

1 **An increase in animal diversity was facilitated by ecologically-driven brain**
2 **complexity throughout the Cambrian**

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11

12 **Abstract**

13 The Cambrian Explosion is often seen as a singular event requiring an explanation. In fact,
14 it is better represented as a cascade of linked events, each with numerous causes. The iconic
15 middle Cambrian fauna, represented by sites such as the Burgess Shale, is a culmination of
16 several phases of increases in taxonomic diversity and morphological complexity. I focus on an
17 often-overlooked increase in complexity that took place in a limited number of phyla in parallel
18 after the main “explosion”. This increase in morphological complexity and in disparity was
19 facilitated by an increase in the complexity of the central nervous system, which in itself was a
20 selective response to the ecological complexity of the biosphere, which had been increasing from
21 the late Ediacaran. Genetic regulatory components that contributed to an increasingly
22 differentiated and regionalized central nervous system were developmentally co-opted to
23 increase the differentiation and complexity of additional organ systems. This process took place
24 convergently in arthropods, mollusks and annelids at different times throughout the Cambrian
25 and, later in the Ordovician, also in vertebrates.

26

27

28 **Introduction**

29 The period between the late Ediacaran and the early Cambrian (roughly 550-520 million
30 years ago) is the most dramatic period in the evolution of animal life on Earth [1-4]. This period
31 represents a sequence of increases in animal complexity and diversity, during which the
32 biosphere transitioned from including a low diversity of mostly sessile suspension or bottom
33 feeders to a world with numerous animal body plans occupying a dynamic tiered ecosystem with
34 diverse feeding modes, comprising a range of motile animals moving using different modes of
35 locomotion in different spaces [5-7]. This transition is usually referred to as the Cambrian
36 Explosion. However, it is becoming increasingly clear that this term is a misnomer, since it is
37 neither exclusively Cambrian nor a true explosion [8-12]. The roots of the explosion are in the
38 Ediacaran [13-19], and the increase in animal diversity continued into the Ordovician [9,20,21]

39 Over the years, there have been many attempts to explain the “cause” of the Cambrian
40 Explosion [8,15,22-32]. These explanations have come from different disciplines and often disagree,
41 because each explanation tries to pinpoint the driving force behind a specific event or process
42 that took place within the period spanning the late Ediacaran and early Cambrian. While most of
43 the attempted explanations are probably correct for the specific event or process they focus on,
44 no one explanation can fully explain the entire transition.

45 Indeed, the events spanning the border between the Ediacaran and Cambrian are better
46 represented as a cascade. Rather than a single explosive event, we should be thinking about a
47 series of causally linked events or processes. Each of these is dependent on the ones before it and
48 lays the ground for the ones after it. The sequence of events forming what can be called the
49 Cambrian Cascade, include both abiotic changes and biotic changes [4]. In many cases, the abiotic
50 changes are driven by biotic events, and vice versa (e.g. changes in the chemistry and
51 sedimentation of the sea floor were driven by changes in the behavior of animals occupying the
52 sea floor). Many of the events or processes making up the Cambrian Cascade were general to the
53 entire biosphere, and thus were presumably driven by global changes. Some can be seen as
54 “lineage-internal”, meaning they occurred within a specific lineage of animals (e.g., the
55 evolution of a specific innovation at the origin of a certain clade [33]), whereas others can be seen
56 as “lineage-interactive”, meaning they were driven by interaction between organisms of different

57 lineages, ultimately affecting both (e.g., predator-prey evolutionary arms races or competition for
58 resources).

