, principally the Entrada, Wingate and Navajo  
164 Sandstones interbedded with minor siltstone and shale that create subtle contrasts in soil texture

165 and water-holding capacity (O’Sullivan, 2003). Elevation ranges from approximately 1,700 to 1,900  
166 m, and the region’s arid to semi-arid climate is characterized by mean annual precipitation of 200–  
167 300 mm, concentrated during the summer monsoon, with mean annual temperatures of 10–13 °C  
168 (Hopi Department of Natural Resources, 2021). Vegetation is mostly pinyon–juniper woodland,  
169 sagebrush and mixed grassland, with riparian shrubs in ephemeral washes. The region forms one  
170 of North America’s oldest continuously farmed landscapes, where the Hopi people have practiced  
171 dryland agriculture for over nine centuries. Their fields, often situated on mesa slopes and valley  
172 bottoms, support a remarkable diversity of drought-tolerant maize, beans, squash, melons and  
173 medicinal plants (Johnson et al., 2021; Wall and Masayeva, 2004).

## 174 2.2 Soil data

175 Soil property data was obtained from the POLARIS (Probabilistic Remapping of SSURGO) dataset  
176 (Chaney et al., 2016). and the USDA-NCSS soil survey data (SSURGO back-filled with STATSGO  
177 where SSURGO is not available) database (Walkinshaw, 2020), both providing harmonized,  
178 gridded soil property estimates across the conterminous United States. POLARIS was used to  
179 represent the physical soil matrix, while USDA-NCSS soil survey supplied soil color data critical  
180 for redox-morphological interpretation (Kim et al., 2025). All soil properties were obtained within  
181 the Google Earth Engine (GEE; Gorelick et al., 2017) to streamline the modelling process.

182 The POLARIS dataset provides probabilistic predictions of major soil attributes at a 30 m spatial  
183 resolution (Chaney et al., 2016). Seven properties were extracted for this study: bulk density (g  
184  $\text{cm}^{-3}$ ), sand (% w/w), silt (% w/w), clay (% w/w), saturated hydraulic conductivity ( $K_{\text{sat}}$ ;  $\log_{10}(\text{cm hr}^{-1})$ ), soil organic matter ( $\log_{10}(\% \text{ w/w})$ ) and pH. Each property was available at the six standard  
185 GlobalSoilMap (Arrouays et al., 2014) depth intervals: 0–5, 5–15, 15–30, 30–60, 60–100 and 100–

187 200 cm. All soil properties were retained in their transformed state; for example,  $K_{\text{sat}}$  and soil  
188 organic matter were kept in their  $\log_{10}$ -transformed form, as their distributions are typically right-  
189 skewed and approximate normal after transformation (Shapiro et al., 1968; Webster and Oliver,  
190 2007). This approach also preserves the proportional relationships among properties, which is  
191 preferable for kernel-based weighting and correlation analysis (McBratney et al., 1992).

192 Soil color acts as a morphological proxy for oxidation–reduction and drainage conditions, it was  
193 included as an eighth property to enhance the interpretability of the pedodiversity index. Munsell  
194 soil color data were resampled to 30 m spatial resolution using a nearest-neighbor approach to  
195 preserve the native fine-scale texture of the dataset. Depth intervals were retained in their original  
196 form, as the 3-dimensional kernel weights were designed to account for cross-layer influence of  
197 soil color at 10, 25, 75, and 125 cm.

## 198 2.3 Thermodynamic pedodiversity

### 199 2.3.1 Pedocomplexity theory

200 Thermodynamic pedodiversity is a key element of pedocomplexity, a framework that integrates soil  
201 thermodynamic states, continuous diversity, total potential energy and practical tools (e.g.,  
202 decision-support) to evaluate soil resilience and multifunctionality. It emphasizes that  
203 pedodiversity alone cannot serve as a proxy for soil functionality, and that soil multifunctionality is  
204 instead governed by pedocomplexity. Pedocomplexity is a function describing the thermodynamic  
205 steady-state of soil, from which, using a multidisciplinary approach, soil resilience and  
206 multifunctionality can be inferred. Pedocomplexity is comprised of four components (Table 1).

Component	Steady state	Physical meaning
Latent energy	Enthalpy ( $\Delta H$ )	The total stored energy in the system (useable + unusable).
Pedogenic states	Exergy ( $\Psi$ )	The magnitude of internal energy available for work (usable energy in the soil).
Pedogenic exchange	Entropy ( $\sigma$ )	The coupling or interaction energy dissipated to maintain gradients between pedogenic states.
Pedocomplexity	Organization of exergy ( $O$ )	A function of $H$ , $\Psi$ and $\sigma$ describing the thermodynamical organization of the soil system

208

209 When defined for the whole system pedogenic states can be described as magnitude or intensity  
 210 and pedogenic exchange can be shown as a thermodynamic pedodiversity index. The framework  
 211 recognizes that soil always exists in a multidimensional physical and feature state. However, the  
 212 term “pedogenic” is emphasized as a descriptor of process-based functions culminating in  
 213 pedocomplexity, calculated as:

214 
$$O(s) = \int f: \mathbb{C}(\Delta H, \Psi, \sigma)_{x,y,z,t} dV,$$

215 Or if the data is sparse, non-continuous or simply not enough data:

216 
$$O(s) = \int f(\Delta H, \Psi, \sigma)_{x,y,z} dV$$

217 Where latent energy corresponds to enthalpy  $\Delta H$ , representing total stored energy. This can be  
 218 seen as uncertainty because a part of it is not exergy or useable for work. Pedogenic states are  
 219 equivalent to exergy  $\Psi$ , capturing the usable internal energy available for work. Pedogenic  
 220 exchange acts like entropy  $\sigma$ , representing the interaction energy dissipated to maintain spatial  
 221 gradients between states. Finally, pedocomplexity ( $O$ ) is a function of  $\Delta H$ ,  $\Psi$  and  $\sigma$ , describing the

222 overall thermodynamic structure of the soil system organization. In other words, the causality of  
223 storage, transformation and release of soil constituents.

224 Since exergy states assume a reference state, here it corresponds to a zero gradient representing  
225 no soil or the end of soil formation as the limits of a soil's life span. Consequently, a zero gradient  
226 is assumed to indicate zero functionality and no resilience to change, and the behavior determines  
227 which functions are expressed. Additionally, it implies boundary conditions at the surface of the  
228 soil column. Although this implicitly indicates linearity in time and  $\Delta H$ , the model is not linear in  
229 these controls.