59 When we look at the distribution of diversity in the animal kingdom, it is clear that it is not
60 distributed evenly. Only a handful of phyla have more than a few thousand species. Furthermore,
61 the phyla that are today the most speciose (Arthropoda, Annelida, Mollusca, and Chordata) are
62 also the ones that display the most complex body plans (manifested by *e.g.* segmented and
63 regionalized bodies, complex centralized nervous systems, highly differentiated digestive
64 systems, complex excretory systems etc.) I will argue that the early stages of the Cambrian
65 Cascade affected almost all lineages within Bilateria, consolidating the general bilaterian body
66 plan, whereas later stages affected only specific lineages – those lineages that display higher
67 complexity and higher diversity (See Text Box 1 for a discussion of defining and identifying
68 complexity). Evolutionary events that drove an increase in complexity in a small number of
69 lineages, ultimately led to an increase in taxonomic diversity of those lineages. The late
70 evolution of the complexity of these lineages, strongly suggests an independent process in each
71 one, while the similarity in process and outcome suggests a shared driving force, which may
72 have included interactions among different lineages.

73 I will briefly review some of the central steps of the cascade, pointing out which were
74 global, and which were lineage specific or interactive. I will then focus on the step that I suggest
75 led to the convergent increase in complexity and diversity in three of these four lineages, and
76 suggest a novel testable hypothesis for the cause of these increases.

77

78 **The Cambrian Cascade**

79 *Wormworld*

80 When did the Cambrian Cascade begin? As with any continuous event, choosing a starting
81 point is somewhat arbitrary. Since the Cambrian Explosion is usually seen as an explosion in the
82 evolution of Bilateria, I think it is reasonable to place the beginning of the Cascade at the late
83 Ediacaran, in the so-called Wormworld of the Nama epoch^[15]. While the earlier Ediacaran fauna
84 includes within it organisms that are generally accepted to be the ancestors of bilaterians^{[14,16,34-}
85 ^{38]}, the Wormworld fauna includes the first undisputed prevalence of motile Bilateria.

86 The Wormworld fauna includes small animals, with either light mineral or organic
87 exoskeletons. They appeared about 550 million years ago (Ma), probably in the wake of a mass
88 extinction event^[15,39,40]. Most of them were probably motile, moving along the sea floor and
89 grazing on the bacterial mat that covered it. The fossil record of this period also includes trace
90 fossils supporting the existence of a plethora of worm-like animals moving along the ancient sea
91 floor^[13,14,16,41,42].

92 *Bilaterian genetic toolkits and Urbilateria*

93 These early bilaterians likely had a through gut^[17,43]. Indeed, the through-gut has been
94 suggested to be a specific adaptation to the type of life style that includes moving through a food
95 source^[30], as was probably the case for most Wormworld fauna. At the molecular level, it is
96 highly likely that they already had a Hox cluster, with a division into (at least) anterior, central
97 and posterior class Hox genes^[30]. At the regulatory level, they already had distal enhancers,
98 which allow a more complex and fine-tuned regulation of gene expression^[44-46].

99 There is an important point (even if seemingly trivial) to be made about this view of the
100 Wormworld fauna. If the crown group of Bilateria already appeared by this point – and there is
101 good reason to believe that it did^[16,17,47,48] – any increases in complexity beyond the basic
102 bilaterian body plan must have occurred independently in each separate lineage. Somewhere
103 within the Wormworld fauna was the actual *Urbilateria* – the common ancestor of all extant
104 bilaterians^[49]. Over the years there has been a debate how complex *Urbilateria* actually was<sup>[50-
105 54]</sup>. There is no reason to assume it was significantly more complex than its contemporaneous
106 worms. It thus follows that all bilaterian characters not found in Wormworld are lineage-specific
107 autapomorphies.

108 *Three-dimensional ecosystems*

109 The next major step in the cascade occurred at the beginning of the Cambrian. The official
110 base of the Cambrian at 538.8 Ma is defined as the first appearance of trace fossils that penetrate
111 the surface^[4]; the first animals that live in a three-dimensional world^[28]. These fossils are
112 indicative of a change in substrate utilization. Rather than only living on the microbial mat they
113 fed on, animals started burrowing more deeply. This behavior disrupted the microbial mat,
114 ultimately leading to the decline of microbial mats as a significant ecosystem, in what is often

115 termed the “Cambrian substrate revolution”^[3,55-57]. The disruption of microbial mats led to the
116 extinction of the fauna that relied on it and to the disappearance of the Nama Wormworld^[15,27,40].