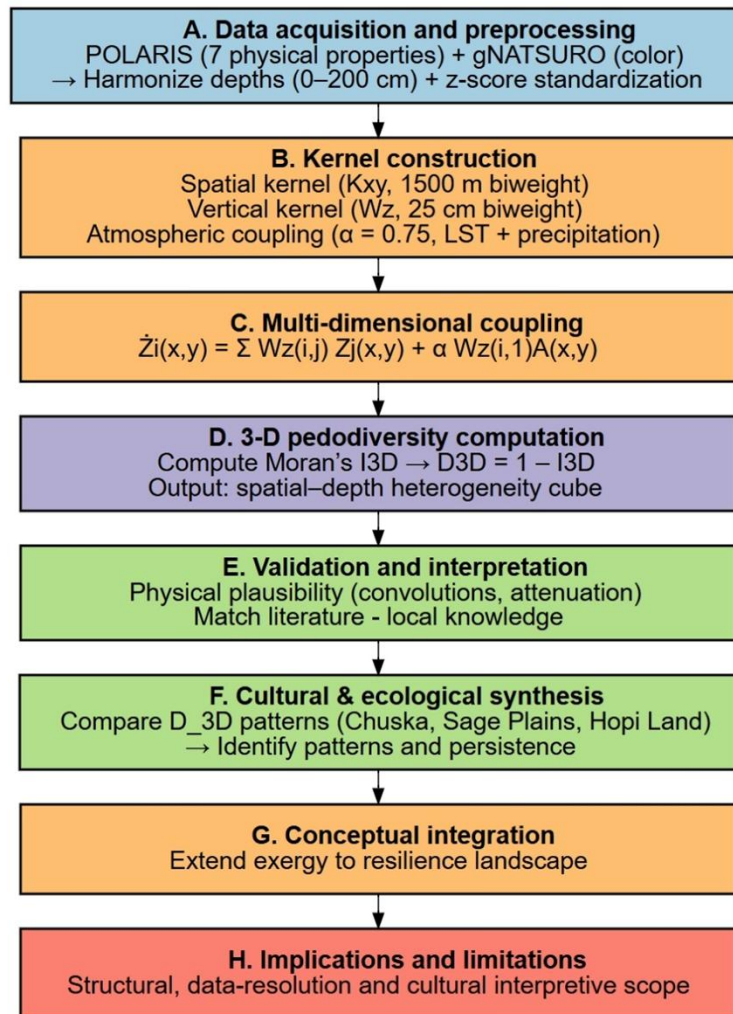
230 The  $\sigma$  function is fundamental for calculating pedocomplexity as defined here and the least  
231 understood. Pedogenic states and pedodiversity represent two distinct and often conflicting  
232 objective functions. While  $\Psi$  represents magnitude or a convergence process (e.g., the sum of  
233 exergy across dimensions),  $\sigma$  represents dissipation of energy, which is a divergent process (e.g.,  
234 the covariance of exergy across dimensions). Although treating the problem as a voxel increases  
235 the degrees of freedom relative to unknown parameters, the conflicting objective functions exert  
236 a stronger influence and numerical stability drops significantly.

237 Perhaps most importantly, these conflicting loss functions can create visualizations that appear  
238 unrealistic despite mathematical stability, resulting in an effective function that is difficult to  
239 interpret and limiting its accessibility. A concept highly emphasized in the framework is keeping  
240 everything interpretable and easy to visualise. Consequently, we also want to create an index for  $\sigma$   
241 and thus, thermodynamic pedodiversity, which is  $\sigma$  scaled [0,1] or the process from [0,1]. Although  
242 they are equivalent, it is important when mapping large regions depending on software or  
243 environment used. Therefore, to solve  $O(s)$ ,  $\sigma$  must be an energy-minimizing function that

244 increases (not decreases) across dimensions yet defines the spread and never falls below zero,  
245 much like the spatial lag of variance, not covariance.

### 246 2.3.2 Profile to landscape scale

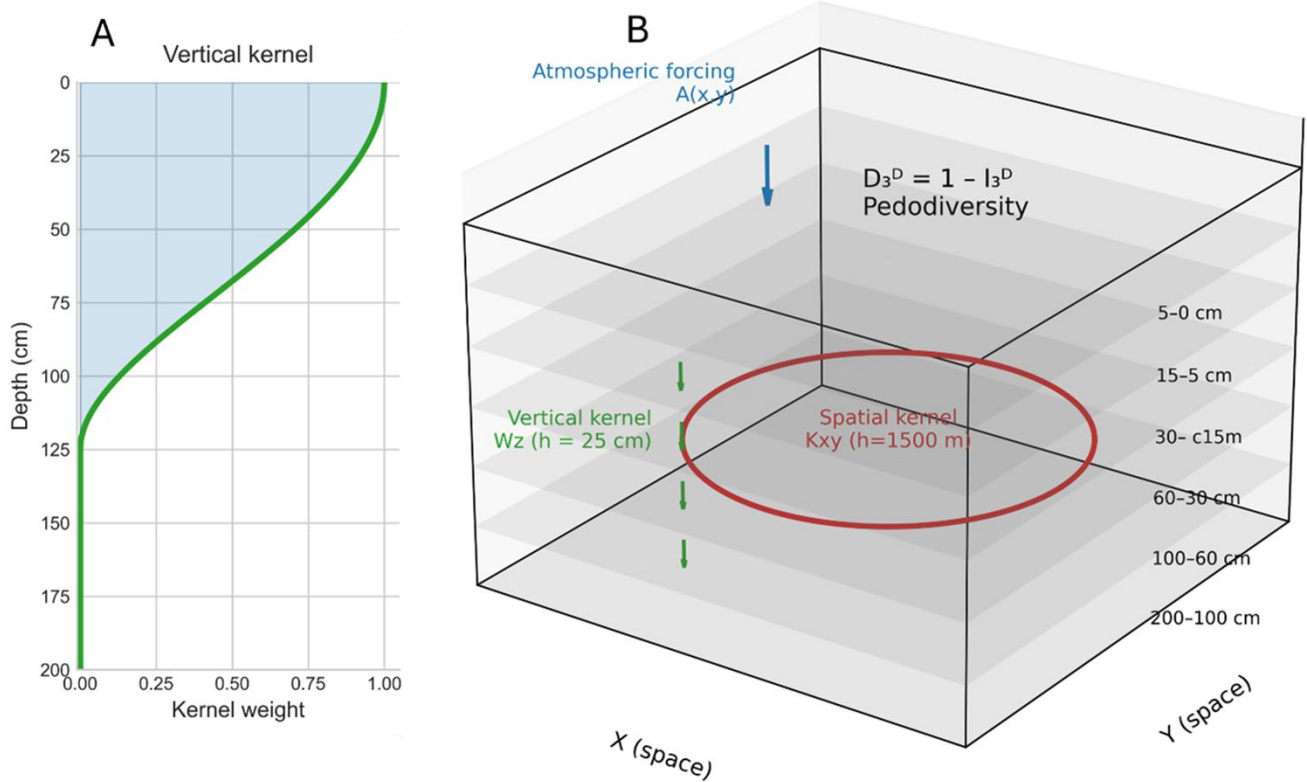
247 A mechanistic, multi-dimensional pedodiversity index was developed to represent the energy  
248 transfer dynamics that regulate soil state dissipation energy. The index integrated eight gridded soil  
249 properties across depth layers (image bands) using 3-dimensional biweight convolutions  
250 constrained by physical continuity. This expresses pedodiversity as a coupled spatial-vertical  
251 phenomenon, where spatial patterns of heterogeneity emerges from lateral and depth-dependent  
252 interactions among soil properties (Ibáñez and Bockheim, 2013). Although not a direct measure of  
253 soil functions, the index captures the organization and connectivity of exergy that is the foundation  
254 to soil multifunctionality (Bünemann et al., 2018; Vogel et al., 2018). The process is straightforward  
255 and can be represented schematically in linear form (Figure 2).



256

257 *Figure 2: flowchat of the incorporation of the thermodynamic pedodiversity into the larger synthesis of agroecosystem resilience.*

258 The complete pedodiversity computation, local mean and covariance calculations and  
 259 normalization of the three-dimensional Moran's I (Moran, 1950) was implemented entirely in the  
 260 GEE Python API. This approach ensured computational scalability across all properties and  
 261 depths, allowing the calculation of the pedodiversity directly from a spatially harmonized soil  
 262 property cube (Figure 3). This represents a new methodological application of GEE, as it is rarely  
 263 used for depth-dynamic or three-dimensional subsurface analyses, extending its capacity beyond  
 264 traditional two-dimensional surface modeling.



265

266 *Figure 3: (A) Vertical curve generated from the biweights, illustrating depth-dependent coupling within the soil profile. (B) Spatial-*  
 267 *vertical soil cube with atmospheric forcing  $A(x, y)$ . Together these components generate multidimensional coupling curves at each*  
 268 *pixel, from which the pedodiversity index  $D_{3D} = 1 - I_{3D}$  is calculated through the inverse of the three-dimensional Moran's  $I$ .*

269 **2.3.3 Three-dimensional convolutions**

270 Although the thermodynamic pedodiversity index was implemented as an integrated framework,  
 271 the spatial and vertical convolutions were computed independently and then merged into one.  
 272 This separation provided diagnostic insight into the relative weighting along each dimension,  
 273 allowing the model to be fine-tuned toward physical realism and to extract validation information.  
 274 The vertical component operates over millimeter- to centimeter-scales, whereas the spatial  
 275 component extends over meter- to kilometer-scale gradients. The local horizontal and vertical  
 276 interactions between pixels were modeled using a biweight convolution function defined as:

277

$$K_{xyz}(d) = \begin{cases} [1 - (d/h)^2]^2 & d > h \\ 0, & d < h \end{cases}$$

278 where  $d$  is the Euclidean distance in the vertical domain ( $K_z$ ) and the spatial domain ( $K_{xy}$ ), while  $h$   
279 is the bandwidth determining the maximum range of interaction. Both domains were subsequently  
280 normalized so that their integrated weights summed to one. This gives an almost symmetrical  
281 convolution, except where atmospheric forcing modified the weighting at the soil surface. The  
282 weights were combined through matrix algebra when implementing the algorithm. The biweighting  
283 was used because it provides a smooth, finite-support weighting function that emphasizes local  
284 interactions (Beaton and Tukey, 1974; Hengl et al., 2004), while preventing long-distance artifacts  
285 (Press, 2007).

#### 286 2.3.4 Atmospheric boundary

287 Many soil depth functions have considered how to handle boundary conditions of the profile.  
288 While an exact analytical spline will solve this boundary problem, additional functions can be used  
289 if atmospheric forcing is applied, soil profile exergy never becomes zero gradient and may have  
290 implications for things like numerical classification pedocomplexity.

291 Since the topsoil forms the physical boundary of many atmospheric–pedologic interactions (e.g.,  
292 infiltration, evaporation and heat exchange), an atmospheric forcing term  $A(x, y)$  was introduced  
293 as a boundary condition:

295

$$\tilde{Z}_i(x, y) = \sum_{j=1}^n W_z(i, j) Z_j(x, y) + \alpha W_z(i, 1) A(x, y)$$

294

296 where  $Z_j = X_j - \bar{X}$  represents deviations of soil property  $X_j$  from its mean,  $\alpha$  is the coupling  
297 coefficient controlling the magnitude of atmospheric influence and  $W_z(i, 1)$  diffusion through the  
298 surface to lower depths. This formulation is like the Robin boundary condition (Gustafson and Abe,  
299 1998), which combines flux and state terms to represent the exchange of energy or mass across  
300 boundaries. Here, it allows surface energy and moisture fluxes to be transported, stored and  
301 transformed through the soil profile, linking atmospheric variability directly to sub-surface  
302 processes.

### 303 2.3.5 Objective function

304 The objective function used in this study equates to the inverse 3-dimensional Moran's I. Which is  
305 the process that scales to [0,1] and can be used as an index and the pedogenic exchange loss  
306 function in pedocomplexity. However, it needs to be accumulating at each pixel and energy  
307 minimizing. Additionally, the function must calculate the patterns of spatial heterogeneity where  
308 it essentially collapses back into an energy form. This effectively makes it consistent in the  
309 framework, the spatial lag of variance represents pedodiversity and increases computation as the  
310 function simplifies.

311 For the objective function, we need the interaction energy density where local interactions  
312 accumulate:

$$313 \quad E = \frac{1}{V} \sum_{i,j} J_{ij} s_i s_j$$

314 Where  $s$  is the variable state,  $J_{ij}$  is the coupling strength between the two states normalized by the  
315 volume. When defining the local Moran's I for the 3-dimensional convolution ( $I_3$ ) and reframing it  
316 for energy dissipation, we get the equation:

317 
$$\sigma(x, y) = 1 - (I_3) = 1 - \frac{\sum_{i=1}^n \tilde{Z}_i(x, y) \tilde{Z}_{i,local}(x, y)}{n \cdot Var(X)(x, y)}$$

318 Here,  $\tilde{Z}_{i,local}$  represents the horizontal mean of the standardized property,  $\tilde{Z}_i$  using the spatial  
319 weights and  $Var(X)$  denotes the local variance computed across depths ( $n$ ) within the vertical  
320 weights.

321 Related to the interaction energy density, the  $\tilde{Z}_{i,local}$  interacting state, the  $\tilde{Z}_i$  the state variable and  
322 normalized by  $n \cdot Var(X)$ . As we are calculating the from the data over the dimensions, summation  
323 calculates the strength of coupling. Most importantly, it collapses back to a Dirichlet energy when  
324 summed across space.

325 
$$E = \int |\nabla Z|^2 dx$$

326 Thus, fitting the requirements for the thermodynamic pedodiversity objective function within the  
327 broader context of pedocomplexity.

328 The pedocomplexity objective function would ideally be linear solvable. However, the inverse  
329 Moran's I equation is deterministic and computationally efficient. Therefore, it could be used as an  
330 interpretable index and used in a more complex function to solve pedocomplexity, thus lowering  
331 the computational cost for large areas. This makes it ideal for both an index and for later  
332 computation as it solves the process and is visually interpretable without manipulation.

### 333 2.3.6 Implementation

334 The framework was implemented in GEE to ensure computational scalability across large spatial  
335 extents. The pedodiversity index was computed using a soil property image cube harmonized to  
336 six standard depths. The soil properties were all converted to global z-scores per depth, where the

337 mean equals 0 and standard deviation equals 1. Global z-scores were used to ensure each  
338 property contributes equally, scale invariant and to ensure physical meaning.