117 The number and diversity of penetrating trace fossils increased significantly during the first
118 stage of the Cambrian, known as the Fortunian, indicating that changes in behavior were taking
119 place in several different bilaterian lineages^[42,58]. This increasingly complex behavior paved the
120 way for increasingly complex ecological interactions.

121 *Predation and tiered ecosystems*

122 Several other significant events took place at roughly the same time throughout the
123 Fortunian. The sequence and interdependence of these events is not always clear and they appear
124 to have occurred very rapidly^[4]. The fossil record sees an increase in the diversity of small shelly
125 fossils; fragments of exoskeletal structures, defensive spines, claws, feeding organs etc.^[59]. The
126 increased abundance of small shelly fossils is usually interpreted as indicating a rapid
127 evolutionary arms race linked to the appearance of predation and of predator defense
128 mechanisms^[60]. There is evidence of some predation in the Nama^[28], but it only became
129 widespread and a significant evolutionary factor in the Cambrian^[61].

130 There are very few complete body fossils of the animals of the Fortunian. Nonetheless, we
131 can reasonably speculate that this stage saw an increase in cephalization and in body support
132 structures, including both biomineralized skeletons^[29,62] and coeloms^[63]. Stem-group members of
133 most extant phyla, as well as crown-group members of several phyla probably appeared by the
134 end of the Fortunian^[7,63]. These stem and crown-group members are recognizable by the
135 existence of some or all of the defining synapomorphies of the phyla.

136 From a molecular regulatory point of view, this stage probably saw an increase in the
137 complexity of chromatin structure and gene regulation^[45,46,64]. However, most of the molecular
138 machinery responsible for the events of the Fortunian is likely to have appeared in the Nama^[8].

139 *New body plans, new ecologies*

140 The next stages of the early Cambrian are not formally defined and are referred to
141 informally as Stage 2 (Beginning at 530 Ma) and Stage 3 (beginning at 521 Ma). These stages
142 see an increase in the diversity of small shelly fossils^[59], followed by an increase in diversity of
143 body fossils, representing members of numerous different bilaterian lineages^[4]. For example,

144 trilobites first appear in the fossil record at the very beginning of Stage 3^[65], indicating that the
145 arthropod body plan had already been assembled by this point. The first putative crown annelid
146 *Dannychaeta tucolus* also dates to this period^[66]. Many of the famous sites of exceptional
147 preservation, representing the iconic Cambrian fauna are from Stage 3 (e.g. *Kerygmachela* and
148 *Halkieria* from the Sirius Passet and *Leanchoilia* and *Maotianchaeta* from Chengjiang)^[67,68]. The
149 fauna represented in these sites includes representatives of different lineages with varied body
150 plans, occupying benthic, endobenthic and nektonic habitats. It includes a diversity of predators,
151 suspension feeders, detritovores, herbivores and more^[68], indicating a much more complex and
152 tiered ecosystem than before.

153 The increase in diversity and ecological complexity that is evident in the fossil record of
154 Cambrian Stage 3, is different from the increases seen in earlier periods. Unlike the first pulses
155 of increase in diversity of the Nama and Fortunian, which were manifested throughout Bilateria,
156 this increase in complexity and diversity only covered a few phyla^[69]. This is best demonstrated
157 by the dramatic and rapid increase that occurred in arthropods and their close relatives.
158 Arthropods are completely absent from the body fossil record before the appearance of trilobites
159 521 Ma^[65], although several earlier trace fossils have been interpreted as belonging to
160 arthropods. Trilobites display the full complexity of the arthropod body plan. If their absence
161 from the earlier fossil record is not an artifact, then the arthropod body plan was assembled very
162 rapidly in the few million years before their first appearance in the fossil record^[65].