339 The horizontal domain had a maximum range of  $h_m = 1,500$  m with a radius of 7 pixels and a spatial  
340 scale of 30 m, representing lateral field-to-field influence distances. The vertical domain used  
341  $h_z = 25$  cm as the effective correlation length between soil horizons, consistent with observed  
342 horizon thicknesses and infiltration depths. Atmospheric coupling ( $\alpha = 0.75$ ) was applied only to  
343 the surface horizon to simulate boundary energy fluxes driven by surface temperature or  
344 precipitation and releasing exergy. Land surface temperature was obtained from cloud-masked  
345 Landsat 8 and 9 (USGS, 2021) imagery using the median composite for 2024, while precipitation  
346 data were obtained from NASA's Global Precipitation Measurement (GPM) mission, specifically  
347 the Integrated Multi-satellite Retrievals for GPM (IMERG) product (Huffman et al., 2023), by  
348 summing the total precipitation for the year 2024.

## 349 2.4 Validation and evaluation

350 Both quantitative and qualitative analyses were conducted on the multi-dimensional  
351 pedodiversity index. Quantitatively, we evaluated how the vertical, spatial and atmospheric  
352 coupling components behaved in physically plausible ways and how much of the observed  
353 variation was explained by intrinsic soil properties. Qualitatively, we examined whether the  
354 patterns identified by the index aligned with documented traditional practices, drawing on local  
355 dryland farming literature, anthropological studies and Indigenous ecological knowledge of the  
356 region. Integrating these perspectives was essential to interpret the pedodiversity patterns within  
357 their cultural and environmental context and without such knowledge, our understanding of the

358 past and present soil conditions remains incomplete, and our ability to adapt to future climate  
359 change is fundamentally limited (Whyte, 2013).

## 360 3 Results and discussion

361 We developed a thermodynamic pedodiversity index that integrates eight soil properties along with  
362 their depth continuity through a 3-dimensional convolution to quantify soil state pedodiversity  
363 across multiple scales. This approach treats pedodiversity as an energy-density distribution,  
364 capturing how exergy gradients are arranged and dispersed throughout physical space. By moving  
365 beyond layer-based or taxonomic frameworks, this multidimensional method provides a  
366 mechanistic representation of soil structure, offering a foundational perspective on soil systems  
367 and the management decisions shaping diverse agroecosystems in the U.S. Southwest.

### 368 3.1 Vertical and spatial structure

369 The convolutions revealed a strongly stratified pattern of soil energy exchange. The upper 5–30 cm  
370 formed the dominant zone of vertical coupling (Table 2), acting as an interface where atmospheric  
371 interactions, anthropotropic activity, organic matter inputs and bioturbation generate high spatial  
372 heterogeneity. Below ~60 cm, the strongly decoupled state reflects a shift toward a  
373 thermodynamic and structural steady state, where minimal energy exchange preserves inherited  
374 contrasts in texture and compaction, and biological contributions to surface-driven ecosystem  
375 functions are greatly reduced (Wang, 2010). These deeper layers exert long-term influence on  
376 rooting depth and drought buffering but play a smaller role in short-term cultivation dynamics.

377 *Table 2: Vertical weight bisquared matrix from purely soil properties*

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	0-5 cm	5-15 cm	15-30 cm	30-60 cm	60-100 cm	100-200 cm
--	--------	---------	----------	----------	-----------	------------

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0-5 cm	0.511	0.423	0.066	0	0	0
5-15 cm	0.436	0.418	0.235	0	0	0
15-30 cm	0.075	0.325	0.579	0.021	0	0
30-60 cm	0	0	0.035	0.965	0	0
60-100 cm	0	0	0	0	1	0
100-200 cm	0	0	0	0	0	1

378

379 When atmospheric forcing was incorporated through land-surface temperature and precipitation  
380 coupling at the surface boundary, the convolution emphasized the 5–15 cm horizon as the primary  
381 energy mediator rather than the boundary 0-5 cm layer (Table 3). This suggests that the topsoil acts  
382 as a rapid transient layer, whereas the subsurface horizon functions as the primary buffer, storing  
383 and redistributing moisture and heat with delayed release acting as a stabilizing feature in drought-  
384 prone agricultural systems. Nevertheless, the 0–5 cm layer can shift to a primary energy-input zone  
385 for water and nutrients during germination, illustrating how soil multifunctionality emerges  
386 dynamically in response to plant establishment in dryland systems.

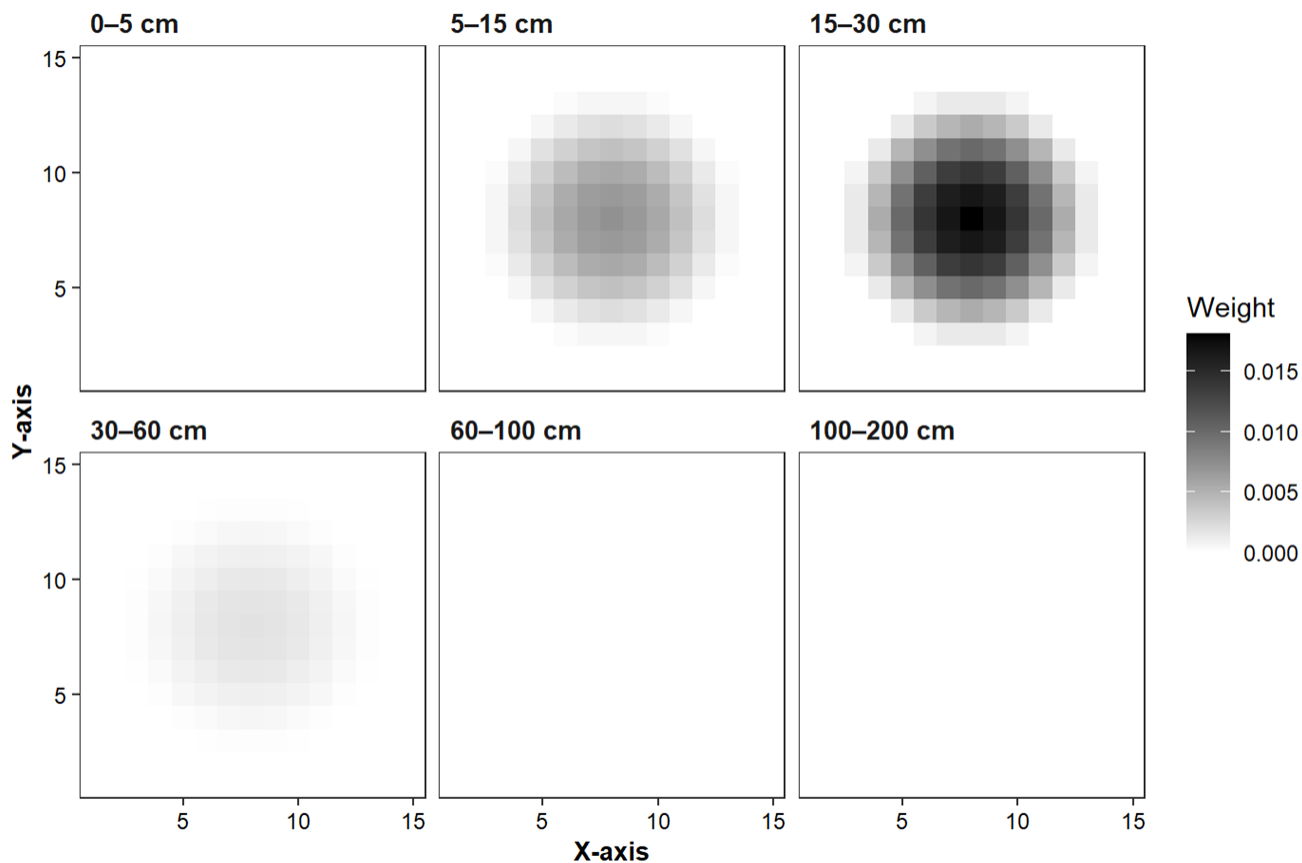
387 *Table 3: The combined vertical weights incorporating influences from the atmosphere and the soil.*