163 The middle Cambrian, represented by the iconic Burgess Shale fauna^[1,2], among others,
164 sees a turnover in the dominant lineages, but there are no phylum-level body plans that appear
165 later than the middle Cambrian. There are further increases in diversity, notably in the early
166 Ordovician^[20,21], but the main increases in morphological complexity are by and large complete
167 by this point (but see the vertebrate exception below).

168

169 The Brain-First Hypothesis

170 An increase in complexity can drive an increase in diversity due to the ability to evolve
171 specialized organs (see Box 1), and thus occupy an increased range of niches through taxon-
172 specific adaptations of specialized organs. However, this is not a requirement, and diversity can
173 increase without an increase in morphological complexity. A case in point are the nematodes,

174 which are arguably the most species-rich phylum, while maintaining a morphologically simple
175 and conserved body plan^[70]. Similarly, priapulans probably reached their peak diversity in the
176 middle Cambrian, but remained relatively simple animals, without strongly differentiated or
177 specialized organs^[71]. Another example is the brachiopods, which also increased in diversity over
178 the Cambrian, but despite an increase in species number, did not evolve new or complex organ
179 systems^[72].

180 Conversely, the four phyla in question, Arthropoda, Mollusca, Annelida and Chordata,
181 show a significant increase in complexity, followed shortly by an increase in diversity. In all four
182 phyla, the increased complexity is manifested by most, if not all, of the following: a complex
183 centralized nervous system composed of a ladder-like trunk nervous system and an expanded
184 anterior brain, diverse sensory organs with a peripheral nervous system, a complete coelom often
185 with distinct compartments, a circulatory system providing nutrition and oxygen to peripheral
186 tissues, dedicated excretory organs linked to the circulatory system, a differentiated digestive
187 system with a separation between digestion and absorption, and differentiated muscle bundles
188 responsible for different types of movement and locomotion^[73,74].

189 The increase in complexity and diversity is most notable in the arthropods, where a
190 complex segmented body plan is evident already in the early stem group^[75-77]. They rapidly
191 became the most diverse animal phylum; a status they have held from the Cambrian to the
192 present^[76,78]. Annelids and mollusks attained their complex body plan somewhat later, probably
193 by the mid-Cambrian, and increased in diversity more slowly. The annelid Cambrian fossil
194 record is extremely sparse, and it is not clear whether this is an outcome of a preservation bias, or
195 a true reflection of low diversity. Nonetheless, middle Cambrian annelids display the hallmarks
196 of the typical annelid body plan^[66,79-81]. The mollusk fossil record is significantly more
197 extensive, mostly due to their mineralized shells. The main lineages within Mollusca must have
198 split during the Cambrian or even earlier, since fossils of shells identified as belonging to
199 individual lineages are found from the early Cambrian and onwards^[82], although no body fossils
200 are known from this period. Mollusks then underwent a gradual increase in diversity throughout
201 the Cambrian and Ordovician^[82-84]. The chordates, and specifically the vertebrates, did not
202 increase in complexity or in diversity until the Ordovician^[85,86]. For the sake of the following
203 discussion, we will put the vertebrates aside, since their radiation cannot be disentangled from
204 their hyper-mineralized skeletons, and we will focus only on the three “invertebrate” phyla.

205 Despite differences in the dynamics and timing of the evolution of these three phyla, their
206 complexity is manifested in similar ways, such as the appearance of a ladder-like nervous system
207 with an expanded anterior brain, a regionalized digestive system, and in two of the three, also
208 segmented body plans^[87-89]. This raises the questions whether a similar selective force drove the
209 increase in complexity in all three, given the similarity in the outcome. If so, it is likely to have
210 been a global selective force that acted on these three phyla convergently, but not on others (or at
211 least, not as strongly). A selective force that works in parallel over time on several different
212 lineages implies a long-lasting ecosystem-wide phenomenon. This could be an abiotic factor, or
213 a change in the biological interactions within the ecosystem. I suggest that the main selective
214 force for the convergent increase in complexity was an increase in the need to respond to a more
215 complex environment and process numerous complex environmental inputs. This was a direct
216 outcome of the previous phases of the Cambrian Cascade, wherein the ecosystem became
217 increasingly tiered and the number of trophic levels and the degree of interspecific interactions
218 increased dramatically^[4]. Organisms needed to receive, process and respond to an increased
219 number of signals coming from different organisms and from different directions.