	0-5 cm	5-15 cm	15-30 cm	30-60 cm	60-100 cm	100-200 cm
0-5 cm	0.239	0.658	0.103	0	0	0
5-15 cm	0.137	0.552	0.311	0	0	0
15-30 cm	0.024	0.344	0.611	0.022	0	0
30-60 cm	0	0	0.035	0.965	0	0
60-100 cm	0	0	0	0	1.000	0
100-200 cm	0	0	0	0	0	1.000

388

389 Spatial convolution patterns further reinforced this structure (Figure 4): high surface connectivity,  
390 peak process diversity at intermediate depths and reduction toward deeper horizons. Functionally,  
391 this identifies the zone of maximum agricultural responsiveness, the horizons most critical for crop  
392 establishment, root exploration and moisture capture in arid environments. It should be noted that

393 the convolution weights represent the relative intensity of vertical and lateral energy exchange;  
394 however, a single convolution configuration was applied uniformly across all sites.



395

396 *Figure 4: spatial and vertical interactions, note that there is a kernel applied through all depths, and this shows where the energy*  
397 *appears to be interacting.*

398 The observed differences among depth layers arise from the soil property distributions themselves  
399 rather than from learned or adaptive convolution weights as used in deep learning or neural  
400 network architectures. In this framework, the convolution acts as a physics-informed coupler, not  
401 a data-trained filter, allowing soil heterogeneity relevant to exergy potential to emerge directly from  
402 the underlying soil matrix. Figure 4 illustrates the base convolution form and how its interactions  
403 reveal shifts in soil characteristics to soil processes across the landscape.

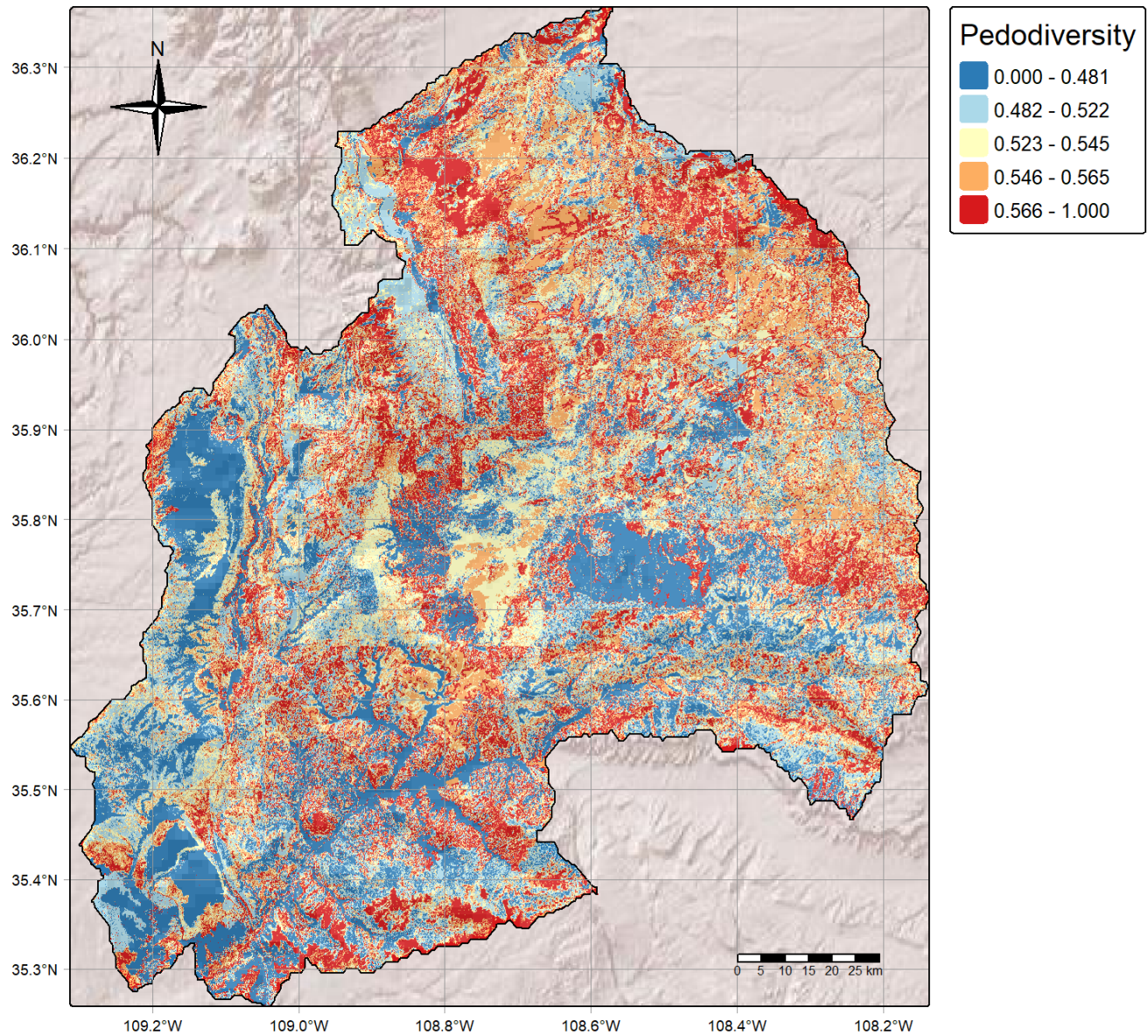
## 404 3.2 Spatial interpretation

405 The pedodiversity index align closely with areas historically associated with Ancestral Pueblo  
406 agriculture and long-term resilient farming traditions, reinforcing the connection between soil  
407 heterogeneity and adaptive land management (Pawluk, 1995). However, when interpreting a  
408 second-order voxel diversity index, it is important to recognize that higher values represent greater  
409 diversity in soil exergy states and composition, not necessarily more favorable conditions for a  
410 specific function or crop. In this context, pedodiversity reflects the potential for resilient outcomes  
411 rather than a direct measure of fertility or productivity (Handayani and Prawito, 2010). In other  
412 words, it represents the variety of choices present at a given location.

### 413 3.2.1 Chuska Mountains

414 The southern Chuska Mountains, the southern basin and its piedmont (Figure 5) span lands long  
415 inhabited by the Navajo peoples, where the soil and landforms have shaped, and been shaped by,  
416 diverse land use traditions (Pawluk, 1995). Along the northeastern slopes and piedmonts, soil of  
417 high pedodiversity (>0.50) developed where volcanic and sandstone parent materials merge  
418 through colluvial–alluvial processes across steep topographic gradients (Reneau et al., 1996;  
419 Seager et al., 1987). This heterogeneity, combined with contrasting moisture and temperature  
420 regimes, created many ecological niches from the timbered ridges to the cultivated footslopes  
421 once supporting maize, bean and squash gardens connected to the Chaco Canyon network  
422 (Doolittle, 2000; Vivian and Hilpert, 2012). At higher elevations, timber resources rather than

423 agriculture dominated, with the diversity of soil reflecting climate and forest–soil interactions more  
424 than intensive land management (Allen et al., 1998; Huckell, 1996).



425

426 *Figure 5: spatial thermodynamic pedodiversity index of the southern Chuska Mountains and southern basin.*

427 Southward, the Chuska Basin transitions to a low-pedodiversity landscape (<0.50) where aeolian  
428 sands, episodic rainfall and the shadow of the northern volcanic crest constrain soil development  
429 and vegetation complexity (McFadden et al., 1998; Seager et al., 1987). Within this more uniform

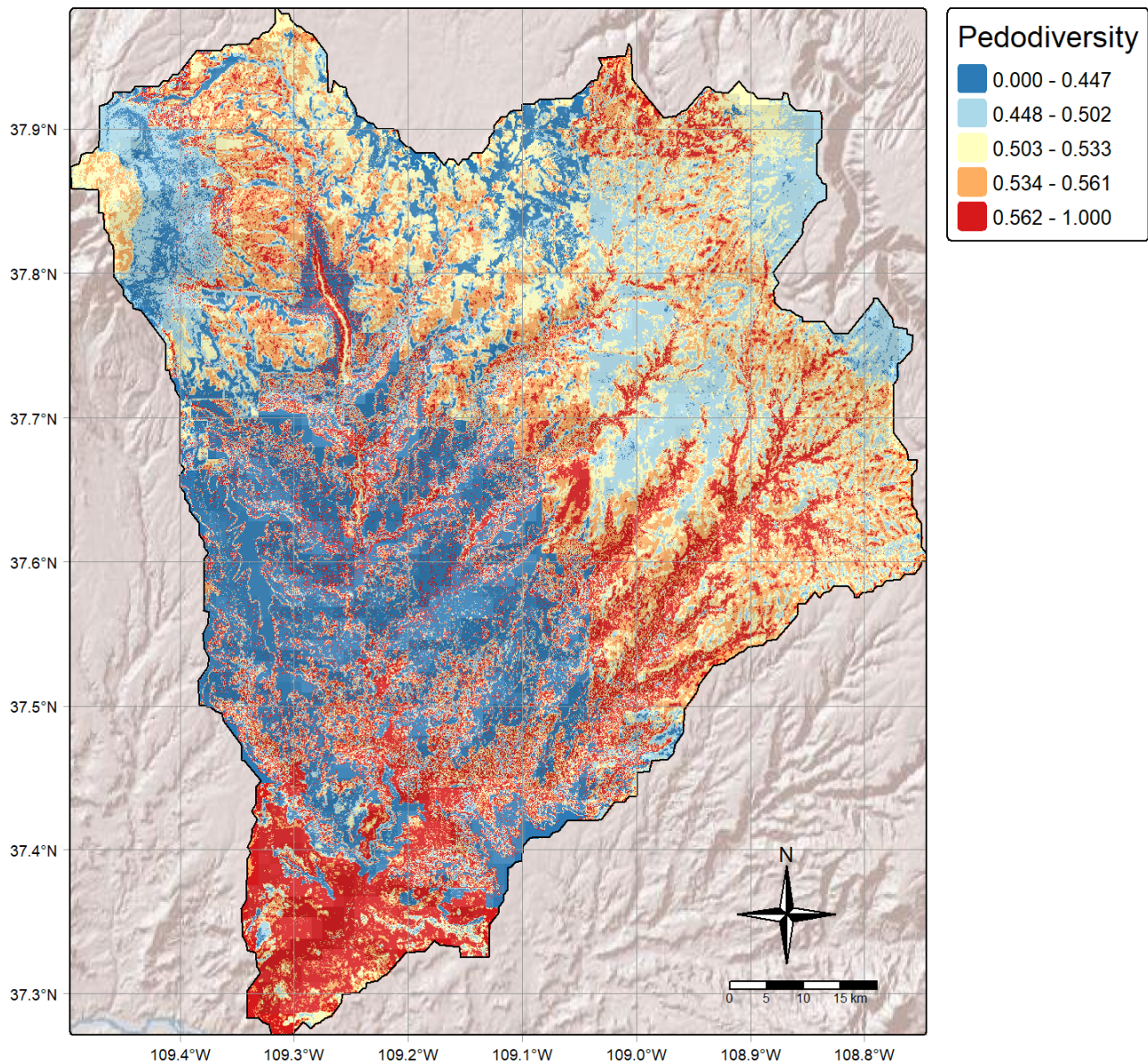
430 terrain, the Navajo pastoral tradition remains sustainable; an ecological adaptation emphasizing  
431 mobility, grazing flexibility and stewardship of scarce resources (Redsteer et al., 2018; Weisiger,  
432 2004). The pedodiversity contrast between the mountain flanks and the basin floor thus parallels  
433 the entangled relationships of geomorphology, soil formation and cultural adaptation across this  
434 sacred and resource-rich landscape (Doolittle, 2000; Pawluk, 1995).

435 Importantly, this pattern also reflects how differences in soil multifunctionality shaped land-use  
436 strategies: high-pedodiversity zones supported diverse cultivation niches, whereas low-  
437 pedodiversity soil favored pastoral systems better suited to limited resource options. However, the  
438 Chuska landscape exhibits a high degree of patchiness (Gini coefficient = 0.71). Although the  
439 reasons for the reorganization of Chaco Canyon around 1100 A.D. remain debated, the  
440 unpredictability of these soil niches would likely have made crop production difficult to sustain  
441 during periods of environmental stress.

### 442 3.2.2 Great Sage Plain

443 The uplands surrounding the Great Sage Plains, north of Mesa Verde, exhibited moderate  
444 pedodiversity values ( $\approx 0.48$ – $0.54$ ) across broad loess-covered plains and low-relief valleys (Figure  
445 6). Unlike the deeply incised mesas to the south (Mesa Verde), this landscape consists primarily  
446 of Mancos Shale-derived soil mantled by wind-blown silt and fine alluvium, producing gently  
447 layered profiles with limited textural contrast (Harden and Taylor, 1983). These conditions favored  
448 large, relatively homogeneous fields capable of retaining moisture from seasonal snowmelt,  
449 supporting the extensive dryland agriculture practiced by Ancestral Pueblo communities during  
450 the later Mesa Verde period (Kohler et al., 2008). Pockets of higher pedodiversity appear along  
451 drainage heads and valley margins, where shallow colluvium and slope wash over shale enhanced

452 local water storage and nutrient heterogeneity. Such microenvironments likely provided greater  
453 cropping stability during drought cycles, helping to sustain maize-based agriculture at the  
454 northernmost frontier of Ancestral Pueblo settlement (Benson et al., 2007; Ortman, 2016).