220 The outcome of this selective pressure was an improvement of sensory organs, and more
221 importantly, an increase in the size and differentiation of the central nervous system. In order to
222 process diverse sensory inputs, I suggest there was selection for the central nervous system to
223 become increasingly specialized and regionalized. Processing inputs from different sensory
224 organs was done in different parts of the central nervous system, and especially in the anterior
225 regions of the central nervous system – the brain. Integrating data from different sensory systems
226 required the evolution of additional regionalized sections of the brain, as did the execution of
227 complex behaviors responding to a range of stimuli. The core of the hypothesis I present herein
228 is that the brain was the first organ system to increase in complexity, and I refer to it hereafter as
229 the “Brain-First Hypothesis” (Figure 1).

230 The more complex brain was composed of more types of neural cells and was divided into
231 more individuated regions than in the ancestral condition. This regionalized and specialized brain
232 was achieved by an increase in complexity of the developmental program responsible for
233 forming the brain; developing a uniform structure requires much less regulation than developing
234 a differentiated structure. The selection for an increasingly complex brain was manifested as a
235 selection for more tightly regulated and nuanced gene expression patterns. I suggest that this

236 resulted in more complex and combinatorial regulatory regions in the cis-regulatory modules of
237 relevant genes, increased diversity of splice variants in these genes, more roles for non-coding
238 RNAs, and duplication and sub-functionalization of both regulatory genes and structural genes
239 involved in neurogenesis. It is these genes and the networks of interactions among them that
240 drive the development of the morphological plan of the brain, and the more complex they are, the
241 more complex the resulting brain will be^[46,90-92].

242 While the main driving force for this increased regulatory complexity was the requirement
243 for improved neural processing, it had additional far-reaching consequences. I suggest that once
244 these expanded regulatory toolkits and the novel networks emerging from them were in place,
245 they were rapidly and easily co-opted to regionalize and diversify additional organ systems. Co-
246 option is a well-known and described phenomenon in the evolution of novelties^[33,46,93-95].
247 Existing gene regulatory networks are re-activated or re-used in novel contexts to give rise to
248 evolutionary novelties. With the increased regulatory complexity, evolution had an “open field”
249 for numerous cases of co-option. I suggest that these co-option events allowed additional organ
250 systems to become more highly differentiated, providing the raw material for the increase in
251 general organismic complexity that occurred in the aforementioned three phyla throughout the
252 Cambrian.

253 A co-option of neural developmental pathways into other organ systems has already been
254 suggested for the evolution of the arthropod segmentation cascade^[88], and indeed for
255 segmentation in general. An increase in sensory functions as a driver of the Cambrian explosion
256 is also an idea that has been suggested in the past^[31,32], but has not gained traction. The Brain-
257 First Hypothesis suggests that segmentation is only one example of a broader phenomenon, and
258 suggests a mechanistic link between the increase in sensory functions and overall complexity.

259 More highly differentiated and regionalized structures and organs allowed an increase in
260 morphological disparity (the degree to which organisms within a lineage are morphologically
261 different from each other). Taxonomic diversity then increased through series of adaptive
262 radiations making use of novelties that appeared as a result of new regulatory elements being
263 recruited to new morphological structures^[33]. Thus, the final – but indirect – outcome of the
264 increased ecological complexity of the early Cambrian was an overall increase in taxonomic
265 diversity, with most of this diversity stemming from three phyla with increased complexity.