455

456 *Figure 6: spatial thermodynamic pedodiversity index of the Great Sage Plains on the Colorado and Utah border.*

457 Compared with the high-pedodiversity of the Chuska uplands, where ash-rich parent materials  
458 created abundant moisture niches, the Great Sage Plains strength lay in its spatial continuity and

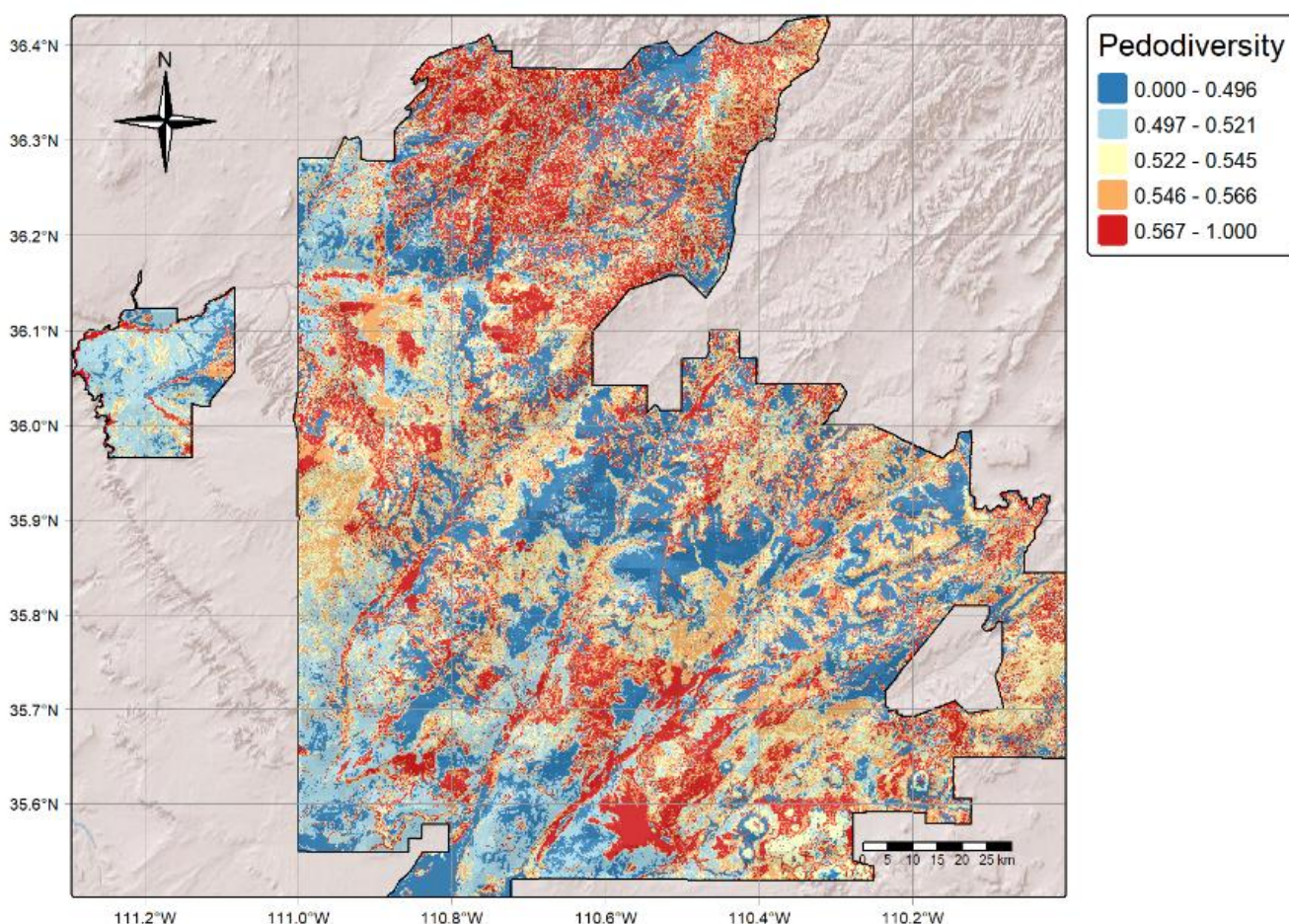
459 moisture buffering of uniform silty soil, enabling scale and labor efficiency rather than fine-  
460 resolution niche exploitation for cultivation (Harden and Taylor, 1983). It represents a functionally  
461 productive but less complex agricultural system; however, one more vulnerable to multi-year  
462 drought and shortened growing seasons (Benson, 2011). Additionally, the absence of spatial  
463 patterns in areas typically assumed to be agricultural land (e.g., fertile valley bottoms) would make  
464 soil niches difficult to utilize. The pedodiversity pattern thus helps explain both the intensity of late  
465 Ancestral Pueblo farming on the Great Sage Plain (Bocinsky and Kohler, 2014) and the sensitivity  
466 of this northern margin to climatic downturns that contributed to regional reorganization in the late  
467 13th century (Benson et al., 2007; Kohler et al., 2008).

### 468 3.2.3 Hopi Land

469 The Hopi Mesas and surrounding uplands exhibit moderate to high pedodiversity values ( $\approx 0.52$ –  
470  $0.56$ ), concentrated along mesa margins, valley breaks and colluvial footslopes (Figure 7). These  
471 areas reflect sandstone and shale parent materials, colluvial reworking and fine-scale topographic  
472 variation that together created a variety of soil textures and drainage conditions (Hack, 1942). Such  
473 heterogeneity has historically supported the remarkable agricultural and biological diversity of the  
474 Hopi landscape, one of North America's longest-inhabited dryland farming regions (Adler, 1996;  
475 Cameron, 1999; Whiteley, 2008).

476 The Hopi cultivate drought-tolerant blue, red and white corns alongside beans, squash, melons  
477 and medicinal herbs each adapted to subtle differences in soil conditions (Ferguson and Colwell-  
478 Chanthaphonh, 2006; Sekaquaptewa and Washburn, 2004). Areas of elevated pedodiversity  
479 correspond closely with traditional field systems where microtopographic and textural diversity  
480 enhance soil–water–plant interactions, buffering crops against drought and temperature extremes

481 (Berkes, 2017). These pedodiversity-rich zones form part of a broader biocultural hotspot, where  
482 long-term human stewardship and soil variability together sustain both agro-biodiversity and  
483 ecosystem resilience across centuries of arid-land cultivation (Kimmerer, 2013; Müller and  
484 Munroe, 2014; Muller et al., 2017).



485

486 *Figure 7: spatial thermodynamic pedodiversity index of the Hopi Land, Arizona.*

487 Across the regions, Hopi Land represent an intermediate position between the high-contrast  
488 landscape of the Chuska Mountains and the more homogeneous loessal surface of the Great Sage  
489 Plains. However, unlike the steep and climatically stratified Chuska slopes, Hopi soil occurred  
490 within a more stable, low-relief plateau environment, where subtle heterogeneity rather than

491 abrupt contrasts determined the thermodynamic diversity (Hendricks, 1985). It is thought that this  
492 caused a relatively more ordered pedodiversity, with clear patterns. The result was a balanced soil  
493 structure that sustains both resilience and crop productivity under arid conditions, with  
494 knowledge or identifiers of these patterns (Bousselot et al., 2017).