266 This process of increasing sensory sensitivity, neural complexity, and morphological
267 diversity probably did not occur at once, but in a gradual repeated feedback loop over an
268 extended period during the Cambrian. It remains an open question why this process did not occur
269 in other phyla, which were presumably experiencing the same ecological environment. Like
270 many things in evolution, chance events probably had a significant role^[1]. Perhaps these phyla
271 already displayed some unknown exaptation to processing complex sensory inputs, allowing
272 them to adapt and undergo an increase in neural complexity, with accelerating feedback driving
273 the process more rapidly in these lineages. It also remains unknown what drove the early
274 increase in diversity in morphologically less complex taxa (e.g. priapulans or brachiopods).
275 Intriguingly, these phyla with early increases in diversity, but no parallel increase in complexity,
276 declined with time (again, with the exception of nematodes).

277

278 **Implications and predictions of the hypothesis**

279 The hypothesis suggested above provides a simple explanation, based on first-principles,
280 for the increase in complexity and diversity seen in a limited number of animal lineages after the
281 main diversification of the early Cambrian. Furthermore, this explanation also allows us to
282 formulate a number of predictions and ways to test them, using molecular data from extant
283 organisms. These tests must allow us to differentiate between the Brain-First Hypothesis and
284 alternate hypotheses: that increased overall complexity evolved earlier (for an unknown reason)
285 and in turn repeatedly drove increased neural complexity (via an unknown mechanism), or that
286 complexity in all organ systems evolved more or less in parallel (under unknown selective
287 pressure).

288 First, we would need to test the link between increased morphological complexity and
289 regulatory complexity in the three phyla: Mollusca, Annelida and Arthropoda. Intuitively, this
290 link seems almost trivial, but it has never been empirically studied. We would expect to see the
291 morphological complexity manifested in higher diversity of a range of regulatory elements.
292 These should include not only regulatory genes such as transcription factors and signaling
293 molecules, but also cis-regulatory modules, non-coding RNAs, alternative splice variants in
294 structural genes and more. We should be able to distinguish between increases in regulatory
295 elements that occurred before the diversification of Bilateria and those that occurred only in

296 specific lineages by mapping the diversity of regulatory elements phylogenetically. This will
297 allow us to elucidate which increases in regulatory complexity are shared across large
298 phylogenetic distances and which have independently and convergently evolved in specific
299 lineages. We would expect to see the most significant lineage-specific increases in regulatory
300 diversity within the three focal phyla. The recent increased availability of high-quality genomes
301 from representatives of numerous phyla, makes this type of analysis an achievable goal.
302 Conversely, if there is no evidence for higher regulatory complexity in mollusks, annelids and
303 arthropods, this would weaken the link between morphological and regulatory complexity and
304 decrease support for the Brain-First Hypothesis.

305 Next, we would need to demonstrate that the increases in regulatory complexity evolved in
306 the nervous system first and that the expanded regulatory toolkit was co-opted to other
307 morphological structures. Under this assumption, we would expect the largest number and range
308 of regulatory elements to be found in gene regulatory networks involved in the development of
309 the brain in each of the three phyla. If complexity in other organs is a result of co-option of
310 regulatory elements that originally evolved in the nervous system, only some elements of this
311 neural-development toolkit should be found in the networks involved in developing other
312 complex organ systems. Furthermore, if this co-option occurred independently in different organ
313 systems, we would expect different regulatory elements to be found in the development of each
314 system. Finally, this subset of the regulatory toolkit used in different systems, would also be
315 different in each phylum. This would indicate that the co-option of regulatory elements occurred
316 independently not only in each system but also in each lineage. Conversely, if complexity in
317 different organ systems evolved independently of complexity of the nervous system (either
318 before or after it), we would find system-specific regulatory elements, and would not expect the
319 nervous system to display an unusual number or range of regulatory elements.