495 In contrast to the Sage Plain's broad, uniform agricultural surfaces, Hopi fields are intentionally  
496 distributed across exergy states ranging from sandy, fast-draining uplands to heavier alluvial  
497 footslopes, creating a variety of soil microenvironments that support exceptional agro-biodiversity  
498 (Cleveland et al., 1994; Rea, 1997). This adaptability, rooted in the Hopi's knowledge of soil and  
499 topographic variation, mirrors the broader pattern observed across the Southwest: an  
500 understanding of soil niches and the soil system is often more critical for maintaining a resilient  
501 agroecosystem than the absolute level of pedodiversity or whether a culture relies on a crop-  
502 dominant or pastoral system (Armstrong et al., 2021; Redsteer et al., 2018). Consequently,  
503 pedodiversity is thought to support only a portion of soil multifunctionality, largely independent of  
504 cultural factors, though concepts such as pedocomplexity may offer a more integrated  
505 perspective.

### 506 3.3 Implications and limitations

507 The framework provides a quantitative bridge between pedodiversity, ecosystem services and  
508 food-system resilience. By representing soil as a coupled continuum, the approach identified  
509 zones of intrinsic interactions that may serve as biophysical indicators for resilient agriculture and  
510 climate adaptation planning with the help of continuous indigenous knowledge (Bünemann et al.,  
511 2018; Dominati et al., 2010). The explicit physical formulation also enables integration with a  
512 broad range of scientific disciplines from physics to economics, providing a scalable tool for

513 extrapolating resilience potential in contemporary agroecosystems facing intensifying climatic  
514 stress. At the fundamental level, it shows the diversity of soil and highlights benefits and possible  
515 misconceptions of pedodiversity. However, it is a second-order multidimensional continuous form  
516 of the index, which may be difficult to interpret.

517 This study revealed that the spatial pattern of pedodiversity is just as important as pedodiversity  
518 itself. Although thermodynamic pedodiversity incorporates multiple dimensions and represents a  
519 second-order pedodiversity index, societies with long histories of resilient agriculture appear to  
520 have made land-use decisions based on higher-order states beyond pedodiversity alone,  
521 consistent with the concept of pedocomplexity. This realization is important both mathematically  
522 and philosophically for resilience research. While current approaches move in the right direction,  
523 they remain incomplete and require a more rigorous foundation. Integrating mathematical  
524 frameworks with Indigenous ecological knowledge will be essential for advancing our  
525 understanding of agroecosystem resilience.

526 Therefore, several limitations should be acknowledged. First, this study assumes that societies  
527 made land-use decisions primarily to maintain agroecosystem resilience and soil  
528 multifunctionality, given the semi-arid, drought-prone environment that necessitates careful  
529 management of water, crops, and soils. Sites such as Hopi lands, with continuous cultivation over  
530 millennia, or regions with significant Ancestral Pueblo population growth, were selected in part to  
531 justify these assumptions. However, this framework does not account for other influencing  
532 factors, including agricultural diseases, cultural practices unrelated to agriculture, internal  
533 political pressures, geographic constraints, external conflicts and numerous other socio-  
534 environmental constraints. While site selection was intended to minimize these confounding  
535 influences, it cannot be assumed that all pressures were independent or concurrent. Further

536 research is needed to incorporate these additional dimensions into the pedocomplexity  
537 framework.

538 The framework identifies pedodiversity that may correlate with historical land use, but it does not  
539 claim to reconstruct the full decision-making, symbolic or social dimensions of Ancestral Pueblo,  
540 Navajo or Hopi agricultural systems (Handayani and Prawito, 2010; Kimmerer, 2013). Pedodiversity  
541 patterns provide biophysical context, not direct evidence of cultural intent. Moreover, ancestral  
542 land use strategies were guided by complex ecological knowledge, spiritual values and  
543 multigenerational adaptation that cannot be fully represented by soil processes alone (Berkes,  
544 2017; Reo and Whyte, 2012). Interpretations of past agroecosystems should therefore  
545 complement, not replace, Indigenous knowledge.

546 The framework measures thermodynamic diversity rather than explicit biological, chemical or  
547 agronomic functionality; thus, high pedodiversity does not necessarily equate to high fertility or  
548 crop productivity (Nielsen and Wendroth, 2003). Extensive evaluation is required to correlate it  
549 with soil morphological, crop yield, function and laboratory analyses in order to realize the full  
550 potential of thermodynamic pedodiversity and, ultimately, pedocomplexity. At a fundamental  
551 level, it can help explain aspects of soil multifunctionality together with exergy states and latent  
552 energy; however, evaluating these innovations requires a comparable level of effort.

553 The use of gridded national soil property datasets (e.g., POLARIS, gNATSURO) introduces  
554 uncertainties associated with interpolation and coarse resolution, which may obscure fine-scale  
555 heterogeneity that is critical for farm-level decision-making (Chaney et al., 2016). Third, while the  
556 biweight convolution provides a realistic finite-support coupling, it assumes isotropic distribution  
557 and may underestimate anisotropic behaviors such as preferential flow, layered permeability or

558 root-mediated transport (Bear, 1972; Jury and Horton, 2004) , all of which can strongly influence  
559 agroecosystems.

560 By quantifying pedodiversity directly through the 3-dimensional Moran's I, we establish a spatially  
561 explicit foundation that integrates seamlessly with our broader framework for assessing soil  
562 resilience and multifunctionality, providing a mechanistic basis for interpreting how soil structure  
563 and management practices interact across heterogeneous Indigenous dryland agroecosystems.  
564 Future work should develop a formal mathematical formulation of pedocomplexity and investigate  
565 how these thermodynamic states correspond to morphological features on indigenous lands that  
566 support resilient agroecosystems.

## 567 4 Conclusion

568 Understanding pedodiversity through a mechanistic, multidimensional framework provides a  
569 powerful means of linking soil thermodynamics to landscape scale patterns that support food  
570 production and long-term agroecosystem resilience. By quantifying the spatial-vertical variation  
571 and rare states of soil processes, this approach identifies zones of high multivariate variability,  
572 where thermodynamics broadens the range of soil niche identification. At the same time, it  
573 clarifies how societies have historically adapted to these gradients: from the mobile, drought-  
574 responsive pastoral systems of the Navajo to the selective crop breeding and field placement  
575 strategies of the Hopi that have sustained dryland agriculture for millennia, to the agricultural  
576 intensification on more homogeneous soil that may have contributed to the abrupt reorganization  
577 of the Mesa Verde Ancestral Pueblo. Most importantly, pedodiversity demonstrates that it is not  
578 diversity per se that drives land-use decisions, but the spatial patterns of pedodiversity reflecting

579 higher-order processes that govern soil resilience and multifunctionality (i.e., pedocomplexity).  
580 Modern agricultural and conservation strategies can benefit from recognizing that these soil  
581 heterogeneity patterns form the biophysical foundation of productive, resilient food systems, just  
582 as they have for Indigenous agroecosystems in the U.S. Southwest. In this way, pedodiversity  
583 highlights soil not merely as a substrate for crop production, but as a dynamic archive of human–  
584 environment interactions, offering guidance for sustainable farming into the future.

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