320 Finally, we would need to demonstrate that lineages that did not increase in complexity
321 during the Cambrian, e.g. Priapula, Brachiopoda, Platyhelminthes etc., maintain a basic
322 bilaterian regulatory toolkit representing the increase in complexity that occurred in the early
323 phases of the Cambrian Cascade. Studying these lineages from a comparative genomic
324 perspective should provide us with a baseline for what level of regulatory complexity was
325 presumably found in the early Cambrian, before the increase in complexity seen in the three
326 focal phyla. A phylogenetic comparison of the regulatory elements within these lineages should

327 show that they date back to the last common ancestor of Bilateria, or possibly to the last common
328 ancestor of one of the super-phyla (e.g. Ecdysozoa, Spiralia). We expect a much smaller number
329 of lineage-specific regulatory innovations compared with the more complex phyla.

330

331 **Concluding remarks**

332 Reframing the Cambrian Explosion as a cascade removes the need to find an “explanation”
333 for a singular event. Indeed, each phase in the Cambrian Cascade has its own cause (or several
334 causes). The iconic fauna of the famous fossil sites of the middle Cambrian is not a direct
335 outcome of an increase in animal diversity at the base of the Cambrian, but rather an outcome of
336 several pulses of increased diversity, throughout the early and middle Cambrian. This increase in
337 morphological complexity and in disparity was made possible by an increase in the complexity
338 of the central nervous system, which in itself was a selective response to the ecological
339 complexity of the biosphere, which had been increasing from the late Ediacaran. The molecular
340 fingerprints of this process can probably still be found in the most diverse animal groups that
341 exist today.

342

343

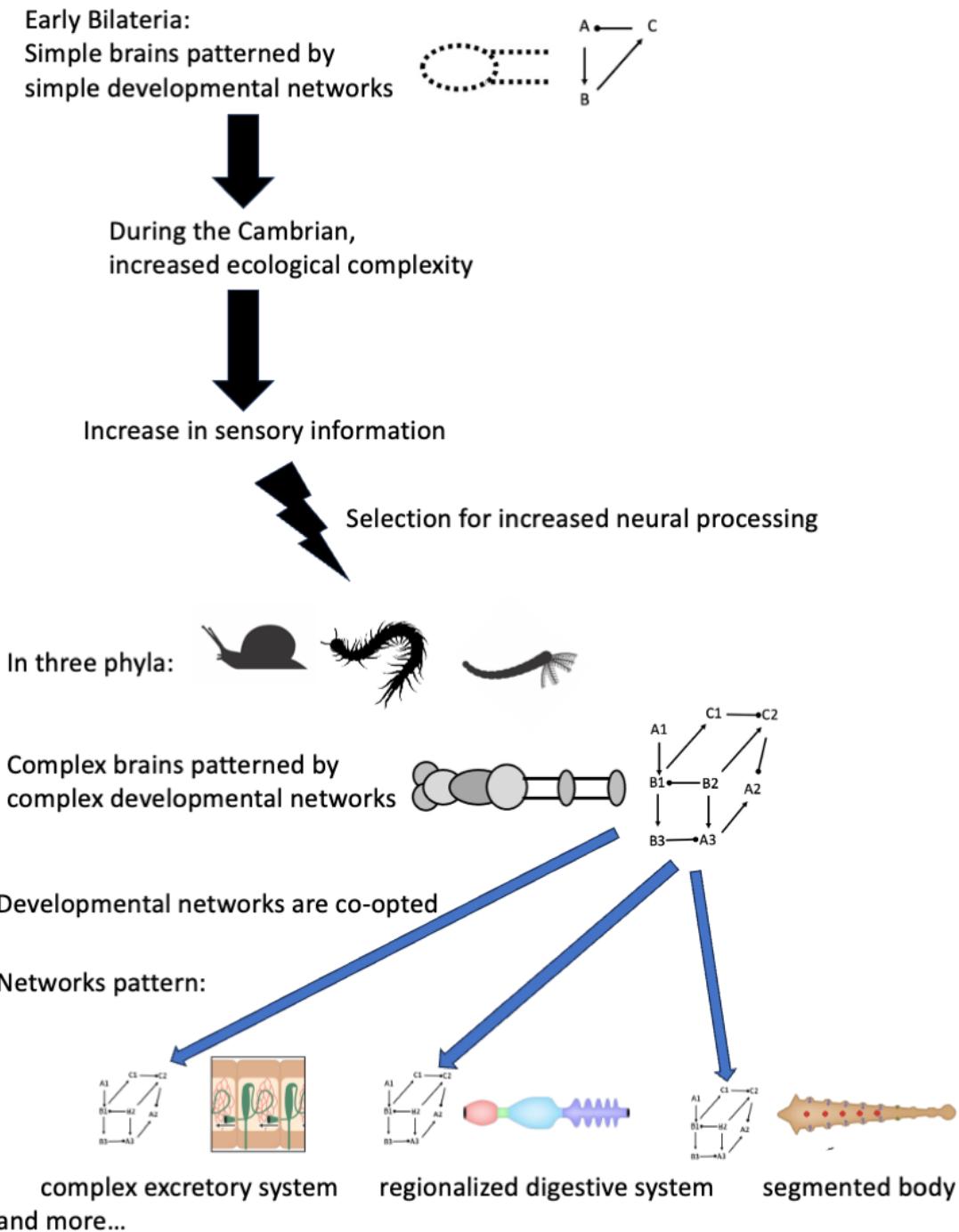
344 Text Box 1 – Defining and quantifying complexity

345 Since we are exploring the evolution of complexity, it seems prudent to put down a
346 definition of complexity. This point has been discussed and debated extensively for many
347 years^[92,96-99], and it is beyond the scope of the current contribution to cover this debate in
348 sufficient detail. Most approaches to quantifying complexity have to do with the number and
349 diversity of constituent parts, be it cell number or difference between segments in a segmented
350 organism^[99]. Within the framework of the current hypothesis, the increase in complexity I am
351 discussing involves an increase in the number and diversity of differentiated organs, in the
352 diversity of serially repeated organs and in the adaptive specializations of different organs. Under
353 this practical definition, a centipede is more complex than a nematode and a crayfish is more
354 complex than a centipede. At the level of individual organs, a multicellular metanephridium is
355 more complex than a single-celled protonephridium. Different organisms can display different

356 levels of complexity and different aspects of complexity in a modular fashion. Nonetheless,
357 organisms with higher degrees of complexity (e.g. arthropods, vertebrates) tend to display
358 complexity in several organ systems and characteristics at once.

359 Complexity is an inherent characteristic of biological systems in general and of the Earth's
360 biosphere in particular. However, complexity is expensive in terms of genetic and energetic
361 resources, and there is no a-priori reason to assume that complexity *per se* has any inherent
362 evolutionary advantage. There have been many attempts to model the conditions what would
363 lead to an increase in complexity over time^[92,96,100], and these are often based on emergent
364 phenomena or regulatory complexity, rather than on positive selection for complexity.

365 There is probably no single metric that can quantify the overall complexity of an entire
366 organism in such a way that one can map it on a phylogenetic tree as though it were a
367 quantitative character. Nonetheless, it is possible to identify lineages that are generally more
368 complex than other lineages, and to identify lineages wherein complexity increases over time,
369 based on some version of the practical definition given above. There are undoubtably many cases
370 of increase in complexity over evolutionary time in specific lineages. However, consistent
371 directional increase in complexity is not a universal phenomenon^[73]. The Brain-First hypothesis
372 aims to explain the directional increase in complexity in three phyla at a crucial stage in the early
373 evolution of the biosphere.



374

375 **Figure Legend**

376 Figure 1 – Schematic representation of the main points of the Brain First Hypothesis.

377

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